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Article title: Use of evidence and expertise in UK climate governance: The case of the Cumbrian Coal Mine

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1 Use of evidence and expertise in UK climate governance:

The case of the Cumbrian Coal Mine

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4 Abstract

- 5 There is a clear scientific consensus that no new coal mines can be developed, if the Paris
- 6 Agreement to limit global temperature rises is to be met. Yet in December 2022, following a
- 7 lengthy Public Inquiry, the UK Government approved the development of Woodhouse
- 8 Colliery in Cumbria. In doing so, it accepted the claim that the coal mine would be 'zero
- 9 carbon', and could even result in lower global emissions overall. As this paper demonstrates,
- there is no independent evidence to support these claims, whilst a large body of independent
- evidence comes to the opposite conclusion. This paper uses the example of Woodhouse
- 12 Colliery to examine the use of evidence and expertise in climate governance processes. It
- 13 finds that the nature of expertise and evidence is not properly considered, and that there is
- ambiguity and confusion surrounding the implementation of the UK's climate legislation,
- particularly the Climate Change Act. It also finds that the ways in which the decision-making
- process solicited and assessed evidence was flawed, promoting a 'false balance'. This
- ambiguity and false balance provide scope for developers to argue the case for destructive
- developments, even while claiming adherence to climate ambitions. The paper concludes by
- 19 suggesting reforms to governance processes, to provide a more transparent and credible
- 20 implementation of policies to achieve the UK's net zero target. Suggested reforms include
- 21 clearer rules governing fossil fuel phase-out; greater transparency and better handling of
- 22 conflicts of interest in decision-making; and devolution of climate responsibilities to local
- 23 areas

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24 Keywords: climate, evidence, expertise, coal, steel, Climate Change Act, planning,

25 Cumbria, UK

1. Introduction

- In 2022, eight years after it was first formally proposed, the UK government granted planning
- 28 permission for Woodhouse Colliery, a proposed mine for metallurgical coal used in
- 29 steelmaking. The route to approval (see table 1) had been tortuous, with the mine approved
- on three separate occasions by the local authority, Cumbria County Council; a lengthy Public
- Inquiry; the launch of four legal challenges against the mine; and a great deal of media and
- 32 political controversy. Much of the controversy has centred around the climate impacts of
- political definition of the definition defined and the diffraction
- burning coal, the most carbon-polluting of all fossil fuels, in the UK a country with
- 34 comprehensive climate legislation, statutory targets to reach net-zero greenhouse gas
- emissions (GHG) by 2050, and a strong commitment to the United Nations Framework
- 36 Convention on Climate Change (UNFCCC) (HM Government, 2022).

2014-2017	West Cumbria Mining (WCM) develop plans and undertake consultation	
May 2017	WCM submit application for detailed planning permission	
March 2019	Cumbria County Council development control committee vote to approve	
	the development	
June 2019	UK Parliament legislates new target of net-zero GHG emissions for the UK; Legal challenge against WCM issued by Keep Cumbrian Coal in the Hole (KCCH)	
October 2019	Cumbria County Council development control committee vote to approve	
	the development	

Nov 2109- Feb 2020	KCCH request a Judicial Review challenging the decision; this is granted	
May 2020	KCCH withdraw their challenge as Cumbria County Council say they will reconsider the application	
October 2020	Cumbria County Council development control committee vote to approve the development	
December 2020	The Climate Change Committee (CCC) publish the Sixth Carbon Budget; Cumbria County Council say they will once again reconsider the proposal	
March 2021	The Secretary of State 'calls in' the decision, ie states that it will be determined by the Government, following a Public Inquiry	
September 2021	Public Inquiry takes place; two organisations play a formal role in opposing the mine: South Lakes Action on Climate Change (SLACC) and Friends of the Earth (FoE).	
December 2022	Secretary of State issues planning permission for Woodhouse Colliery	
January 2023	SLACC and FoE request a Judicial Review of the Secretary of State's decision	
May 2023	The request for a Judicial Review is turned down	

table 1: timeline of decision-making for Woodhouse Colliery

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This paper reviews the decision-making process for Woodhouse Colliery, and assesses the lessons for climate governance, in the UK and more widely. I begin, in Section 2, with a summary of scientific evidence and international agreements on climate change. greenhouse gas emissions and fossil fuel extraction. In Section 3, I review the UK's system of climate governance, centred around the 2008 Climate Change Act. In section 4, I summarise the arguments put forward by West Cumbria Mining, in making the case that the mine would not adversely affect climate change; and state how these claims were countered. In Section 5, I then analyse some common threads in the way that evidence was presented and used in the Public Inquiry. Three tendencies are identified: first, imbalances in the status of expertise, in that, whereas WCM relied on commercial consultants, opponents of the mine were professionals with independent standing in academia or public life. Second, the exploitation of the ambiguity contained within UK climate legislation; and third, the

51 52 tendency to 'false balance', giving equal weight to arguments for and against the mine,

rather than assessing the state of evidence. The combination of these tendencies, it is

argued, led to a decision in favour of the mine.

In Section 6, the case of Woodhouse Colliery is placed in a global context, and is shown to 55 be part of a wider pattern of delay and ambiguity in climate action, in part orchestrated by 56 powerful economic interests. In Section 7, the paper concludes with an assessment of 57 58 changes needed to legislation and approaches to climate change, in the UK and more 59

widely, if global climate goals are to be met.

As this paper is about the use of scientific and expert evidence in governance processes, it is important for myself, as the author, to be transparent about my own position. My expertise lies in the field of climate governance: the process by which societies and polities agree rules and strategies to combat climate change. The decision-making process around Woodhouse Colliery provides an example of this governance in action, and as such highlights many areas that could be improved, and indeed must be improved if the UK is to meet the targets it has enshrined in law.

