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Article title: Economic impact of Ebola Virus Disease outbreak on an extractive firm: a case study

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Dear UCL Open Editors and Peer Reviewers,

We thank you for your consideration of our manuscript. Here we attach a letter in response to the peer review comments and the Editor's comments.

We were grateful for your additional comments. The paper is returned with tracked changes highlighting the revisions that have been made.

Author responses to review comments are found at the end of this manuscript.

The West African Ebola epidemic was the most severe public health emergency in recent times. As well as major morbidity and mortality, the epidemic had significant economic implications too. The overall impact on the most Ebola-affected countries, including Liberia, Guinea, and Sierra Leone, was estimated at USD 2.2 billion. Most analysis of the economic impact of Ebola has been at the macroeconomic level. In contrast, there has been little investigation into the economic impact at the microeconomic level. Therefore, we aimed to estimate the economic costs of preventive measures of the epidemic to an extractive firm, ArcelorMittal. ArcelorMittal is the world's largest steel producer and a major extractive firm in West Africa, with a plant in Liberia. Due to the abundance of mineral deposits in countries in West Africa, the extractive industry is key to local economies.

Qualitative methods were used to investigate the sequence of events and channels of impact of the outbreak on the firm, as perceived by employees and contractors. Quantitative data regarding these costs was also collected. The largest cost impact of the epidemic was cessation of phase II expansion, a series of projects designed to increase local iron ore production. The next largest cost was preventive measures adopted by the firm to counter disease spread. The total cost incurred for adopting preventive measures was between USD 10.58 and 11.11 million. Other major costs were external donations to support the humanitarian response (11-12% total costs), and costs of lost productivity (relational costs; 7-12% total costs). We conclude that the response of ArcelorMittal during the epidemic was focused on its employees and its operations, which was then expanded to the wider community, and then in supporting the international humanitarian response. We believe that the manuscript is appropriate for publication in UCL Open as it represents an important consideration of the economic impact of epidemics, such as Ebola, on individual firms and how these firms respond. We believe it will be of particular interest to your readership. This manuscript has not been published, nor is it or the data involved under consideration for publication in another journal. All authors have approved the manuscript for submission.

We thank you for your consideration. Yours faithfully, The authors

# Economic impact of Ebola Virus Disease out-break on an extractive firm: a case study

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## **Abstract**

*Purpose:* The Ebola Virus Disease (EVD) epidemic was one of the most severe public health emergencies in modern times. The economic impact of epidemics has mostly been analysed at the macroeconomic level. Conversely, we aimed to estimate the economic costs of preventive measures of the epidemic to an extractive firm, ArcelorMittal, using data in the epidemic region from March 2014 to December 2015. ArcelorMittal is the world's largest steel producer and particularly important in West Africa, where the extractive industry is economically crucial.

*Methods:* Qualitative methods, in-depth interviews and focus group discussions, were used to investigate the events and channels of impact of the epidemic on the firm, as perceived by employees and contractors. Quantitative data regarding these costs was also collected.

Retrospective cost analysis estimated the actual cost of preventive methods adopted.

Results: Most respondents indicated the largest cost impact was suspension of Phase II expansion, a series of projects designed to increase iron ore production in Liberia. The next largest cost was the preventive measures adopted to counter disease spread. Total costs incurred for adopting preventive measures was USD 10.58-11.11 million. The overall direct costs of preventive measures adopted within the fence, meaning within the physical boundary of the firm's sites, shared 30-31% of the total costs incurred. The share of external donation supporting humanitarian response was 11-12% of the total costs, followed by 7-12% of relational costs.

Conclusions: The firm's response during the EVD epidemic focused on its employees and operations, which was later expanded to the wider community and then in supporting the international humanitarian response.

#### Introduction

The Ebola Virus Disease (EVD) epidemic of 2014 in West Africa was unprecedented, leading to a public health emergency of new scale. The morbidity and mortality impact of the 2014 EVD epidemic was far larger than all previous EVD epidemics combined [1], and the overall economic impact of the epidemic for the most Ebola-affected countries including Liberia, Guinea, and Sierra Leone, was estimated at USD 2.2 billion in Gross Domestic Product (GDP) losses [2]. The economic impact of epidemics has frequently been reported at the macroeconomic level, that is an impact affecting the aggregate economy of a country.

However, there has been relatively little investigation into the effect epidemics can have at the microeconomic level on individual market participants, such as those in the extractive industry [3]. This industry comprises firms that extract raw materials from the earth, and is very important to the economies of West African countries in GDP terms, where EVD epidemics are more likely [4], although some have argued that the industry has yet to fully contribute to the developmental goals of these states, such as in stronger healthcare systems of the local communities where their extractive activities are mainly concentrated [21].

Extractive projects put workers at high risk of exposure to pathogens such as the Ebola virus. These projects are frequently associated with increased contact between wildlife, humans and domestic animals, a major risk factor for the emergence of infectious disease [5]. The extractive industry, through its operations, necessarily brings about changes in the social and natural environments such as advancing into new uninhabited areas where operations like exploration, extraction/mining activities and developing transportation networks in these remote areas lead to increasing contact with wildlife. This places a significant burden on local ecosystems, and as local economic systems emerge to support increasing worker populations, opportunities increase for infections like EVD to breach the species barriers between animals and humans. The EVD crisis therefore posed a major economic threat to mining operations and future mining investments in the

region. This threat was compounded by changes in market conditions, including a decrease in the global price of iron ore.

The Ebola virus epidemic reportedly began in West Africa during late 2013. It started in Guinea and spread at an alarming rate, quickly involving Liberia, Sierra Leone, Nigeria, Senegal, and Mali [5]. This is the first EVD epidemic to reach epidemic proportions; previous epidemics were localised and were brought under control within a few weeks using methods such as effective reporting, contact tracing, and quarantine [6]. On August 8, 2014 the World Health Organization (WHO) declared the epidemic to be a 'public health emergency of international concern' and later the most severe acute public health emergency seen in modern times [7-9]. The epidemic was eventually halted, with transmission now effectively contained within the region. Since 2015 only isolated cases have been reported in Sierra Leone, Guinea, and Liberia [10-13].

Countries in West Africa are rich in many mineral deposits including alumina, bauxite, cement, diamond, gold, mineral sands, and iron ore [14,15]. The iron ore industry in particular has played a key role in the economic growth of Liberia and Sierra Leone, contributing heavily to their economies over the last few years [2,14]. Mining, a critical sector, had been affected by the EVD epidemic directly through expansion delays, lack of new investment, absence of technical expatriate staff and perception issues that have made it difficult for mining companies to raise necessary capital [16]. This had been exacerbated by a concurrent decline in the global price of iron ore. Due to the importance of mineral deposits to the economies of these countries, the extractive sector is an important industry to consider within the larger economic impact of the EVD epidemic in affected regions. How these regions are affected by the epidemic, and how they respond to the epidemic by adopting preventive measures, has potential economic consequence on the extractive industry and overall economies in the Ebola- affected region. One major firm within the extractive industry is ArcelorMittal, a

multinational company headquartered in Luxembourg. Being the world's largest steel producer [17], the firm had planned a series of projects in Liberia known as Phase II, worth USD 1.7 billion. These projects aimed to expand iron ore production for AccelorMittal from 5.2 million to 15 million tons per annum. ArcelorMittal was significantly involved in developing EVD out- break infrastructure in the region and was recognised by the Clinton Global Initiative for its swift and collaborative response [18].

