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TITLE PAGE

Associations between the household environment and stunted child growth in rural India: a cross-sectional analysis

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1 **ABSTRACT**

2 Stunting is a major unresolved and growing health issue for India. Yet there remains scant
3 evidence for the development and application of integrated, multifactorial child health
4 interventions across India's most rural communities. We examine the associations between
5 household environmental characteristics and stunting in children under 5 years across rural
6 Rajasthan, India. We used DHS-3 India data from 1194 children living across 109,041
7 interviewed households. Multiple logistic regression analyses independently examined the
8 association between (1) main source of drinking water, (2) main type of sanitation facilities,
9 (3) main cooking fuel type, and (4) agricultural land ownership and stunting adjusting for child
10 age. After adjusting for child age, household access to (1) improved drinking water source was
11 associated with a 23% reduced odds (OR=0.77, 95% CI 0.5 to 1.00), (2) improved sanitation
12 facility was associated with 41% reduced odds (OR=0.51, 95% CI 0.3 to 0.82), and (3)
13 agricultural land ownership was associated with a 30% reduced odds of childhood stunting
14 (OR 0.70, 95% CI 0.51 to 0.94). Cooking fuel source was not associated with stunting.
15 Although further research is needed, intervention programmes should consider shifting from
16 nutrition-specific to nutrition-sensitive solutions to address India's childhood malnutrition
17 crisis. Results and implications are discussed.

18

19 **KEYWORDS:** environment; water; sanitation; agriculture; cooking; malnutrition; stunting;
20 growth, India, rural

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26 **STATEMENT OF ROBUSTNESS**

27 The Millennium Development Goals (MDGs) and Sustainable Development Goals (SDG)
28 have together galvanised efforts to meet the needs of the worlds most disadvantaged. India has
29 seen unprecedented economic growth. Yet health improvements in rural communities remain
30 unparalleled, with 42% of children under five years reported as being stunted. The first 1000
31 days is a period of growth exceptionally environmentally sensitive, and a child’s home
32 represents their earliest exposure to the extrauterine environment. A better understanding of
33 the extent to which wider environmental factors impact on stunted growth is paramount to
34 inform national strategies and intervention programmes including the recently launched (2017-
35 18) National Nutrition Mission. Our study lends support to an onus that now optimises
36 nutritional outcomes for young children using a wider multi-sectorial framework and concerted
37 efforts by policy makers, researchers, and private sector change agents alike.

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51 1. INTRODUCTION

52 Globally, 150·8 million children under 5 are stunted. In 2018 India held almost one third (46·6
53 million) of the world's stunting burden (International Institute of Population Sciences [IIPS],
54 2016). Stunting – or low height-for-age growth - is the most prevalent form of growth failure
55 and yet the most unnoticed. The first 1000 days is a period of growth exceptionally
56 environmentally sensitive. Malnutrition during this critical period of development has lasting
57 effects that transcend generations. These include long-term effects on cognitive development
58 (Walker *et al.*, 2007), school achievement (Semba *et al.*, 2008), adult economic productivity
59 (Hoddinott *et al.*, 2008), maternal reproductive outcomes (Dewy *et al.*, 2011), and risk for
60 obesity and non-communicable diseases (Guerrant *et al.*, 2013). UNICEF recognises the first
61 1000 days as a critical window of opportunity during which timely interventions may have a
62 measurable and lasting impact on health.

63 Stunting is the result of chronic malnutrition and reflects the complex interaction between
64 intergenerational socio-economic, cultural-behavioural, and environmental risk and protective
65 factors (Smith *et al.*, 2005). A child's household represents one of their earliest exposures to
66 the extrauterine environment. So far, evidence suggests household characteristics including
67 improved water access (Torlesse *et al.*, 2016), improved sanitation practices (Rah *et al.*, 2015;
68 Spears *et al.*, 2013), access to clean fuels (Tielsch *et al.*, 2009), and agricultural land ownership
69 (Pandey *et al.*, 2016) may positively impact on nutritional status for children under 5 years
70 across urbanised Indian states. However, it is less clear how these household characteristics
71 impact on stunting across India's most rural communities.