I have also been involved in the case directly, in two ways. I have provided media comment

on the case, based on the analysis that I set out in this paper. I have also assisted

69 independent expert witnesses in providing evidence to the Public Inquiry, on areas including

- the link to climate legislation; prospects for steel industry decarbonisation; and international
- 71 diplomacy issues. These experts have all spoken against the proposed development. This is
- set out in Section 4 below. My involvement is based on my, and others', assessment of the
- evidence. As an independent academic, my role is to assess evidence and give a clear
- 74 account of its implications, as well as offering clarity about where uncertainties exist, or
- 75 where there is limited evidence.
- My media involvement, and my involvement in the Public Inquiry process, shows that I have
- a clear, publicly-stated position against the mine. This is based on my assessment of the
- evidence, which I set out in this paper. It is not my role to stay neutral unless such neutrality
- 79 is justified by the evidence. If evidence on climate science and governance were different,
- and suggested that the mine could be justified, my account would reflect this. As I show in
- 81 Section 3, this is not the case.

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- 82 I have chosen to publish this paper in a journal with an open peer-review process. This
- 83 allows anyone to scrutinise the evidence I use, and the position I take. I will actively seek
- comment from opponents to the mine, and ask for evidence to substantiate their position. If
- there are errors of fact or judgement in the case I set out, I pledge to correct them
- transparently. I hope that this paper, and the peer-review process, will spark a useful debate
- about the role of evidence in climate governance.

2. The scientific consensus on climate change and fossil fuel extraction

- The 2015 Paris Agreement on Climate Change, signed by 196 countries including the UK,
- 90 commits to stabilising the global climate to "to well below 2°C above pre-industrial levels and
- 91 pursuing efforts to limit the temperature increase to 1.5°C" (United Nations, 2015), in order
- 92 to limit dangerous climate change. The 2021 Glasgow Pact reaffirms this goal and develops
- more detailed plans for its achievement.
- The implications of this global agreement for fossil fuel extraction are clear. The
- 95 Intergovernmental Panel on Climate Change (IPPC) states that there is a linear relationship
- 96 between GHG emissions and temperature rise, leading them to estimate in 2020 that only a
- 97 further 500 gigatonnes of carbon dioxide (GtCO₂) could be emitted, to have a 50% chance of
- 98 limiting warming to 1.5°C (Intergovernmental Panel on Climate Change, 2021). This is the
- remaining 'carbon budget' that can be emitted if we are to have a fair chance of stabilising
- 100 global emissions. The total amount of emissions from developed reserves of oil, gas and
- coal, defined as "the cumulative quantity of oil, gas and coal that companies have already
- discovered and for which a financial and regulatory commitment to extraction has been
- made", is estimated at 936 Gt CO₂, almost double the remaining carbon budget for 1.5°C.
- 104 Coal accounts for nearly half of this, at 446 Gt CO₂ (Trout et al., 2022). Thus, if the fossil
- fuels from developed reserves were extracted and burned, this would take us well over the
- global carbon budget. Existing developed reserves will need to remain unused if we are to
- keep to global temperature goals. Removing carbon dioxide from the atmosphere cannot
- happen at a scale significant enough to change this basic predicament (Anderson and
- 109 Peters, 2016). The International Energy Agency estimates that only 0.004Gt CO₂ is currently
- removed, predicted to rise to 1.6Gt CO₂ by 2030 and 7.6Gt CO₂ a year by 2050
- 111 (International Energy Agency, 2021).
- Any new sites of fossil fuel extraction would only add to this problem. A range of studies
- have concluded, therefore, that new fossil fuel extraction sites are incompatible with the
- Paris Agreement. This includes reports by the United National Environment Programme

- (United Nations, 2022a); the International Energy Agency (International Energy Agency,
- 2021); and many academic studies (McGlade and Ekins, 2015; Welsby et al., 2021). In
- summary, these reports and research show that no new extraction facilities such as oil or
- gas wells, or coal mines, can open, if we are to stay within the globally agreed carbon
- budget; and existing sites will have to reduce their production. This is a matter of arithmetic,
- not opinion. In the words of UN Secretary General Antonio Guterres, "climate activists are
- sometimes depicted as dangerous radicals. But the truly dangerous radicals are the
- countries that are increasing production of dangerous fossil fuels. Investing in new fossil fuel
- infrastructure is moral and economic madness" (United Nations, 2022).

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3. UK climate governance: the state of play

- The UK was the first country to set statutory (legally binding) targets to guide GHG reduction
- at a national level. The Climate Change Act (CCA), passed in 2008, initially set a target of
- 80% GHG reduction in GHGs, by 2050, from a 1990 baseline. Under the Act, Parliament
- must agree five-yearly 'carbon budgets', essentially interim targets to ensure progress
- toward the 2050 target. In setting carbon budgets and developing strategies to meet them,
- Government and Parliament are advised by the independent advisers, the Climate Change
- 133 Committee, also established under the 2008 Act. In 2019, the Act was amended, setting a
- more stringent goal of 'net zero' GHG emissions by 2050, with 'net zero' meaning that any
- emissions of GHGs must be matched by equivalent levels of GHG removals, through
- changes to land use such as increased tree planting, and through mechanical removal, such
- as carbon capture and storage (CCS).
- While the CCA is a comprehensive piece of legislation, setting economy-wide targets, it has
- a number of significant weaknesses and ambiguities. These include: 1) a lack of clarity over
- the contribution of different sectors of the economy to GHG reduction; 2) statutory targets
- are set at national level only, with ambiguity over the expected contribution of local or
- devolved administrations; 3) in terms of GHG accounting, the targets relate to GHG
- 143 emissions from within UK territorial borders, not emissions in other jurisdictions which could
- reasonably be seen to be resulting from UK-based activities; and 4) there is no clarity over
- the role or extent of GHG removals in achieving the 2050 target. These weaknesses and
- ambiguities, which are detailed below, are all illustrated in the example of Woodhouse
- 147 Colliery, as discussed in Sections 4 and 5 below.