In the context of the 2014 EVD epidemic, it is important to document actions of a multinational firm in their response to the epidemic, the various channels of impact of EVD epidemic, as perceived by their staff working during the period, on the operations of the firm in the extractive sector and estimate the costs of the preventive measures that were put in place. Additionally, documenting the actions and measures taken during period can help possibly identify longer term benefits (or otherwise) to the firm, as well as their employees, of continuing operations during the lockdown of the country where effective travel between locations was limited. This study was therefore designed to estimate the direct and indirect costs of preventive measures of the EVD epidemic to ArcelorMittal and its subsidiary ArcelorMittal Liberia, using data from a case study based in the outbreak region. Documenting the actions of one of the few firms that continued to operate during the EVD epidemic period may provide some benefit to other firms and business looking to build organizational resilience to epidemics in the future. Therefore, this study aims to qualitatively analyse the perception of staff and contractors of the firm on the EVD epidemic, it's impact on the firm in terms of actions taken (or not taken), on supply chains, on the operational cost of the firm, and then to estimate the actual costs incurred by the firm for preventive measures adopted during the EVD epidemic period.

### **Methods and Approaches**

Study setting and design

The case study was based on ArcelorMittal and its subsidiary ArcelorMittal Liberia. The study applied a mixed methods approach to assess the firm level impact of the EVD epidemic on the case study firm with an emphasis on quantifying the total costs of the preventive measures taken by the firm during the EVD epidemic period from March 2014 till December 2015. The study used qualitative methods to investigate and map the sequence of events and the various direct and indirect impacts of the epidemic on the firm, as perceived by its employees and contractors. The quantitative data on the direct and indirect costs of the EVD epidemic to the firm was extracted from interviews, financial documents and other materials provided by ArcelorMittal and its employees. This data was further validated in group sessions with relevant AM personnel.

Informed by the qualitative analysis and the quantitative data collected from the various departments across AML including the finance department of ArcelorMittal Liberia, as well as crosschecking with eternal sources, the study estimated the actual cost of the preventive measures both 'within the fence', which indicates expenditures made within the physical boundaries of the firm's sites, and 'outside the fence', which indicates expenditures made on actions that primarily take place outside of the firm's physical boundaries, that the firm had adopted during the epidemic period.

## Data collection and data management

The research study used in-depth interviews (IDIs) and focus group discussions (FGDs) to collect qualitative data as part of the case study approach. In order to facilitate and guide IDIs and FGDs, guidance notes were prepared in advance (Appendix A, Appendix B). IDIs were conducted in English and lasted approximately 45 to 60 minutes. FGDs were conducted in English and lasted almost an hour, consisting of 5 to 7 participants.

Participants in IDIs and FGDs were asked for consent before digitally recording their responses, where consent was not given detailed notes were made. These recordings were than transcribed by one member of the research team and the transcripts were then checked by other team members for accuracy. These transcripts were then all entered in Nvivo for further analysis. All paper and soft copies of field notes, audio files, contact summary form, enrolment forms, consent forms and any other notes were kept securely. The digital formats of IDIs and FGDs were anonymized, password protected and saved in a secured location.

Through the IDIs, respondents were asked to list what they believed were the most critical systems impacted within the firm by the EVD epidemic and, if applicable to them, were asked to provide information on how the cost structure within the firm may have been affected accordingly. Questions were asked about the preventive measures taken by the firm, their knowledge and opinion about the implementation of those measures and their understanding of how it may or may not have impacted their work. If known to them, the costs of those measures were also asked about. Detailed data on the cost components identified in the qualitative study were sought from relevant departments of the firm.

The FGDs were conducted at the end after all interviews were completed, where they were used to check if the key findings from the IDIs were valid and correct. The questions for the FGDs were formulated by the research team after the preliminary analysis of the first set of IDIs and were emailed to respondents in advance to ensure that they were aware of the type of questions being explored. Subsequently, the transcripts were checked by the other study team members to ensure that the questions and format of the FGDs were followed as designed.

### **Analysis**

Qualitative analysis

The study used interview data to identify major sources through which the epidemic impacted

the different aspects of the firm's operation and consequent operational costs. Respondents were asked to list and rank what, in their opinion, were the three major cost impacts of the EVD epidemic on firm's operations. It was reported that there were opportunity costs of staff time devoted to deal with the epidemic. Relational costs of the EVD epidemic, the costs indirectly arising from the impact of the EVD on operations of the firm, was investigated using data from qualitative interviews. The interviews were transcribed individually into Microsoft Word documents using ScribePro and analysed thematically using content analysis to derive the main concepts about the perceptions of the employees as well as key information about the decision making within the firm.

## Analysis of Quantitative data released by the firm

A retrospective cost analysis was performed from the firm's perspective using the financial information extracted from the interviews, focus group discussion and the financial documentation provided by AM. This entailed a detailed look at the cost and expenditures data shared by the various departments of the firm – tabulating them chronologically and cross checking between sources to ensure consistency and accuracy. The policies, their costs and impacts were validated in further group sessions with participants, who had knowledge about financial expenditures, at the end of the study. Similarly, the opportunity costs of the relational items were quantified using wage data of the firm obtained from both qualitative interviews and quantitative data.

## Items, Outputs and Costs

The financial information collected from the firm detailed the specific dollar costs of all items purchased during the epidemic period – both those items purchased directly for the preparedness, mitigation and response phases and, also, the items purchased in routine operations during this period to compare with data from the previous year's purchases. This comparison helped the team develop a better understanding of dollar expenditures towards additional activities and towards routine total

costs. Additionally, total production or output level data was also obtained from the relevant department for several years so that a comparison to similar periods in the previous years could be made. Finally, total cost data that covered the above two items for the firm was also obtained for the epidemic period.

### Sources of Information

The primary source of all quantitative data was the Accounts and Payroll section of AML. The data sets used were given to the team in Excel sheets and data was shared for the epidemic period 2014as well as data from the previous two years for comparison. The data shared was shared in two batches one before the field began and one post fieldwork, although additional clarifications and some figures were shared on direct request throughout the study period whenever gaps or missing information was perceived. Needless to say, the process was not easy and securing the data was a difficult task especially as the study took place just after the epidemic period and the firm were still operating under EVD epidemic conditions.

## Sampling

Table 1 shows the number and composition of the sample of respondents interviewed across different locations and occupational categories within the firm. Although a cross section of employees was taken in the study, more interviews were taken of those in management and finance than other departments due to their role in decision-making within the firm, their knowledge about costing information, and their access to financial data. Most respondents were from senior management and had considerable industry experience.

## **Results**

The results from the quantitative assessment from the data provided by the firm and validated by the qualitative interviews on the perception of staff and employees of AM, along with their experience of the epidemic period will be given below. The results from both these analyses were then subsequently validated by the FGDs.

#### **Quantitative data**

## Cost impacts

The quantitative data indicated that the single largest cost of the EVD epidemic in 2014 to the firm was from the range of preventive measures put into place in the firm's concession areas and raising awareness in the adjacent community. Secondly, it was the in-kind donations of priority materials and direct support to national and international engagement in the health and humanitarian crisis that was verified by internal and external sources. Thirdly, Ebola-related construction costs was the next highest dollar value expenditure, followed finally by the additional salary paid to workers as hazard pay during the epidemic period, and evacuation of NES. There were other costs, including the lost productivity from workers' engagement with safety and health measures during the epidemic period. The total preventive costs of the epidemic incurred by the mining firm were mainly driven by direct costs and relational or productivity costs as reported in Table 2. The total preventive costs of epidemic were in the range of 10.58 million USD to 11.11 million US. The range arises from uncertainty in only one element of the costs, the relational costs.

The overall direct costs of preventive measures adopted within the fence, the term used to indicate within the physical boundaries of the firm's concession, shared 30-31% of the total costs incurred (Table 2). The share of external donation supporting humanitarian response was 11-12% of the total costs, followed by 7-12% of relational costs. Construction- related costs comprised 14-15%, salary comprised 23%, and evacuation of non-essential staff comprised 11-12% of total costs.