72 Studies that have focused on stunting across rural India have found rates to be significantly
73 higher among children from low-income families and/or from households identified as
74 belonging to Scheduled Castes or Tribes (59%), compared with middle- and high-income
75 families (33%; HUNGaMA, 2011). One example of a rural part of India is Rajasthan, a

76 landlocked state in north-west. It is characterised by large numbers of tribal groups (75%),
77 compared with urban areas (25%), and low female (42%) relative to male (76%) literacy rates
78 (Census of India, 2011). Illiteracy is a known influence of informed decision making, personal
79 empowerment, and community participation in health initiatives (Coulombe *et al.*, 2006). As
80 such, current state-wide nutrition-specific initiatives alone may be insufficient in promoting
81 optimal growth for India's most rural and vulnerable communities.

82 The emerging picture is that access to safe water, adequate sanitation and hygiene may reduce
83 the risk of diarrheal morbidity, parasitic infection, and environmental enteropathy (Dearden *et*
84 *al.*, 2005); in turn, helping ameliorate risk of stunting (Fink *et al.*, 2011). The evidence on the
85 influence of cooking fuels and stunting is less clear. Whilst most studies have focused on
86 outdoor air pollutant, a growing body of evidence suggests a link between indoor use of
87 traditional biomass fuels (e.g. wood, agricultural, animal waste) and stunting, compared with
88 energy efficient fuels such as liquefied petroleum gas (LPG)/natural gas or electricity (Rohner
89 *et al.*, 2013). Additionally, agricultural land ownership offers food security, adequate dietary
90 intake and may protect against stunting. Of knowledge, few studies have examined the extent
91 to which indoor cooking fuels and agricultural land ownership influences stunting for rural
92 households.

93 Notwithstanding, stunting rates are well documented across India. However, the specific
94 household determinants of stunting among India's most rural communities are not clearly
95 understood (Biswas *et al.*, 2010). There remain scant evidence-based, multi-sectorial strategies
96 that consider and combine the wider determinants of stunting, or that sufficiently differentiate
97 between population subgroups. This is an important omission and may partly explain why
98 current nutrition-specific initiatives have failed to address the growing global health issue of
99 stunting across rural India. Therefore, this study aims to identify which household
100 environmental characteristics are associated with childhood stunting as a first-step towards

101 better informing current national strategies and intervention programmes. Specifically, we
102 examine the associations between (1) main drinking water source, (2) main type of sanitation
103 facilities, (3) main cooking fuel, and (4) ownership of agricultural land and stunting in children
104 under 5 years from Rajasthan as an exemplar rural community at-risk of stunting by virtue of
105 their economic and educational position.

106

107 **2. METHOD**

108 **2.1. Data Source**

109 We examine the Demographic Health Survey (DHS-3) carried out by the International Institute
110 for Population Services (IIPS) in 2005-2006. Details are fully described by the IIPS (2006).
111 Briefly, a stratified multistate cluster sampling method identified a nationally representative
112 sample of India's population living in both urban and rural areas in 29 states. The fieldwork
113 for gathering the data was carried out between November 2005 and August 2006 and included
114 data on 515,597 individuals from 109,041 interviewed households across India. The three core
115 questionnaires of the DHS-3 are the Household Questionnaire, the Women's Questionnaire,
116 and the Men's Questionnaire and pertain to indicators in the areas of population, health, and
117 nutrition. In the current study we examine data from the Household Questionnaire, which
118 includes the following information:

119 a) Household Schedule: age, sex, relationship to the head of the household, education,
120 parental survivorship and residence, and birth registration.

121 b) Household characteristics: drinking water, toilet facilities, cooking fuel, and household
122 assets.

123 **2.2. NFHS-3 Data Collected and Study Indicators**

124 All interviews and anthropometric measurements were collected as part of the DHS-3. The
125 IIPS (2006) fully describes data collection procedures. In short, each household respondent was

126 invited to provide informed consent. Parents or guardians provided consent for infants and
127 children. The field interviewers and anthropometrists were from local non-government
128 organisation (NGO) partners and were trained before data collection. The performance of field
129 staff during data collection is reported as continuously monitored by supervisors and quality
130 control teams who rechecked some of the data the following day to ensure reliability. Non-
131 response and refusal to participate in the surveys is reported as minimal (IIPS, 2006).