3.1 Contribution of different sectors of the economy to GHG reduction

- The targets for emissions reduction in the CCA are economy are not broken down by sector
- of the economy, or by government department. One department, currently the Department
- for Energy Security and Net Zero, has overall responsibility for leading the UK's climate
- strategy and meeting the targets. Achieving these targets requires action by other
- departments as well, yet there is no set process for managing decarbonisation across
- different departments and sectors (Willis et al., 2019). The Climate Change Committee does
- assess evidence and provide advice on the role of different sectors of the economy, in effect
- offering targets for different sectors. For example, the sector pathway for steel implies that
- by 2039, unabated coal (burning coal without capturing carbon) must end, as described by
- 158 Professor John Barrett in his evidence to the Public Inquiry (Climate Change Committee,
- 2021; also see Section 4 below). However, these sector pathways are merely advisory. The
- 160 Climate Change Committee has identified the lack of clarity and responsibility, a 'governance
- gap', as a major risk to delivery of the UK's net zero target. They state that there is a lack of
- clear roles and responsibilities for other departments, and for regulators, devolved and local
- government (Climate Change Committee, 2021).

- This 'governance gap' means that the contribution of different sectors of the economy to
- 165 GHG reduction is not clearly delineated. The Climate Change Committee recently judged
- that there are credible plans in place for only 39% of the emissions reductions needed to
- meet the sixth Carbon Budget, with significant gaps or uncertainties in crucial areas
- including transport, industrial decarbonisation, and land use (Climate Change Committee,
- 2021). This uncertainty directly affects the decision over Woodhouse Colliery, because it is
- not clear who should take responsibility for the GHG emissions from planning decisions
- 171 (overseen by the Department for Levelling Up, Housing and Communities) or from the coal
- or steel industry (overseen by the Department for Business and Trade).

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3.2 The role of the planning system in relation to climate targets

- Developments in England are governed by the National Planning Policy Framework (NPPF)
- 175 (Ministry of Housing Communities & Local Government, 2012). The NPPF sets out what the
- Government's planning policies are, and how they should be applied. This provides a
- 177 framework within which local areas develop their own, locally-specific plans. In the case of
- Woodhouse Colliery, the relevant local plan was the Cumbria Minerals and Waste Local
- 179 Plan. The NPPF states that "the planning system should support the transition to a low
- carbon future" (Ministry of Housing Communities & Local Government, 2012, p45). However,
- there are ambiguities about how this ambition should be realised, and in particular, about
- whether 'end use' emissions (i.e. in this case, emissions from burning the coal mined in
- 183 Cumbria) should be considered as part of the planning process. As a result, this issue has
- been argued through numerous legal cases, including over Woodhouse Colliery.
- The NPPF also contains a presumption against coal extraction, stating that planning
- permission should not be granted for the extraction of coal, unless the proposal is
- 187 "environmentally acceptable", or if it provides "benefits which clearly outweigh its likely
- impacts" (Ministry of Housing Communities & Local Government, 2012, paragraph 217,
- p62). However, the NPPF does not state how "environmentally acceptable" should be
- defined or tested, or how to weigh up the benefits against likely impacts. As a result, again,
- these questions have been argued through numerous legal cases.
- The decision on Woodhouse Colliery was taken through the planning system, ultimately
- through a Public Inquiry led by a Planning Inspector. The Inspector's task was to rule on
- whether the proposal was compatible with England's planning laws. The wider question, of
- whether the proposal is compatible with UK climate legislation or international climate
- agreements, was not considered directly, but only indirectly, i.e. the extent to which planning
- 197 policy reflects and implements climate legislation and agreements.
- Overall, there is no clarity on the link between planning policy and UK climate legislation,
- and the resulting ambiguity is deeply problematic, as examined in Section 4 below.

3.3 Local contributions to GHG reduction

- 201 UK local government currently has no specific statutory responsibility for GHG reduction.
- 202 Responsibility for meeting the statutory net zero target (and interim carbon budgets) of the
- 203 Climate Change Act lies with the national parliament and government, as well as the
- devolved nations (Scotland, Wales & Northern Ireland). Implicitly, it is clear from the Act that
- 205 all local authorities indeed, all branches of government must play their part in meeting
- the overall target, but there are no clear roles, responsibilities or targets assigned to local
- 207 authorities. Nevertheless, many local areas have set their own targets and plans. For
- 208 example, Manchester has a target "to become a zero carbon city" by 2038 (Manchester City
- 209 Council, 2023); London by 2030 (Greater London Authority, 2023); and Cumbria by 2037
- 210 (note that in April 2023, following local government reorganisation, Cumbria County Council

- 211 was split into two different authorities: Cumberland Council, and Westmorland and Furness
- Council) (Cumbria Action for Sustainability, 2023). These local targets are not enshrined in
- 213 law, and local authorities all measure and manage their climate impacts in different ways.
- This contributes to the overall complexity of achieving the UK's climate goals. For example, it
- is unclear whether or how Cumbria's target of net-zero emissions by 2037 was taken into
- 216 consideration in the planning decision for Woodhouse Colliery.