## Preventive measures

57% of preventive costs were incurred from payment to consultants (International SOS) and training for laying the security and safety measures in place (Fig.2). Costs of building an ETU for treating suspected or infected cases were 31% of the total preventive costs. Costs related to

screening of everyone entering the site and building social awareness in the adjacent community was 12% of total preventive costs.

Donations and direct support to health and humanitarian crisis

The mining firm made donations to the international public health and humanitarian response communities for supporting prevention and treatment of Ebola. The costs related to donations and support to external Ebola response was approximately USD 1.27 million. The major share of external support was for supporting response towards eradication of EVD, followed by building 3 isolation centres, donations towards ambulance services, contract tracing, machinery and capacity to construct external ETUs, as well as other essential medical supplies as shown in Fig.3. Donations also included supplying fuel, preparing burial grounds, and other preventive actions (screening, quarantine support and installing a scanner at the airport).

The firm provided external support to many Ebola initiatives around community. The largest share of external support was provided to support Red Cross activities (56%), followed by providing funds for the regional county task forces formed at the local government level to deal with the epidemic (28%), hospitals (11%), county/township services not covered under the taskforce roles (4%) and other beneficiaries including airport, police and other government departments (1.2%).

Human resource policies – hazard pay and evacuation of non-essential staff

The firm paid hazard and incentive payment to the workforce to help maintain a stable supply of workers during the epidemic period. The costs of additional salary payment during the epidemic totalled USD 2.41 million. The cost of evacuation of expat and NES was USD 1.27 million.

#### Relational costs

Based on the distribution of workforce and hourly wage rate across employment category (Table 3), the costs of lost productivity were in the range of USD 0.78 to 1.30 million.

#### Ebola-related construction costs

These additional construction-related costs totalled USD 1.56 million.

## Findings from the Qualitative data

The presentation of findings from the qualitative IDIs and FGDs is detailed next and helps provide context and background to the results in the previous section as well the general perceptions of the workforce during the epidemic period.

## Cost impacts

Fig.1 shows the major cost impacts of the EVD epidemic as perceived by respondents. The majority of the respondents (n=16/24, 66.6%) indicated that the suspension of Phase II expansion was the largest cost impact on the firm. The next largest perceived cost impact (n=15/24, 62.5%) was the preventive measures adopted by the firm to counter the spread of Ebola followed by external donations mentioned as the third largest cost impact (n=11/24, 46%), although this could be due to the proportionately larger number of senior management interviewed who would be more concerned about reporting such external donations. Respondents also indicated several other sources of impacts which include impact associated with administrative issues, loss of efficiency due to temporary redundancies, and hazard pay.

## Phase II expansion

Though not a preventive measure, the suspension of Phase II expansion was cited the most times (n=16/24, 66.6%) by respondents. The project is placed on hold till further notice by the firm, more due to the international market price of iron ore than the aftereffects of the Ebola

epidemic. The resultant loss in overall production and revenue has significantly impacted both the firm and Liberia itself. The assessment of what the total costs of this suspension is beyond the scope of the study. Respondents mentioned that one reason for the suspension of Phase II in 2014 may have been due to airlines ceasing services to Monrovia, the capital city of Liberia:

"The [Phase II] construction was impacted because our contractors had to leave...some of them...because of Ebola fear...they did not leave because of Ebola but because of the restrictions put on travel...and they did not want to be hemmed in."

The mining firm decided to delay and eventually (late 2015 - early 2016) to temporarily suspend the expansion which may have been due to the tough international market conditions for iron ore in that year (2015) in addition to the impact of the EVD epidemic.

"The 2014 dates [of Force Majeure] were more driven by the contractors themselves saying...you know we are pulling out...this [risk] is not acceptable to us - 2015 was more I suppose driven by the firm in the sense that we were actually calling the suspension to the project...but one kind of fell after the other...it was a bit of a domino...but certainly you know if Ebola had not come in theory we would have...you know those months we lost we would have had contractors on the ground and they would have been constructing and we would have been further down the road then we are now."

A quick response, consultant costs and training

The major cost impacts that were reported and pointed out by the respondents were part of the package of preventive measures that the firm adopted over the epidemic period. Fourteen of the twenty four respondents (n=14/24, 58%) had the opinion that one of the key factors that separated the firm from other extractive industry members was the proactive nature of the firm

in seeking information from a world-renowned health expert in the field relatively early in the process. The health expert spent three crucial weeks of April 2014 in Liberia and advised the firm on preventive measures while collaborating with International SOS, a medical and travel security services firm, in developing their medical response to the epidemic. This intervention was important for ArcelorMittal both as a tool for the internal communication of risks within the organization and for providing insight into strategies to safeguard their employees, the concession and the communities around it.

## Social awareness campaign and programs

Risk communication materials developed during this initial phase (in April-May 2014) helped the firm in Liberia to distribute large numbers of printed material across their concessions initially targeting employees, their families and then the wider community at large (June onwards). Some respondents (n=7/24, 29%) indicated that there were additional roles they had to conduct during the epidemic period. These roles included conducting a social awareness campaign on Ebola, delivering hand washing buckets and sanitizers and other activities within these communities. This was particularly for those communities inside or in close proximity to the concessions.

## Screening and fencing, and Ebola treatment unit

Temperature screening and access control were some of the first steps recommended by experts and these were quickly implemented by the firm in Liberia throughout the concessions where the firm operated. Fencing around the key operational sites in the concessions was completed by the end of June and by beginning July temperature screening and hand washing stations were operating at all entry and exit points of the fenced zones in the firm's concessions. These steps were quickly absorbed into the health and safety (H&s) culture already prevalent in the firm. Multinational extractive firms in general must follow international H&S standards and routine training, certification and adherence to these industry

standards may have contributed to the swift implementation of temperature screening and fencing protocol throughout its operations. The strict observance of temperature screening protocols was reported positively by several respondents (*n*=8/24, 33%) as the primary reason for staying Ebola free within the fence in the concessions throughout the epidemic period, whereas a large majority (n=19/24, 79%) indicated it had an important role in maintain operations. Respondents gave several examples from memory of incidents of suspected Ebola cases being refused entry that later became confirmed EVD cases. During the epidemic period in late November 2014, AML built and maintained two separate Ebola Treatment Units (ETUs) at considerable cost. A large number of respondents (*n*=10/24, 41%), perceived the ETUs construction as the single most expensive expenditure. The two new ETU buildings were specially designed and equipped to handle three confirmed Ebola patients each (total six) and were developed to stabilize Ebola patients till they can be evacuated to their country of origin.

Human resource policies – hazard pay and evacuation of non-essential staff

Several human resource policies were enacted during the epidemic that had significant cost implications for the firm. Two specific policies were indicated in the interviews, hazard pay policy and the non-essential staff (NES) policy implemented throughout August and

September 2014. The hazard pay policy was costly because of the number of employees that qualified for it. In effect, all those who were classified as essential would receive it. In addition to the hazard pay policy, those employees who were considered as Non-Essential were sent home and were also paid a salary though at a reduced rate. Non-Essential staff were asked to work from home where they continued to support the firm's operations remotely. Another major cost impact was the NES evacuation flights arranged for ex- patriates and NES. The evacuation flights were triggered after most international airlines cancelled their flights to and from Monrovia, Liberia in August 2014. A number of respondents (n=6/24, 25%) indicated that the hazard pays, and NES policies together had the largest cost impact of the epidemic on the firm's operations.