132 **2.2.1. Household Environmental Characteristics**

133 DHS-3 interviews were carried out using structured questionnaires. During the Household
134 Questionnaire, respondents could select only *one* of the following sub-categories pertaining to
135 each household category:

- 136 1) Main drinking water source: piped into dwelling, piped into yard, public tap, borehole,
137 protected well, unprotected well, unprotected spring, groundwater, rainwater, and
138 tanker truck or cart.
- 139 2) Main sanitation facility: flush to piped sewer system, flush to septic tank, flush to pit
140 latrine, flush elsewhere, and ventilated pit latrine, pit latrine with slab, pit latrine
141 without slab, no facility/field/bush, and dry toilet or other.
- 142 3) Main cooking fuel source: LPG/natural gas, kerosene, charcoal, wood,
143 straw/shrubs/grass, agricultural waste, and animal waste.
- 144 4) Agricultural land ownership: yes or no.

145 **2.2.2. Anthropometry**

146 The length of each child per household (at 0-23 months) was measured in a recumbent position
147 to the nearest 0.1cm using a measuring board. The height of each child (>24 months) was
148 measured in a standing, upright position to the nearest 0.1cm using a vertical board with a
149 detachable sliding headpiece.

150 **2.2.3. Other (confounding) Variables**

151 The age of the child is a known influence of stunting and hence controlled for in the current
152 study. Infants and children have predominantly different feeding practices. A breastfed infant
153 receives the majority of their nutrient requirements from breast milk and consume little else.
154 Conversely, children who start to grow, crawl, walk, explore and put objects in their mouths
155 risk themselves ingesting bacteria from human and animal sources. The household respondent
156 gave the child's age at the time of administering the DHS-3 questionnaire. Since it can be
157 difficult for rural households to accurately estimate a child's age without a birth certificate or
158 vaccination card, DHS-3 field staff used a local events calendar to determine the month and
159 year of birth of the case. The child's age in months was calculated using the country's month
160 code for the date of the interview, minus the country's month code for the date of birth of the
161 child. This study follows the World Health Organisation (WHO, 2015) standard by analysing
162 the following age categories: <6 months, 6-24 months, and 24-60 months. No other child (sex)
163 or caregiver (Scheduled caste or tribe) characteristics were associated with stunting and hence
164 not included as confounding variables.

165 **2.3. Statistical Analyses**

166 We statistically analyse DHS-3 secondary data in SPSS 25 (IBM Corp, 2017). In order to
167 analyse the data, first missing or incorrectly recorded data was removed from the database.
168 Only children under 5 years (herein cases U5) with available information on age, sex and height
169 were retained in the dataset. All cases U5 per household were included in the analyses. The
170 final number of cases with available data ($N=1194$) formed the basis of the analyses. Second,
171 stunting indices were calculated as per the WHO child growth standards using the age and
172 height data collected and defined as height-for-age (HAZ) z -scores less than 2 from the median
173 HAZ of a reference population (WHO, 2015). Third, improved drinking water source was
174 dichotomised into *improved* (piped into dwelling, piped into yard, public tap, borehole, and

175 protected well) versus *unimproved* as per WHO (2015) guidelines. As reported elsewhere (Rah
176 *et al.*, 2015) sources of sanitation facilities were also dichotomised into *improved* (including
177 flush to piped sewer system, flush to septic tank, flush to pit latrine, flush elsewhere, ventilated
178 pit latrine, and pit latrine with slab) versus *unimproved*. Improved cooking fuel was
179 dichotomised as *improved* (LPG/natural gas and kerosene) vs *unimproved* (Masera *et al.*,
180 2000). Fourth, descriptive statistics were used to examine the distribution of the full range of
181 variables i.e. household characteristics and stunting. Lastly, a cross-tabulation with chi-square
182 analyses were run as the main analyses. Where a significant association was found, a multiple
183 logistic regression model was used to independently examine the association between
184 household characteristics and stunted cases (0=not stunted; 1=stunted) adjusting for infant age
185 category as a potential confounder. Household characteristics were included as the independent
186 variables and stunting was included as the dependent variable. The odds ratio (OR) and
187 corresponding 95% confidence intervals (CI) were estimated with statistical significance
188 defined as $p \leq 0.05$.