3.4 Accounting for GHG emissions

- In line with international conventions in GHG accounting, the statutory targets enshrined in
- the CCA relate to so-called 'production' emissions. GHGs are counted where the gases are
- actually produced, and enter the atmosphere these are 'production' emissions. It is also
- 221 possible to account for GHGs at the point of consumption of goods. For example, the GHG
- 222 emissions associated with manufacturing a laptop in China, but sold in the UK, are
- conventionally ascribed to China, as the place of manufacture. Yet to the extent that demand
- for such goods is driven by consumption patterns in the UK, the UK could be said to hold
- some responsibility for these emissions. The UK does acknowledge this, in that it publishes
- accounts of consumption-based emissions (Department for Environment, Food and Rural
- 227 Affairs, 2022), but the Climate Change Act accounts for production emissions only. Another
- way in which GHGs could be measured is through so-called 'extraction' emissions: the point
- at which fossil fuels are extracted from the ground. Under international conventions,
- countries that extract coal, oil and gas for export do not account for the emissions that arise
- when the fuels are burned in a different country.
- For example, the emissions resulting from steel used in construction could be accounted for
- in at least three different places, and quite possibly in three different countries: the mine
- where the coal was extracted for steelmaking (extraction emissions); the steelworks that
- burned the coal to make steel (production emissions); or the building site where the steel is
- used in construction (consumption emissions). Under UNFCCC guidelines, only the
- production emissions from the steelworks count toward a country's GHG inventory (Barrett
- 238 et al., 2013).

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- As with all accounting, conventions are necessary, to avoid double- or triple-counting of
- emissions. However, there is a danger that this hinders potential routes to GHG reduction. If
- extraction emissions were considered, and discouraged through a carbon price, for
- 242 example this could influence steel manufacturers to look at alternatives such as hydrogen-
- 243 based production methods. If consumption emissions were considered, this could influence
- the construction industry to source recycled steel, or use less steel.
- An over-reliance on production-based emissions accounting therefore risks discounting a
- 246 number of feasible GHG reduction routes. It places an artificial boundary around an activity,
- such as coal mining, or the import of consumer goods, meaning that emissions from those
- 248 activities can be ignored, even if there are steps that could have been taken to reduce
- emissions. In an acknowledgement of this, some countries and local areas have instigated
- 250 particular policies and laws focussed directly on limiting extraction of fossil fuels, including
- France, US states, and Wales (Erickson, Lazarus and Piggot, 2018).

3.5 The role of greenhouse gas removals

- The emergence of the concept of 'net zero' emissions has put the spotlight on the 'net' in net
- 254 zero in other words, the use of GHG removal technologies to compensate for GHG
- emissions. GHG removal options involve capturing and storing GHGs, either using 'natural'
- 256 processes such as land-use changes tree planting and soil management, for example or
- 257 'engineered' processes, such as capturing and storing carbon dioxide from industrial

- 258 processes. Nearly all scenarios outlining credible paths to net zero, including those
- developed by the International Energy Agency, the Intergovernmental Panel on Climate
- 260 Change, and the UK's Climate Change Committee, include a certain level of GHG removal
- Agency (Committee, 2021; International Energy Agency, 2021; Intergovernmental Panel on
- 262 Climate Change, 2023).
- There is a strong consensus that the total technical and economic potential for GHG removal
- is limited, and therefore it cannot be a substitute for GHG reduction. For the UK, the Climate
- 265 Change Committee's advice is that GHG removal should be used to compensate for so-
- called 'residual emissions' that are very difficult to eliminate, particularly from land use,
- agriculture and aviation (Climate Change Committee, 2021; see also Anderson and Peters,
- 268 2016).
- Thus the role played by GHG removals is limited, and should be seen as an addition to,
- 270 rather than an alternative to, reductions in GHG emissions. However, the very conception of
- 271 'net zero' subsumes GHG removals and reductions in GHG emissions into one single metric,
- with the sense that one can be traded off against another (McLaren et al., 2019). This is the
- logic behind so-called 'offsetting' schemes offered to individuals and companies to
- 274 'compensate' for GHG emissions from aviation or buying vehicle fuel, for example. There is
- evidence that this approach to GHG removal actually hinders or discourages reductions in
- 276 GHG emissions (Markusson *et al.*, 2022). There is a strong case for separating out targets
- 277 for GHG removals from reductions in GHG emissions to ensure that GHG removals are
- additional, not an alternative approach (McLaren et al., 2019). In the UK, this could be done
- through specifying targets for each, as part of the CCA budget-setting process. However, at
- 280 present, there is no such clarity.

4. Woodhouse colliery: Climate claims and counter-claims

- 282 It is clear from basic scientific evidence (see section 2) that any new fossil fuel developments
- breach the Paris Agreement, to which the UK is a signatory. Yet the UK government
- approved Woodhouse Colliery. How can this have happened? This section surveys the main
- 285 claims, and evidence, put before the Public Inquiry into the coal mine, held in September
- 286 2021.

- The Public Inquiry is explicitly tied to the planning system. The role of the Planning
- Inspector, who conducted the Inquiry, was to judge whether the development was
- compatible with planning legislation. Thus it would not be enough to say, as demonstrated in
- 290 Section 2 above, that the mine is incompatible with the UK's climate commitments. Instead,
- the case must be made with reference to the complex relationship between planning law and
- 292 climate commitments.
- In presenting its case, West Cumbria Mining (WCM) never stated opposition to the Climate
- 294 Change Act, or the Paris Agreement. Instead, it made the case that the development was
- compatible with the UK's responsibilities on climate (West Cumbria Mining, 2022). This can
- be seen as an argument in three stages. First, they sought to show that the proposed
- development was permissible within planning law and guidance, as set out in the NPPF (see
- Section 3.2 above). Second, they implied that, because it was (as they claimed) permissible
- within planning law, it logically must be compatible with UK climate legislation more
- 300 generally, including the Climate Change Act. Third, they claimed that because it was
- permissible within planning law, and that this implied it must be compatible with UK climate
- legislation, it must therefore follow that it has a neutral, or even positive, effect on climate
- 303 change.