Relational costs and the emergency management team

Some respondents (n=5/24, 20%) indicated that there was an administrative productivity loss (relational costs) due to the preoccupation with EVD management and, also, as a direct result of some of the preventive measures taken. In early 2014, the firm began reviewing and updating emergency management plans in Liberia in case of major security incidents or natural disasters. The firm had decided at that stage to develop emergency management teams (EMTs) in Liberia as part of a crisis management infrastructure within the firm. These team consisted of senior management and other concerned staff, as dictated by the needs of the crisis, and would be enacted on an emergency by emergency basis. These EMTs played a crucial role in responding to the EVD epidemic as there was a crisis management structure which the firm in Liberia could build then on and link to a central EMT located in London.

"Even before the outbreak... in Liberia...so for example if we had a major security incident or natural disaster...anything...we could manage it effectively from the company side. So, we put in place what was called an Emergency Management Team... really all they consisted of was a lot of the head of departments from the CEO on to Health & Safety, Security, Communications and Medical."

The EMTs connected daily for several hours a day for the duration of the outbreak and played a key role in the decision-making process, with regards to what preventive measures were to be taken and when. These meetings of senior management and staff for several hours a day for the peak months of the epidemic had significant cost implications.

The qualitative interviews suggest that on average senior management spent 1.5 hours per day during the peak Ebola period (August to November 2014), followed by 1 hour daily during the off-peak epidemic period (December 2014 to June 2015) and 0.2 hour daily during the super off-peak epidemic period (July to December 2015). The terms peak, off-peak and super off-

peak were used by the senior management team in the EMT based in London to describe the crisis period from their point of view in terms of how much time they allocated to the meetings. In the base case, only staff time of senior management is costed to value relational costs. The daily hours spent by senior management on Ebola related activities during peak and off-peak period varied between lower (1 hour during peak, 0.5 hour during off peak) and upper limit (2 hours during peak, 1 hour during off peak) as indicated in the qualitative interviews. We have also evaluated time costs of all other employment categories to predict possible relational costs when epidemic affects staff time and productivity across the board. It was inferred from statements by operational staff that all other workers spent on average 0.2 hour per day on Ebola related activities over the epidemic period (August 2014 to December 2015).

#### Ebola-related construction costs

During the EVD epidemic period, the firm also incurred additional construction costs for mining activities while maintaining the security and safety of its workforce considering risks related to Ebola transmission. These costs were incurred from constructing gates, installing washing stations, and building fencing as safety measures adopted to fight Ebola.

One of the crucial impacts of any disaster related disruptions is on the supply chain of the firm. The epidemic caused considerable issues with logistics and this is confirmed in interviews with members across several departments within the firm. A few respondents (n=3/24, 12.5%) also indicated that some of the extra costs and bottlenecks in operations were a direct result of issues in supply chain and logistics. This is especially true for a firm in the extractive industry working in conditions like Liberia where most supply items, if not all, are imported from other countries. However, this could not be documented through quantitative means due unavailability of data from the concerned department. One of the policies that could be documented from other departments was stockpiling of several items required for the

implementation of key preventative measures and steps like masks, PPE clothing, temperature screening equipment, maintaining hand washing stations and alcohol-based hand sanitizers.

The costs for those items have been included in the preventative measures' expenditure section where appropriate rather than here.

Furthermore, the interviews probed whether there were additional costs of the epidemic from shutdown, transport costs, insurance payment, and supply chain items attributable to the EVD epidemic period. The responses of key staff of the firm suggested no additional costs were incurred from any other items in addition to those already included in this analysis.

## **Discussion**

The IDIs and FGDs provided the team with an understanding of the perception of employees and contractors of the firm regarding the chain of events during the epidemic. It also informs them of the different areas of expenditure costs incurred by the firm for preventive measures adopted to stop the epidemic from entering its workforce and operational sites. The quantitative data provided by the firm helped match and compare those perceptions with actual expenditures incurred. The qualitative results show that despite initial gaps in knowledge and awareness of EIDs like Ebola, AML was able to rapidly access expertise and put into place a number of preventative measures that primarily focused on inside the fence risk mitigation that incurred additional operational costs. The responses indicated that AML had the capacity of early detection and was flexible enough to respond quickly to the situation by changing practice and allocating the required funds for its implementation. The ability to quickly adapt infection control measures and to internalize them into existing health and safety mechanisms meant AML was better prepared in June 2014 when the epidemic entered urban areas of Liberia than it was in March 2014 at the outset of the epidemic. The level of preparedness, and to certain extent the quality of mitigation measures adopted by the firm, was documented in the study through mix methods allowing the research team to analyze the additional cost impacts that were incurred by the firm during the epidemic period.

## Cost impacts

The magnitude of actual costs incurred by the firm largely conformed to the perceived costs identified by the respondents in the qualitative study. The actual cost of preventive measures and reduced productivity incurred by the firm was in the range of USD 10.58 to 11.11 million (Table 2). This range is attributable to partial data availability, as well as different perspectives within the firm on lost productivity and, also the expenditures on addressing productivity over the period. Estimates on productivity loss are difficult to obtain accurately especially during a complex event like the EVD epidemic period and it must be noted that the team had difficulty in obtaining the financial data in its entirety. The main sources of actual costs impact as indicated by the quantitative data were (a) preventive measures adopted in the firm's concession areas and raising awareness in the adjacent community, (b) in-kind donations of priority materials and direct support to national and international engagement in the health and humanitarian crisis, (c) Ebola-related construction costs, (d) additional salary paid to workers as hazard pay during the epidemic period, and evacuation of NES.

Accordingly, the largest cost was generated from preventive health outlays and other containment measures implemented on the mining concession and the community. The second largest costs were incurred from additional salary payments and evacuation of NES, followed by Ebola-related direct construction costs, external support towards Liberia's efforts to contain, treat and eradicate Ebola, and reduced productivity due to the EVD epidemic period.

The respondents in the qualitative interviews identified Phase II expansion as the largest costs impact of the EVD epidemic period on the firm, followed by preventive measures, external donations, consultant fees, ETU construction, and hazard pay. As a consequence of Phase II suspension, many contractors declared Force Majeure, when unforeseeable circumstances prevent a contract from being fulfilled, resulting in contractors pulling out of Liberia in August and September of 2014. Although the EVD epidemic may have been responsible for the series of events that led up to this declaration, the general market prices of iron ore and other

considerations also played a role. In the quantitative costing, the researchers were unable to estimate the costs associated with Phase II expansion. However, for the other items listed, the actual costs incurred largely conformed to the perceived costs. It also needs to be highlighted that the low iron ore prices over the period likely added to the uncertainties stemming from the EVD epidemic on business continuity and expansion of iron ore mining.

## Minimising disruption privately and publicly

The study shows that there was a system in place in ArcelorMittal Liberia for early monitoring of threats such as disease epidemics. Despite this, Ebola was identified as a potential emerging infectious disease (EID) risk only when it was confirmed in Guinea in March 2014. By this time, Ebola had already spread to Liberia. For organizations to be resilient they need as much lead time as possible before a disruption, in order to develop and implement measures that can help prevent or mitigate the impacts of a disruption on its business activities. This is especially true for EIDs that can spread unnoticed in a human or animal population for a significant period of time before being detected.

Interviewees felt that one of the factors for continued operations of the firm in Liberia during the EVD epidemic was the role attributed to communications in its corporate culture [19]. Effective communications plays a role throughout the risk management process especially when there is uncertainty in outcomes [20]. In accordance, our qualitative data indicates that ArcelorMittal Liberia effectively used risk communication in the implementation of preventive measures at different levels ranging from community social awareness programs to industry collaboration in the form of the Ebola Private Sector Mobilisation Group (EPSMG) and its campaign for a coordinated international response to the EVD epidemic. The EPSMG, initiated by ArcelorMittal, had participated in international advocacy for a global response to the Ebola epidemic at the UN and other forums, and also contributed to the mobilization of in-country private sector resources to support humanitarian and healthcare efforts [22]. We recommend

that firms develop training programmes in crisis coordination for communication departments at both local and international levels that will improve the ability of firms in the extractive industry to respond to a disruption. Inclusion of ArcelorMittal communication staff in EMTs at the earliest stage is an example of what role effective communication can play in reducing fears of employees in the initial stages of an outbreak.