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190 **3. RESULT**

191 **3.1. Descriptive Statistics**

192 **3.1.1. Demographic Characteristics**

193 The mean age (\pm SE) of cases U5 in the analyses was 29.9 ± 0.51 months, 53% were male and
194 81% belonged to Scheduled castes. Approximately 44.5% of the sample were stunted. Stunting
195 cases significantly differed by age category $F(1)=51.35$, $p \leq 0.001$, all levels significant. Hence,
196 only case age was adjusted for in the following regression analyses (Table 1).

197 Of the 1194 cases, 72.3% of cases belonged to families reported as using an improved main
198 source of drinking water source, with a borehole as main source of drinking water (44.1%).

199 Only 7.6% belonged to families that used improved sanitation facilities, and 91% used no

200 sanitation facility. Only 3·1% of cases belonged to families reported as using an improved
201 source of cooking fuel, with biomass fuel wood as the commonest source (85%). Lastly, 224
202 cases (18·8%) belonged to families reported as owning agricultural land, whilst 970 cases
203 (81·2%) belonged to families that did not own agricultural land (Table 1).

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Table 1. Characteristics of cases under 5 years included in the sample

Demographic Characteristics	Total Sample (N=1194)	Stunted (n=532)	Not Stunted (n=662)
Child Age (months), <i>M</i> (\pm SE)	29.98 \pm 0.51	33.32 \pm 0.67	27.31 \pm 0.73
Child Sex, <i>n</i> (%)			
Male	636 (53.3%)	285(53.6%)	351 (53%)
Female	558 (46.7%)	247 (46.4%)	311 (47%)
Caregiver Schedule	-	-	-
Caste	976 (81.7%)	425 (79.9%)	551 (83.2%)
Tribe	218 (18.3%)	107 (20.1%)	111 (16.8%)
<i>Stunted (HAZ <-2), n (%)</i>			
No	662 (55.5%)	-	-
Yes	532 (44.5%)	-	-
<i>Stunting (HAZ <-2), M</i> (\pm SE)	-1.80 (1.76)	-3.34 (0.04)	0.56 (1.18)
<i>Drinking Water Source, n (%)</i>			
piped into dwelling	17 (1.4%)	5 (0.9%)	12 (1.8%)
piped into yard	107 (9%)	50 (9.4%)	57 (8.6%)
public tap	195 (16.3%)	91 (17.1%)	104 (15.7%)
borehole	527 (44.1%)	223 (41.9%)	304 (45.9%)
protected well	18 (1.5%)	8 (1.5%)	10 (1.5%)
unprotected well	221 (18%)	110 (20.7%)	111 (16.8%)
unprotected spring	2 (0.2%)	-	2 (0.3%)
groundwater	35 (2.9%)	16 (3.0%)	19 (2.9%)
rainwater	28 (2.3%)	7 (1.3%)	21 (3.2%)
tanker truck	14 (1.2%)	7 (1.3%)	7 (1.1%)
cart	30 (2.5%)	15 (2.8%)	15 (2.3%)
<i>Sanitation Facility, n (%)</i>			
flush to pipe sewer system	1 (0.1%)	-	1 (0.2%)
flush to septic tank	43 (3.6%)	16 (3.0%)	27 (4.1%)
flush to pit latrine	27 (2.3%)	4 (0.8%)	23 (3.5%)
flush elsewhere	1 (0.1%)	1 (0.2%)	-
ventilated pit latrine	1 (1.1%)	1 (0.2%)	-
pit latrine with slab	17 (0.4%)	6 (1.1%)	11 (1.7%)
pit latrine without slab	11 (0.9%)	4 (0.8%)	7 (1.1%)
no facility/field/bush	1088 (9.1%)	498 (93.6%)	590 (89.1%)
dry toilet	2 (0.2%)	1 (0.2%)	1 (0.2%)
other	3 (0.3%)	1 (0.2%)	2 (0.3%)