- This argument would make sense if there were specified, transparent and undisputed links
- between planning legislation, climate legislation and overall climate impacts in other words,
- if the ambiguities in legislation were minimal. However, as described in Section 3 above, this
- is not the case. The links between the Climate Change Act and the NPPF are disputed;
- there are also ambiguities about how GHG emissions should be accounted for.
- 309 Despite this situation, WCM's arguments were largely accepted by the Secretary of State,
- 310 Michael Gove, who stated in his decision letter approving the mine that the proposed
- development "would to some extent support the transition to a low carbon future" and "would
- have an overall neutral effect on climate change and is thus consistent with Government
- policies for meeting the challenge of climate change" (decision letter p6 para 38).
- For this conclusion to be correct, all of the following claims put forward by the mine must be correct:
 - WCM can only be held responsible for emissions from the mine site, not from emissions from burning coal;
 - The mine will result in reduced transportation of coal, and lower greenhouse gas emissions due to more efficient facilities;
 - Coal will still be needed to make steel, and coal burning will be offset either through offsetting schemes or through emissions reductions elsewhere in the economy;
 - Offset schemes can be used to compensate for any residual emissions;
 - Coal from Cumbria will substitute for coal mined elsewhere, with other mines reducing production in line with increases from the new mine;
 - Consenting a coal mine will have no effect on international diplomacy or other countries' commitment to climate action.
- These claims, and the responses to them from those opposing the scheme, are described
- 328 below. Each was the subject of lengthy documentation, and considerable debate during the
- Public Inquiry. As I discuss in Section 5, if UK climate legislation were clearer, these
- complex claims and counter-claims would not have needed to be played out in the Inquiry.
- For instance, the role of GHG removals (see 3.5 above) would not need to be discussed at
- length if the principles were set out explicitly in climate legislation. The lack of clarity created
- what I describe (Section 5.3) as 'false balance' in which complex arguments for and against
- the mine, and claims about compatibility with ambiguous legislation, distracted from the
- fundamental point that further coal extraction is incompatible with the Paris Agreement.
- In describing the claims and counter-claims set out in the Public Inquiry, my aim is not to set
- out the issues in full, but to present an indication of the issues that were considered as part
- of the decision-making process. I only examine arguments relating to climate issues in this
- paper. The Public Inquiry also covered other issues, such as the future of the steel industry;
- employment considerations; other environmental issues; and other land use planning
- matters. These issues are undoubtedly important. However, if the mine contravenes the
- 342 UK's climate commitments, in the form of the Climate Change Act and the Paris Agreement,
- then logically it cannot go ahead. A breach of law cannot be justified through an appeal to
- 344 other benefits.

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- 4.1 Only emissions from the mine site should be considered: In its Statement of Case,
- WCM states that "it is not appropriate to have regard to GHG emissions caused by the end
- use of the coal extracted from the proposed development at other facilities." (West Cumbria
- Mining, 2022, p40). In other words, WCM should not be responsible for the emissions
- caused by burning the coal, and should only have responsibility for the emissions from the
- mine site itself. As discussed (Section 3.3) this claim is based on the convention that GHGs

- are counted where they are emitted into the atmosphere, i.e. where the coal is burned, not
- where it is extracted.
- Respondents, including Professors Michael Grubb and John Barrett, disputed this, stating
- that these end-use emissions were a material consideration, given the need to take account
- of UK climate legislation in planning policy.
- 4.2 Fewer imports; efficient facilities: Second, WCM's statement of case says that "the
- proposed development will help support the transition to a low carbon future [...] by
- 358 removing reliance upon imported coking coal with a higher carbon footprint" (West Cumbria
- Mining, 2022, p40). Specifically, it states that the development will "reduce transportation
- 360 emissions" and "provide the opportunity to create a state-of-the-art mining facility with lower
- 361 GHG emissions than other existing mining operations" (West Cumbria Mining, 2022, p41).
- 362 These claims were disputed by respondents, including Professor Michael Grubb, Professor
- John Barrett, and Professor Paul Ekins. They stated that the emissions from the mine site,
- and from coal transportation, were a tiny fraction of the emissions from burning the coal.
- There was also conflicting evidence about whether the coal would be used within the UK
- 366 (thereby reducing imports) or whether it would be shipped elsewhere. Aspects of the mine's
- own operations were critiqued, particularly the issue of methane emissions from the mine
- 368 site.
- 4.3 Coal will still be needed to make steel, with CCS: Third, WCM states that "coking coal
- is likely to continue to form part of a net zero compliant option for steel production" (p41 para
- 371 109). This was disputed by Professor Lars Nilsson, Professor Paul Ekins and Professor
- 372 Stuart Haszeldine, who stated that steel companies were increasingly using hydrogen-based
- 373 steelmaking, which did not require coal; and that more steel could be recycled using electric
- 374 arc furnaces.
- 4.4 Use of offsetting: WCM states that "where it is not possible to remove operational GHG
- emissions entirely, WCM will commit to ensuring that these residual emissions are offset"
- 377 (West Cumbria Mining, 2022, p41). As described in Section 3.5 above, the use of GHG
- 378 removals to 'offset' GHG emissions that could be otherwise reduced or avoided, is not in line
- with climate science. WCM stated that it would use Gold Standard certified credits; however
- the Gold Standard Foundation, which oversees the use of these credits, provided a letter to
- the Public Inquiry stating that it is "strongly against the further extraction of fossil fuels" and
- that new coal mines are to be avoided (Kirby, 2021).
- **4.5 Coal will substitute for coal mined elsewhere:** The WCM statement of case states
- that, though the end-use emissions (ie from burning the coal) should not be taken into
- account, even if they are taken into account, "there is a strong economic case for
- substitution", i.e. that Cumbrian coal would substitute for coal mined elsewhere. In other
- words, every tonne of coal extracted in Cumbria would result in a tonne of coal **not** being
- 388 extracted elsewhere, thus not increasing the total amount of coal burned or GHGs emitted.
- 389 WCM's argument was supplemented by a report from consultants Ecolyse.
- 390 Professor Michael Grubb and other respondents disputed this case. Professor Grubb stated
- that it was highly unlikely that the opening of the Cumbria mine would result in reduced
- 392 production in other mines, thus disputing the 'substitution' argument. He calculated that even
- if just 1% of the coal mined in Cumbria was additional, this would more than double the total
- emissions of the mine as estimated in the Ecolyse report. Similar arguments were put
- forward by Professor Paul Ekins, who presented peer-reviewed research on the price
- elasticity of coal, stating that WCM coal would decrease prices for metallurgical coal and
- 397 therefore increase demand.