Private firms in the extractive industry also typically have operations in remote locations, like the border areas of Nimba County in Liberia, and can therefore play a vital role in the early detection of EIDs if connected to local health systems. This is in the interest of both the public and private sectors to increase preparation time for mitigation strategies that can limit the extent of impact of the disruption [21]. The implementation of a EID early warning system would ensure that disruptions to business continuity from EIDs could be minimised. This could only be done if these extractive firms are integrated into local health systems. This requires active public-private collaboration on sharing information towards developing an effective early warning system and consequent control measures.

#### Supporting the community

The timeline of the ArcelorMittal's response demonstrates that the firm was engaged in prevention, building and strengthening EVD epidemic control infrastructure, for example Ebola screening mechanism and ETUs (Fig.4). These developments were within the concessions and, to a certain extent, in the wider community. These developments also occurred at a time when the firm's contribution to the epidemic response was extremely important, well before the international community's response. The example of the EPSMG is given above and was responsible for considerable community mobilization activities and coordination among key stakeholders.

To put these expenditures on preventive measures and external donations in context to the

epidemic timeline, Fig.4 describes the chronology of when and where these expenditures were made as indicated in the interviews and quantitative data and Table 4 can be used as a legend for figure 4. The quick response by the firm in bringing in expertise (April 2014), within a week of putting Ebola as EID on the risk register (end of March 2014), to help increase disease understanding is seen here as the first step towards developing and adopting a medical approach to the EVD epidemic.

Most of the respondents (n=18/24, 75%) indicated that by early adoption of recommended measures, like social awareness campaigns and temperature screening, the firm was reacting proactively regardless of the mortality and morbidity rates prevalent in Liberia at the time. The timeline of the firm's response also shows that when the number of cases per week increased in June and July 2014, the firm in Liberia already had systems in place to continue monitoring its workforce and maintain its production. This commitment to be operational allowed it to be in a position to help the communities it was based in to combat the outbreak by contributing to the building of critical EVD epidemic control infrastructures, such as Isolation Centers and Contact Tracing teams, when they were needed most at the peak of the outbreak well before the international community's response.

The timeline of response shown in Figure 4 and Table 4 illustrates how the firm started by adopting timely preventive measures to protect its employees and operations. The success of the firm's response in maintaining the site Ebola-free led it to expand its support to humanitarian response in partnership with government and nongovernment organisations.

## Recommendations

There are several recommendations that can be made following this case study. Firstly, Due to the importance of effective communication in the risk management process, we recommend that firms train their communications department to manage crisis coordination, both internally and externally to the communities they operate in. Risk communication

vertically with government and local communities as well as horizontally with other private sector actors to form partnerships and coalitions, like EPSMG, can also contribute to operational resilience.

Formulating such partnerships can allow private firms like ArcelorMittal to adopt wider early warning systems to monitor for critical events such as disease outbreak, with particular emphasis on being able to identify the potential impact of EIDs before it is too late. Integration of such a system into the local community and health system would also minimise the public impact of an epidemic. This requires significant collaboration and information sharing between public and private sectors in order to create such a system. Being able to act early and proactively allows firms not only to protect their own operations but also allows firms to commence and support their humanitarian response earlier and more productively.

### Strengths and limitations

This study's strengths are largely related to its practical applicability in the industry. The study was set in the real-world mining firm context. The views of the experienced mining staff have strengthened the study by providing a balanced and representative view of how an epidemic can affect mining operations, and how a future crisis could be handled. The study was able to report on direct costs on preventive and mitigation measures incurred by the firm over the course of the epidemic.

This study also has several limitations. First, the study was conducted while the epidemic was continuing and ArcelorMittal was experiencing economic downturn, not only because of the epidemic but also due to falling commodity prices in the international market. Second, this study was designed to capture the effect of epidemic on both direct and indirect costs, especially the effect on supply chain items and future expansion projects, but it was not possible to obtain sufficiently detailed data to estimate such costs. Third, the qualitative and

system analysis was limited by the availability of the key respondents during the study's timeframe. These limitations stemmed largely from a high turnover of finance office and senior management staff over the course of the study, as well as a large number of redundancies, particularly in the finance division, which the company had to incur at the end of 2015.

#### **Conclusions**

The cost incurred by the mining firm for adopting preventive measures during the 2014-15 EVD epidemic was in the range of USD 10.58 million to USD 11.11 million. The response of the mining firm during the EVD epidemic was focused on its employees and its operations, which was then expanded to the wider community and then in supporting the international humanitarian response. This was important to building and strengthening the Ebola response infrastructure of Liberia to make a concerted effort to fight the epidemic. There are several recommendations that can be made to private firms following this case study. This includes introducing crisis communication training and creation of an early warning system for events such as EID epidemics. Importantly, such a system should be integrated into local community and health regimes in order to minimise the public impact of an epidemic. Due to a paucity of studies examining the macroeconomic and especially microeconomic impact of Ebola, further research would help strengthen understanding of the economic impact of endemics and how firms and economies can best manage epidemics.

## **Declarations**

Ethics approval and consent to participate

Not applicable

**Consent for publication** 

Not applicable

Availability of data and material

The datasets generated during and/or analysed during the current study are not publicly available due but are available from the corresponding author on reasonable request.

**Competing interests** 

Alan Knight is the general manager of ArcelorMittal. All other authors declare no competing interests.

**Funding** 

None

**Authors' contributions** 

HT and AK performed data collection and analysis. HT, DRE, YB, AL, and LG drafted the manuscript. LM, ZS, MK, AK, and OD supervised the project. All authors read, critically analysed, revised, and approved the final paper for publication.

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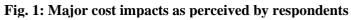
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## Legend

- Fig.1: Major cost impacts as perceived by respondents.
- Fig.2: Percentage distribution of costs related to preventive actions adopted on site and adjacent community.
- Fig.3: Costs of external support by activities.
- Fig.4: Ebola cases per week and the chronology of preventive measures and external support.
- Table 1: Sample size and composition.
- **Table 2**: Costs of preventive measures.
- Table 3: Distribution of workforce and wage rate.
- **Table 4**: Timeline of events and actions taken by the firm.