4.6 Impact on international diplomacy: The WCM Statement of Case makes no mention
 of an argument used by opponents of the mine, that the UK's permitting of the mine would
 send unhelpful political and diplomatic signals, making other countries less ambitious on
 climate. This argument was put forward by opponents to the mine, including Professor
 Grubb; Professor Sir Robert Watson; Lord Deben, chair of the Climate Change Committee;
 and John Ashton, former UK Government Special Representative for Climate Change.

5 How evidence was presented and used in the Public Inquiry

In this section, I draw out some patterns in the way that evidence was presented and used in the Public Inquiry, namely the status of expertise; the exploitation of ambiguity; and the creation of 'false balance'.

5.1 The status of expertise

As can be seen from table 2, there was a notable imbalance in expertise on climate issues at 409 410 the Public Inquiry. WCM relied on commercial consultants that they themselves had 411 commissioned, including reports by consultancies Ecolyse and AECOM, and appearances in front of the Inquiry by Ms Caroline Leatherdale, a consultant focussing on environmental 412 413 impact assessments; and Mr William Tonks, a mining ventilation specialist. By comparison, many of those expressing opposition to the mine had climate specialisms – these included 414 415 Prof Michael Grubb, Prof Paul Ekins, Prof Sir Robert Watson, Professor John Barrett, John 416 Ashton CBE and Lord Deben (see table 2 for affiliations) and spoke in an independent 417 capacity, not as paid consultants, using evidence from peer-reviewed or independent 418 sources.

An assessment of both written and verbal evidence heard during the Public Inquiry thus suggests that the weight of evidence strongly supported the position that the climate impacts of the mine are negative and indeed contrary to the UK's climate objectives. This 'weight of evidence' can be judged by levels of expertise of witnesses; quality of evidence as judged by use of peer-reviewed data, for example; and independence, i.e. professionals with independent standing in academia or public service, who had not been commissioned or paid as consultants to give evidence.

This is not to question the expertise or integrity of the consultants employed by WCM. I am not claiming that the consultants purposefully misled the Inspector, but that, by the nature of their commission, they provided specific, limited answers to the specific, limited questions they were given. Preparing a consultancy report in response to a specific brief is a different process to preparing an independent statement based on peer-reviewed evidence.

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Witnesses appearing for West Cumbria Mining	Witnesses appearing for South Lakes Action on Climate Change and Friends of the Earth UK
 Ms Caroline Leatherdale, environmental adviser employed by West Cumbria Mining Mr William Tonks, specialist in mine ventilation, director of Bill Tonks Ventilation Services Ltd 	Professor Sir Robert Watson, former Chair of the Intergovernmental Panel on Climate Change, former Chief Scientific Adviser to the Department for Environment, Food & Rural Affairs, former Chief Scientific Adviser to the World Bank, former Associate Director for Environment in the Clinton White House

- Professor Paul Ekins, professor of resources and environmental policy at the UCL Institute for Sustainable Resources, former adviser to the UK Parliament and the Climate Change Committee
- Professor Michael Grubb, professor of Energy & Climate Change at UCL, former member of the Climate Change Committee, former adviser to the UK Office of Gas and Electricity Markets
- Professor John Barrett, Professor of Energy & Climate Policy, University of Leeds; adviser to the UK Department for Business, Energy & Industrial Strategy; lead author for the Intergovernmental Panel on Climate Change working group III 'mitigation of climate change'

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table 2: Witnesses on the issue of climate change called before the Public Inquiry

5.2 Exploiting legislative ambiguity

As set out in Section 3 above, there are clear limitations and ambiguities contained within UK climate legislation, as well as within the planning system. developments to claim that their projects are allowable under the legislation. With reference to each of the weaknesses and ambiguities described in Section 3:

- Ambiguities surrounding the contribution of different sectors of the economy (3.1 above) provides room for West Cumbria Mining to claim that the emissions from their development should be allowed, with the required national GHG reductions coming from unspecified actions elsewhere.
- The ambiguities in **the planning system** (3.2 above) and specifically the National Planning Policy Framework, create confusion about whether the full climate impacts of any given development should be considered in a specific planning decision.
- Since there is no clear legislation or policy on **local contributions to GHG** reduction (3.3 above), Cumbria County Council is not required to account for the emissions from the mine in its own climate strategy.
- In terms of accounting for GHG emissions (3.4 above), the lack of targets or policy covering extraction of fossil fuels allows West Cumbria Mining to claim that they should only shoulder responsibility from the mine site itself, not from the end use of the coal.
- In terms of **greenhouse gas removals** (3.5 above), the lack of clarity on the contribution of removals to the overall target allows West Cumbria Mining to make the claim that its emissions can be 'offset' through removals.

These arguments can be seen throughout WCM's documents and argumentation in the Public Inquiry. In summary, WCM say that "the overall responsibility for the economy-wide transition to a low carbon society and the policies that are required to support that transition is the responsibility of the UK Government", and that "these matters must be considered holistically, rather than on a case-by-case basis, through the determination of planning

applications" (West Cumbria Mining, 2022, p29). Where there is so much ambiguity and

complexity, it becomes possible to claim that one specific development cannot be held to

463 account.

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5.3 False balance

In making its central claim that the climate impact of Woodhouse Colliery is neutral, WCM's

strategy can be seen as promoting so-called 'false balance'. False balance can be defined

as "presenting two sides of a debate as more equal than is justified by the evidence"

468 (Rietdijk and Archer, 2021,p64). False balance has been much discussed in regard to media

469 coverage of climate science, when media outlets give equal airtime to scientists supporting

and opposing the scientific consensus on climate change, despite the presence of an

overwhelming consensus overall (Koehler, 2016; Fahy, 2017). Thus, in a debate about

472 climate impacts, a climate scientist representing the consensus position is paired with

473 someone who does not accept this consensus, even though this position is at odds with the

474 weight of scientific evidence. False balance sometimes comes about because media

producers believe that it is important to represent 'both sides' of a debate; it may also come

about because of a particular agenda that the media outlet is pursuing.

The use of false balance in the legal case over Woodhouse Colliery is similar. In the case,

478 mine supporters made claims about the supposedly 'positive' climate impacts, opening up a

debate between two opposing views, even when this debate is not justified by the weight or

quality of evidence. Instances of false balance include, first, the statement that offset

schemes can be used to 'compensate' for any residual emissions, when there is a clear

scientific consensus that this is an inappropriate use of GHG removals (see sections 2, 3.5

and 4.4 above). Second, the statement that the mine would result in GHG savings because

of reduced transport costs, and because coal from Cumbria will substitute for coal mined

485 elsewhere, was not substantiated by evidence (see section 4.2 above). Lastly, the idea

promoted by WCM that the coal mine would be a 'zero carbon coal mine' is not supported by

487 convincing evidence, and relies on offsetting which, as described above, is discredited.

These statements, even if badly served by underlying evidence, must be considered and

debated. Each must be examined and rebutted. In the media coverage on the coal mine,

these claims were, indeed, discussed at length. Debates often involved two contributors,

one speaking in favour of the mine, and one against.

492 Added together, this contributes to an overall false balance - the assertion that there is a

debate to be had about whether a new coal mine can be opened. Thus the simple fact set

out in Section 2, that any new coal mine is not compatible with the Paris Agreement to limit

495 global warming, is replaced by a complex series of claims which, even if not supported by

496 the evidence, serve to provide the impression that there are two, evenly-balanced 'sides' to

497 the debate.

6. Doubt and delay: strategies to question and limit climate action

In Section 4, I set out the way in which WCM could put forward their argument that this mine

500 has an overall positive effect on climate change, despite overwhelming evidence to the

501 contrary. We now place this case in a wider context of the strategies employed by high-

carbon economic interests, to make a case for continued exploitation of fossil fuels.

There is a well-documented history of companies involved in fossil fuel extraction opposing

the scientific consensus on climate change, through funding and cultivating links with think-

tanks, policy institutes and commentators who oppose the consensus (Oreskes & Conway

506 2011). The strategy, for many years, was to raise questions and promote debate about the

- science, thereby obscuring the clear scientific consensus on anthropogenic global warming.
- These tactics had been learned from the tobacco industry, who had, for many years, sought
- to promote doubt about the links between smoking and serious harms to health.
- The strategy worked. The Intergovernmental Panel on Climate Change published its first
- report documenting the scientific consensus on climate change in 1990. It took nearly thirty
- 512 years for the BBC to tell its editors that it was not necessary to include outright deniers of
- climate science in order to achieve 'balance' (Hickman, 2018). In the intervening decades,
- the 'false balance' arguments about whether climate change was happening or not,
- squeezed out the very necessary debates of how to respond to climate change and reduce
- 516 GHG emissions.
- More recently, the science of climate change has largely been accepted, even by companies
- 518 involved in fossil fuel extraction (it is, however, worth noting that doubt about climate science
- still has a strong foothold in media and politics, particularly in the US, where many
- Republican politicians openly express doubts (Dunlap, McCright and Yarosh, 2016). Tactics
- have shifted from denying the science outright, to opening up a range of often spurious
- debates about what the responses should be. This new approach has been dubbed
- 523 'Discourses of Delay' (Lamb et al., 2020). Such discourses include shifting responsibility for
- action 'emissions reductions can come from elsewhere'; comparisons 'our carbon
- footprint is trivial compared to others'; technological optimism, including a faith in GHG
- removals; and 'fossil fuel solutionism' in which fossil fuels are seen as a bridge to a zero
- 527 carbon future. It is important to note that these arguments are not always entirely wrong, or
- 528 used intentionally to slow climate action. As Lamb et al make clear, "discourses of delay
- often contain partial truths and may be put forward in good faith" (Lamb *et al.*, 2020 p2-3).
- However, "in the absence of high-quality public deliberation, and in the hands of interest
- groups fighting against regulation, our concern is that discourses of delay will disorientate
- and discourage ambitious climate action" (Lamb et al., 2020 p3).
- This is exactly the approach taken by West Cumbria Mining, and the mine's supporters more
- generally. WCM did not question the science of climate change, nor the UK's specific net
- zero target, the Climate Change Act, or its international obligations under the Paris
- Agreement. Instead, their approach was to say that they agreed with the need for climate
- action, but that their own project was legal, and would not have a negative effect. A whole
- set of complex arguments (summarised in section 4) were deployed, introducing complexity
- and confusion. When combined with the ambiguities of UK climate legislation (section 3),
- this meant that the mine's opponents had to engage in detailed debate about each of these
- arguments a much more difficult and complex job than simply stating that the mine is
- incompatible with the Paris Agreement (section 2). Overall, as set out in 5.3 above, this
- 543 contributes to a false balance the idea that there is a debate to be had over whether a new
- 544 coal mine should go ahead.
- Having been closely involved in the mine debate over several years, I saw this pattern of
- 546 complexity, doubt, delay and false balance enabled by the ambiguities and inconsistencies
- of UK climate legislation play out many times over, in the protracted legal process and in
- 548 media debates. When asked for media comment on the mine, I tried to put forward two
- 549 points: first, that the mine was incompatible with the Paris Agreement; and, second,
- 550 highlighting the tactics of doubt and delay used by mine supporters. However, the questions
- I was asked were never about these general points, but about the detail of specific issues –
- complexity instead of simplicity.