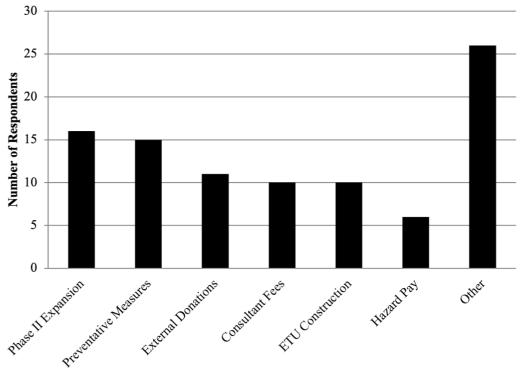


Fig. 2: Percentage distribution of costs related to preventive actions adopted on site and in the adjacent community

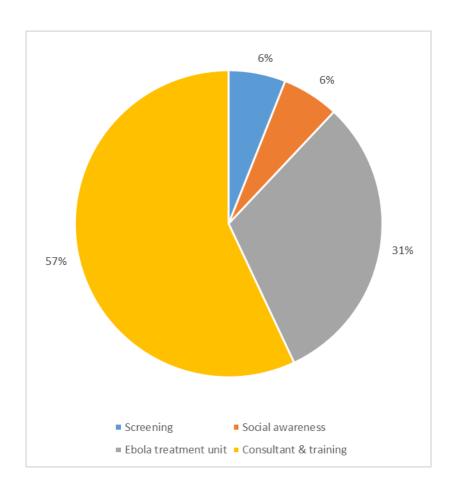


Fig. 3: Costs of external support by activities

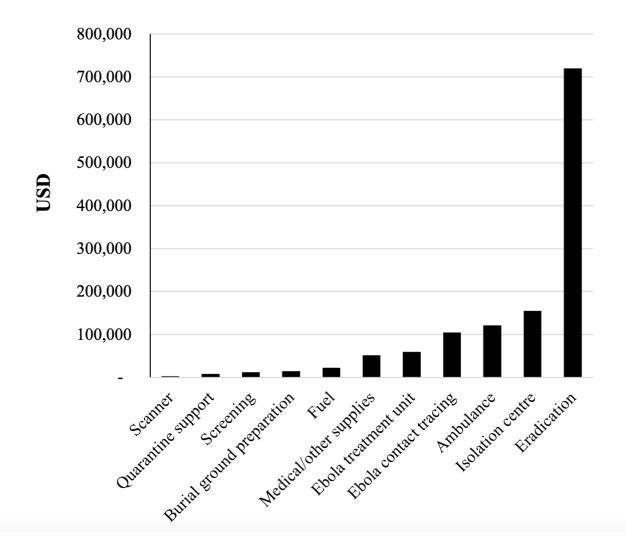
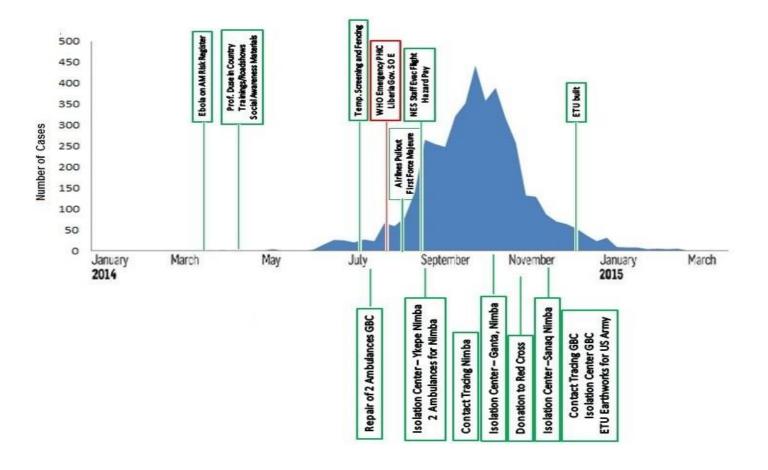


Fig. 4: Ebola cases per week and the chronology of preventive measures and external support



**Table 1: Sample size and composition** 

<b>Occupational Category</b>	London	Liberia	Total	Remarks
Senior Management <sup>a</sup>	7	7	14	Workshop conducted with 6 exexutives from London
Professional, Administrative				In Liberia:
and Technical Management				IDIs with 7 expatriates and 9 locals
(PATM) <sup>b</sup>	1	5	6	
				1 FGD with expat contractors (7 participants)
Skilled <sup>c</sup>		4	4	1 FGD with Liberian employees (6 participants)
Total	8	16	24	Skilled and unskilled

a) Recruited from the following departments: communications, corporate responsibility, finance, human resources, supply chain/logistics, health & security and government relations.

b) Recruited from the following departments: administration, risk management, environment, health & safety, supply chain, security, and transport.

c) Recruited from the following departments: estate, maintenance, port, mine, rail, security, and transport.

**Table 2: Costs of preventive measures** 

Costs	Estimated USD (in million)		
Within the fence preventive measures	3.29		
External donations	1.27		
Construction related	1.56		
Salary	2.41		
Evacuation of non-essential staff	1.27		
Relational	0.78 to 1.30		
Total costs	10.58 to 11.11		

Table 3: Distribution of workforce and wage rate

<b>Employment category</b>	% of total work- force <sup>a</sup>	Hourly wages (\$)
Senior management	1.2	150.0°
PATM	14.6	6.0 <sup>b</sup>
Skilled	72.4	4.7°
Unskilled	11.8	3.7 <sup>d</sup>

a) Obtained from qualitative interview.

b) Calculated from average monthly salary of employees working in following departments: communications, corporate responsibility, finance, human resources, information technology, legal, school, and technical services.

c) Calculated from average monthly salary of employees working in following departments: administration, environment, health & safety, and supply chain.

d) Calculated from average monthly salary of employees working in following departments: estate, maintenance, port, mine, rail, security, and transport.

 Table 4: Timeline of events and actions taken by the firm.

No.	<b>Approximate Date</b>	Event
1	Mid-March 2014	Ebola placed on AML's Risk Register
2	April 2014	Prof. Duse (Infectious Disease Expert) invited to conduct Risk Assessments and Trainings of Staff
3	July	Fencing completed around main sites and temperature screening begins
4	July	Repair of 2 ambulances for local authority
5	July 17 <sup>th</sup>	WHO declares Emergency
6	7 <sup>th</sup> August	Liberian Government declares State of Emergency
7	August	Airlines Flight Cancelation, First Contractor declares Force Majeure
8	August-September	Non Essential Staff evacuation, beginning of Hazard Pay policy
9	September	Isolation Centre established in Ykepe, Nimba. 2 ambulances donated
10	September	Contact Tracing for Employees and community in Nimba started
11	September	Isolation Centre established in Ganta, Nimba
12	November	Major donation to the Red Cross
13	November	Isolation Centre established in Sanqa, Nimba
14	November	Contact Tracing and Isolation Centre in Grand Bassa County
15	November-December	Earthworks done for US Army field Ebola Treatment Units (ETUs)
16	November-December	2 ETUs established for AML employees use

## Appendix A: Interview guide for in-depth interviews

Specific dimensions/topics	Questions	Suggested probes
Introduction/ background	Please indicate what is your designation /de- partment that you be- long to and describe the nature of your role in the company as well as your main responsibilities and duties. How long have you worked in this company? How long have you worked in the mining industry?  Did you work in the industry during the current outbreak period? Where exactly and for how long?	<ul> <li>How many countries or sites do you manage?</li> <li>Is the company centralised or is decision making devolved to the sites?</li> <li>Did your roles and responsibilities change in any way during the outbreak?</li> </ul>
Risk and vulnerability	Can you describe any past experiences of dis- ease outbreaks or ill- nesses in your mines in Liberia or other mining sites where you have worked? How serious are these diseases for the com- pany and local commu- nities? Please give examples of their impacts.	<ul> <li>What happened?</li> <li>What kinds of situations make these diseases more or less likely?</li> </ul>
Systems Affected by Outbreak	6. Can you please list, to the best of your knowledge, which aspects of the mining operations were most affected during the Outbreak? (List and rank)	<ul> <li>Production</li> <li>Mining Capacity</li> <li>Human Resources</li> <li>Health &amp; Safety</li> <li>Why do you think this was the most affected?</li> </ul>
Production	Can you please describe how production was af- fected during the out- break? (List and rank if more than one way) What were the added challenges of operating	<ul> <li>Compare to normal operations previous to the outbreak</li> <li>How was the Production Rate affected? Production Goals? Daily/Weekly/Monthly data?</li> </ul>

in an outbreak environ- me	ent	How were inventory lev- els affected?
		Did this significantly affect order rates/order fulfilments?
Factors of Production (INPUTS)	How were the costs of Production affected?  How were supply chains of inputs affected?	Of local inputs Of inputs being brought in from abroad Rental/repairs Any Critical blockages that were affected for key inputs Petroleum/chemical products etc.
Transportation and Shipping (Logistics)	How was transportation of iron ore affected dur- ing the outbreak? How was the shipping rate affected, if at all? Other aspects of logis- tics that affected mining operations during the outbreak	<ul> <li>Freight costs</li> <li>From sites to inventory/ warehousing site</li> <li>Trade restrictions/border crossings etc.</li> </ul>
Mining Capacity	1. Can you please describe how in your understanding the mining capacity, or expansion, was affected by the outbreak?  (List and rank if more than one way)	<ul><li>How were expansion goals/ planned capacity additions affected?</li><li>How was exploration affected, if at all?</li></ul>
	2. In your opinion, what effect would the out- break have on the abil- ity of ArcelorMittal to attract future investment for mining capacity expansion?	<ul> <li>In Liberia</li> <li>In West Africa</li> <li>In areas more susceptible to EIDs</li> </ul>
	3. Capital Expenditures	
	4. What role do foreign subcontractors play in mining capacity expansion?	<ul> <li>i.e. any up gradation of plant machinery, equip- ment and/or other capital</li> </ul>

intensive expenditures af	- fected	
Human Resources	/hat effect did the out- break have on the hu- man resources available to ArcelorMittal and its mining operations?	Number of workers/ level of absenteeism What major reasons for absenteeism? transport, fear, taking care of rela- tives Hiring additional workers
	low did you/your firm mitigate it/cope?	Training Increasing workloads/ more overtime financial incentives productivity skilled vs unskilled
	Was productivity compromised? If so then at what levels and how?	domestic vs foreign Senior management productivity/ outbreak re- sponse/workloads Time allocation being af- fected
	/ere there any changes in the decision making structure of your firm during the outbreak – creation of new roles/departments etc.?	vs normal Changing roles/shortages of key personnel For special areas/locations For special types of activi- ties
	hange in Standard Op- erating Procedures?	
Health and Safety Systems	an you please describe how Health and Safety has been affected, if at all, by the current out- break? (List and rank if more than one way)	Compared to pre-outbreak period Management commitment to safety/Time taken to re- spond
	How has this affected your personal commit- ment to safety?	Living under outbreak conditions
	How might have both these factors affected the incident rate of Ebola infections?	Risky behaviour Rate of other Incidents
	an you describe any ways you have heard of	- Are there any measures that can be taken at the

mine itself to avoid out-(or been personally involved in) for preventbreaks? ing diseases that come from animals. Training activities Screening Are any of these pre-Temp checking ventive approaches cur-Are there any issues around: rently being used in Costs your mining areas, in Logistics Liberia and elsewhere? Skills Why or why Manpower not? Equipment What health services or facilities does Arce- lorMittal provide on-site for its employees? What additional health services or facilities has ArcelorMittal been able to provide during this outbreak? During the ongoing out- break has this preven- tive been scaled up? if so then by how much How effective do you feel are the preventive measures taken by ArcelorMittal? How have these measures affected your morale and of the employees working in out- break areas?

**Appendix B: Guide for focus group discussions** 

Specific dimen-	Questic	ons	Suggested probes
sions/topics	Ç		00 mm r
Introduction/back- your designations /de- partments that you be- long to and describe the nature of your role in the company as well as your main responsibili- ties and duties. How long have you pany? How long have you wo Did you work in the in- dustry period? Where exactly and for how long?	during	•	<ul> <li>How many countries or sites do you manage?</li> <li>Is the company centralised or is decision making devolved to the sites?</li> <li>Did your roles and responsibilities change in any way during the out-</li> </ul>
Risk and vulnerability past experiences of disease outbreaks or ill- nesses in your mines in Liberia or other mining sites v ow serious are these diseases commu- nities? Please give ex- amples	for the c	om- pany and local	<ul> <li>What happened?</li> <li>What kinds of situations make these diseases more or less likely?</li> </ul>
Risk Perception  dent of a worker infected with Ebola in the next year  How serious would it be an employee or a worker to get infected by Ebola in the next year  How likely do you think it is t	hat an e	How likely is it that there might be an inci-  -  mployee or worker will compared to other	<ul> <li>In general (London)</li> <li>Local site (Liberia)</li> <li>Magnitude question try to get answer in a scale out of 100</li> <li>Elaborate as much as pos- for sible by using "why" when getting a response from the respondent</li> </ul>

9. Do you think that peo-		- Locals
ple in general are in-		- Families of employees
formed and can take ac-		
tions to prevent getting Ebola	?	
Current outbreak risk	10. How many incidents	<ul> <li>General outbreak</li> </ul>
have there been of		- Locality (if in Liberia)
workers from your firm		
getting infected during this cu		
	(compared to any previous ones	
you may have experienced)?		
What was/is the proba-bility	of an employee	
being infected in this		- Locals
outbreak? A close fam-		- Families of employees
ily member?		- Subcontractors/foreign
How confident are you	workers	
that workers in your		- Employees in other sec-
firm can prevent getting		tors
infected by Ebola?		<ul> <li>Government commitment</li> </ul>
How effective has the		to safety of citizens
government been in ad-	-	Time taken for response
dressing the current out-	-	Response/actions taken
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## **Author reviewer responses**

We thank you for your consideration of our manuscript. Here we attach a letter in response to the peer review comments and the Editor's comments.

We were grateful for your additional comments. The paper is returned with tracked changes highlighting the revisions that have been made.

### Reviewer 1, Prof Daniel Osborn:

This paper deals with an important topic: the economic impacts of an outbreak of Ebola. It seems to be relatively rarely that the impact of a public health and medical issues of this kind on businesses is considered in any detail.

The paper could be improved by some extra text to explain the derivation of the economic figures a little more -- if that were possible without infringing commercial confidentiality. On a very minor point of presentation I feel it would be better to display the pie chart in a simple two-dimensional format. More importantly, I also wondered whether there might be more very pertinent information in the responses to the detailed questionnaire (the annex makes clear that the questions were well structured and detailed). Such an intriguing and potentially important subject deserves appropriate data mining.

>> Thank you for your consideration of our manuscript. We appreciate your comments.

The paper could be strengthened by taking account of the following detailed points:

### Introduction

Para 2: please give a few more details about the nature of the contact between wildlife and the people in the extractive industries to help the reader's understanding.

P7 first sentence – is it possible to say anything about the longer terms benefits that might accrue to the company for their substantive efforts in limiting the outbreak?

P7 – the idea of considering the channels of economic impact" and the perceptions of staff seems important as in business and financial circles sentiment often counts for a lot. Perhaps this point could be brought out more.

>> Thank you. We have now incorporated your feedback.

### Materials and Methods

Delete "Materials" – there are none. Change this section to "Methods and Approaches"

Para 1 and para 2 make reference to "the quantitative data" and Para 1 suggests this had several sources but para 2 suggest this was all obtained from one organisational unit. This should be clarified so that para 1 and 2 are more consistent.

>> Thank you. We have made the relevant changes.

## Data collection and management

There are a number of approaches to IDIs and FGDs. Could reference be made to which of the many approaches was used on these occasions? And it is not clear if notes were taken or recordings were made of the IDIs and FGDs which were later transcribed or otherwise transferred into the software used for analysis.

>> Thank you. The references are now made.