6. Conclusion

554 This paper set out to answer the question of how a coal mine could be consented in a country with world-leading climate legislation, in the face of clear evidence that the opening 555 of further fossil fuel extraction sites is not compatible with the Paris Agreement, and at a time 556 of rapidly worsening climate impacts. It found that the case for the mine was made through 557 exploiting ambiguities in the UK's climate legislation, in particular the unclear links between 558 559 planning policy and the Climate Change Act; and through the introduction of complex, underevidenced arguments which combined to create a false balance – the impression that there 560 is a debate to be had about whether or not the mine contravenes climate ambitions. 561

As argued in section 5, the case of Woodhouse Colliery is an example of a wider tendency to foster complexity, doubt and delay in climate decision-making. As such, it should not be seen as a one-off aberration, but an indication of a deeper problem. Similar arguments are being played out in other domains. These include arguments for opening new oil and gas extraction sites in the North Sea, which are claimed to be 'net zero' in operation, and required to 'fuel the transition' (see for example Offshore Energy UK, 2022); airport expansion, in which airlines and airports claim that aviation demand should not be restricted, because emissions can be reduced elsewhere in the economy, and/or technological alternatives to fossil-fuelled aviation will soon be available, and/or flights can be 'offset' (see for example IATA, 2021); the use of hydrogen for home heating, in which gas companies aggressively promote hydrogen-based solutions for home heating, and associated policies (such as blending of hydrogen and methane; mandating 'hydrogen ready' boilers) despite a strong expert consensus that hydrogen is not best suited to home heating, and should be used for different applications such as industrial uses, with electric heat pumps offering a better alternative (Rosenow, 2022); and reliance on GHG removals as 'offsets' to compensate for GHG emissions which could have been avoided through other means (see section 3.5 above).

In each of these cases, the evidence points strongly to one conclusion. Yet in each, a false balance is promulgated, ensuring a lively debate in media and policy circles and through legal battles, mirroring the process surrounding the Cumbria mine. Some involved in such debates will be acting in good faith, trying to grapple with a confusing picture. Others will be purposefully introducing complex and conflicting evidence and argumentation, in order to further commercial aims. Whatever the motivation, the overall situation created is one of confusion and uncertainty, slowing the speed of the transition to net zero, creating lengthy legal battles, and putting climate targets in jeopardy.

There are two ways in which these situations could be avoided. First, UK climate legislation could be changed to remove ambiguity and complexity. Second, greater weight could be placed on the quality of evidence used in decision-making. These are discussed in turn below.

6.1 Removing ambiguities in climate legislation

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As described above (Section 3) UK climate legislation contains many ambiguities. While the Climate Change Act sets an admirably clear trajectory for GHG emissions over time, the targets and carbon budgets are economy-wide, with little clarity on the relative responsibilities of different government departments, sectors of the economy, or balance between GHG reductions and GHG removals. The following changes would contribute:

- Setting a Net-Zero 'test' for all major developments this was a recommendation in the recent independent Skidmore Review (Skidmore, 2023)
- Legislation to prevent the opening of new fossil fuel extraction sites, following the example of Wales, who have stated they will not issue permits for new coal mines

- 601 (Erickson, Lazarus and Piggot, 2018) and in line with the recommendations of the 602 Environmental Audit Committee (2022)
 - Specific climate targets, responsibilities and powers for local areas on climate change, as recommended by the Climate Change Committee, Skidmore Review and many independent commentators (Kuriakose et al., 2022).
 - Clear responsibilities on climate, linked directly to the CCA budget-setting process, for all government departments and agencies, as recommended by the Climate Change Committee (2021)
 - A review of the National Planning Policy Framework, to make clear the links between the NPPF and the Climate Change Act, and to specify how all classes of GHG emissions (see Section 3.4) should be taken into account when making planning decisions
 - Separate targets for GHG reductions and removals, enshrined in the CCA budgetsetting process (McLaren *et al.*, 2019).

6.2 The quality of evidence used in decision-making

- The problem of false balance could be lessened through greater attention being placed on the quality of evidence used in decision-making. There are already-established markers of
- evidential quality. These include academic peer-review, and publication in quality academic
- journals; judgements of the standing, independence and expertise of individual specialists;
- and evidence produced by reputable national and international bodies, such as publicly-
- funded agencies, international organisations such as UN organisations, the
- Intergovernmental Panel on Climate Change. These are not failsafe indicators of quality.
- Problems with academic peer-review are well-rehearsed; publicly-funded agencies differ in
- their independence from government or political groupings; some experts with high standing
- are wrong. Notwithstanding these problems, the quality of the evidence presented should be
- a material consideration in decision-making processes. For example, in the Public Inquiry on
- Woodhouse Colliery, an array of credible experts on climate change, presenting evidence
- from peer-reviewed or independent sources, should not have been dismissed in favour of
- the accounts given by the mining company and its consultants who were not climate
- 630 specialists.

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- A further issue to take into account is the independence of witnesses and evidence provided
- to policymakers and legal processes such as the Public Inquiry. This is not to say that paid
- consultants, authoring reports and/or appearing as expert witnesses, are automatically less
- reliable or less independent. Consultancy can be a useful and necessary way of
- supplementing in-house expertise. However, there should be greater transparency about
- 636 financial links and other interests. At the very least, such links should be declared routinely,
- and taken into account in decision-making.
- Reducing the ambiguities in current climate legislation, and paying closer attention to the
- quality of evidence used in climate decision-making, would result in quicker and more
- predictable decisions, and less recourse to lengthy legal battles. This is essential, given the
- rapid GHG reduction required to meet the net zero goal, and to provide businesses with the
- certainty and predictability that they require in order to invest in that transition.

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