# Qualitative data et seq (some attention to following headings might be needed to help the reader see what is under discussion)

An important point arises here about the sequence of events in 2014 and 2015 with respect to the decisions involved in deciding to delay Phase II of the iron ore operations. This needs some further clarification in the text to bring out more importance of the sequence of events. Some may see the decision to delay as purely an economic decision. In fact it seems to be a result of the impacts of the Ebola outbreak causing contractors to withdraw staff or staff deciding it was too risky for them to remain especially if transport options became limited. Maybe a diagram could help set this out more clearly? This would allow the qualitative and quantitative data to be seen in a broader context.

There seems to be some orphan text in italics at the bottom of p12 and top of p13. Please remove or clarify.

The section at the bottom of p13 and top of p14 needs some clarification as it does not read well as currently written and this detracts from the message being conveyed. There are several concepts involved here. Perhaps the use of shorter sentences would help.

The section at the bottom of p14 and the top of p15 would benefit from the use of shorter sentences as well.

Bottom of p15: the terminology "peak", "off-peak" and "super-off peak" needs a reference to support its use or more details are needed to explain what is meant. This may be a useful concept or a confusing one depending on the referencing or the explanation.

At the top of p16 the terms upper and lower limits seem to have been transposed since less time is being spent in the "upper" case than the "lower".

On p16 the sub section on the supply chain is interesting but is perhaps in the wrong place. It could have a section on its own as it does not seem to be a preventative measure as such. More details of the supply chain issues could also be provided. Perhaps a clearer separation of the preventative measures and other matters could be achieved with some editing of the text.

Last paragraph of p17– reference is made to the "fence" – it is not clear what this is referring too. Please clarify at an appropriate point in the text.

The EPSMG receives a first mention on p21 but is not explained until p22. Some adjustment of the text is needed here to ensure the reader has a full grasp of the issues as they developed and were managed.

Could some dates be given for the role of the company in public health matters? It is said the company responded before some other groups. It would be useful to insert dates as the basis for what is only a contention without them. Approximate dates would be sufficient. The relevant part of the paper is on p22 and p23.

The middle para of p23 refers to the timeline of the responses but nowhere in the paper is a timeline of the outbreaks and the actions the company took set out. The paper would be improved by inclusion of such a time-line.

>> Thank you. The changes were made by incorporating your suggestions.

### Figures

Fig 1 shows that the largest group of respondents were those that identified factors grouped under "other". This means that although just under a fifth of respondents identified Phase II expansion a major cost factor a higher percentage cited a range of other reasons. The text of the paper should give at least some examples of these reasons as these factors could still be relevant to how companies respond to health emergencies. The breadth of the responses is part of the richness of the results obtained from IDIs and FGD groups. This is often hard to capture in a paper, but here thet is a context for considering the "other" category in some detail if not a great deal or, if this is not possible, making some statement to indicate that the "other" responses were very diverse and could not be readily grouped.

Fig 2 might be better presented as a 2D pie chart and although fencing for the concession or part of it is mentioned as a preventative measure this does not appear in the costs diagram. Some explanation for that might be needed. The legend illustrates a point that occurs elsewhere in the text: an "in" needs to be inserted before "the community" – a grammar checker may pick up relevant points in the text.

Fig 3 contains data on costs and the largest of these relates to inputs to the eradication programme. However, this data is not referred to in the main text of the paper. Some reference to these relative costs is needed else the text will not be complete.

Fig 4 shows the pattern of Ebola cases plus information relevant to the timeline but the quality of the text in this figure is very difficult to make out. It may be better to supply a separate timeline figure.

>> Thank you. All the figures are now edited appropriately.

### **Tables**

Table 1 refers to 1 FGD with expat contractors but no numbers are given. It also refers to IDIs with 16 people who do not seem to be included in the data within the Table. This may explain the discrepancy between the data in the Tables and the text in terms of the numbers of respondents.

Table 2 refers to costs but it is difficult to see how the data in the Table gives the range

of figures quoted as a total. The totalling needs to be revisited. If the range of the total arises from the numbers given above then the values should be 10.58 and 11.88 (not 11.11). The main text of the paper should also state that the range arises because of uncertainty in only one element of the costs.

Table 3 has no units for the salary costs. USD? Local currencies? A mixture?

>> Thank you. The tables are now updated to reflect the details pointed out.

## Reviewer 2, Dr Kaushik Sarkar:

- 1. Introduction—
- (i) The term outbreak has been used to mean epidemic. This should be changed accordingly.
- (ii) It is not clear whether the study considers the microecomic factors, macroeconomic factors, or only losses incurred by the industry. This needs to be explicitly stated in the rationale.
- (iii) It has not been justified why the authors want to estimate the cost and what "channels of impact" are considered.
- >> Thank you. Please see how the comments were incorporated:
- (i) Suggestion has been implemented EVD epidemic is used as the primary term throughout.
- (ii) Rationale included on type of costs (expenditure on preparedness measures) covered in the study.
- (iii) Added justification to why the case study firm's costs should be documented as well as what type of costs (see ii above)
- 2. Materials and Methods-
- (i) The study design is not clear.
- (ii) Study variables should be explicitly stated.
- (iii) Quality assurance measures for FGDs need to be included.
- (iv) The quantitative analysis method does not detail the following components of costing—items, outputs, cost, source of information, methods of estimation, approximations, missing values and adjustments. All these should be included.
- (v) Qualitative analysis should include the methods of pre-analysis and analysis.
- >> Thank you. We have made these changes:
- (i) The section describing the study design has been amended and re-structured for clarity.
- (ii) The study is a mixed method study using qualitative data to understand the sequence of events to provide background and context to the quantitative data received and does not have variables to state explicitly.
- (iii) More detail provided on FGDs.
- (iv) The quantitative analysis method section expanded to include elements cited by reviewer.
- (v) See (ii) and (iv) above.

#### 3. Results-

(i) This section contains informations that should either be moved to methods or to discussion. E.g.

"Force Majeure, when unforeseeable circumstances prevent a contract from being fulfilled, was declared, with contractors pulling out of Liberia in August and September of 2014. Although the EVD outbreak may have been responsible for the series of events that led up to this declaration, the situation was different in 2015. The mining firm decided to delay and eventually to temporarily suspend the expansion which may have been due to the tough international market conditions for iron ore in that year (2015)." should be moved to discussion.

"The main sources of actual costs impact were (a) preventive measures adopted in the firm's concession areas and raising awareness in the adjacent community, (b) in-kind donations of priority materials and direct support to national and international engagement in the health and humanitarian crisis, (c) Ebola-related construction costs, (d) additional salary paid to workers as hazard pay during the outbreak period, and evacuation of NES." should be moved to cost analysis.

- (ii) Multiple statements are vague. E.g. "A number of", "Some respondents", etc.
- (iii) Attributions have been inferred without any alternative assumption through out the result section. First, the result section should only include facts and figures and second, the attributions with appropriate arguments should be moved to discussion section.
- >> Thank you for your feedback. The changes were made:
- (i) The two sections indicated have been moved.
- (ii) Statements amended to include number of respondents (n=x/y, z%) for clarity
- (iii) Results section restructured and added details. Qualitative section on results are reported to supplement discussion section and improve flow.

### 4. Discussion-

- (i) The flow of result and discussion sections are not in sync. The sections should be re-structured accordingly.
- (ii) Two important findings are—suspension of phase ii and initial delay in outbreak communication. It is likely from the finding that the delayed outbreak communication had a domino effect and had also impact on the subsequnt phases (e.g. suspension of phase ii, increased cost of prevention, etc.). This is not explicit in the discussion and the recommendations are not well connected to each other. The above needs to modified accordingly.
- >> Thank you. We have made the following amendments:
- (i) The result section has been restructured to improve flow see above. A new section has been added to the discussion to connect the sections and flow better.
- (ii) Discussion section revised to reflect suggestions and flow more smoothly into recommendations.