<i>Cooking Fuel Source</i>			
LPG/natural gas	33 (2.8%)	9 (1.7%)	24 (3.6%)
kerosene	4 (0.3%)	1 (0.2%)	3 (0.5%)
charcoal	4 (0.3%)	2 (0.4%)	2 (0.3%)
wood	1021 (85%)	455 (85.5%)	566 (85.5%)
straw/shrubs/grass	71 (5.9%)	33 (6.2%)	38 (5.7%)
agricultural waste	32 (2.7%)	13 (2.4%)	19 (2.9%)
animal waste	29 (2.4%)	19 (3.6%)	10 (1.5%)
<i>Agricultural Land Ownership</i>			
no	224 (18.8%)	116 (21.8%)	108 (16.3%)
yes	970 (81.2%)	416 (8.2%)	554 (83.7%)

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243 **Table 2. Odds ratio and chi-squares for household characteristics on stunting (HAZ <2)**
 244 **standardized coefficients and confidence intervals.**

Household Characteristics (N = 1194)		OR	X ²
<i>Drinking Water Source</i>			
	Unimproved	1[Reference]	3.24 (1)
	Improved	0.78 (0.60-1.02)	
<i>Age Category</i>			
0-5	Unimproved	1[Reference]	0.24 (1)
	Improved	0.76 (0.26-2.21)	
6-23	Unimproved	1[Reference]	6.27 (1)*
	Improved	0.53 (0.32-0.87)	
24-59	Unimproved	1[Reference]	0.27 (1)
	Improved	0.91 (0.65-1.27)	
<i>Sanitation Facilities</i>			
	Unimproved	1[Reference]	7.87 (1)**
	Improved	0.51 (0.32-0.82)	
<i>Age Category</i>			
0-5	Unimproved	1[Reference]	1.39 (1)
	Improved	2.32 (0.55-9.67)	
6-23	Unimproved	1[Reference]	1.54 (1)
	Improved	0.58 (0.24-1.37)	
24-59	Unimproved	1[Reference]	8.96 (1)**
	Improved	0.40 (0.22-0.74)	
<i>Cooking Fuel</i>			
	Biomass	1[Reference]	4.01 (1)*
	Improved	0.50 (0.25-0.99)	
<i>Age Category</i>			
0-5 Months	Biomass	1[Reference]	1.11 (1)
	Improved	0.84 (0.77-0.91)	
6-23 Months	Biomass	1[Reference]	0.44 (1)
	Improved	1.13 (0.34-3.80)	
24-59 Months	Biomass	1[Reference]	4.63 (1)*
	Improved	0.38 (0.15-0.94)	
<i>Agricultural Land Ownership</i>			
	No	1[Reference]	5.83 (1)*
	Yes	0.69 (0.52-0.93)	
<i>Age Category</i>			
0-5 Months	No	1[Reference]	0.20 (1)
	Yes	0.75 (0.22-2.55)	
6-23 Months	No	1[Reference]	0.24 (1)
	Yes	0.87 (0.50-1.51)	
24-59 Months	No	1[Reference]	5.93 (1)*
	Yes	0.62 (0.43-0.91)	

245 ^aChi-square statistic with degrees of freedom and odds ratios with 95% confidence intervals

246 ^b* $p < 0.05$, ** $p < 0.01$. Models include child age in months.

247 ^cImproved sources of sanitation facilities: to piped sewer system, flush to septic tank, flush to
248 pit latrine, flush elsewhere, ventilated pit latrine and pit latrine with slab.

249 ^dImproved drinking water source: piped into dwelling, piped into yard, public tap, borehole,
250 and protected well (WHO, 2018) versus unimproved.

251 ^eImproved cooking fuel: LPG/natural gas and kerosene.

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253 **3.2. Results from Main Analyses**

254 **3.2.1. Drinking water source and stunting outcome**

255 Results from the chi square analyses are reported in Table 2. An unadjusted logistic regression
256 model reported drinking water did not predict stunting outcome (unadjusted OR=0.78, 95% CI
257 0.60 to 1.02, $p=0.72$). There was a significant relationship between drinking water source and
258 stunting when controlling for age category (adjusted OR=0.77, 95% CI 0.58 to 1.00, $p=0.05$),
259 with a 23% decreased odds of stunting for children consuming water from improved sources
260 in comparison to those who use unimproved sources (Table 3).

261 **2.1.1. Sanitation facility and stunting outcome**

262 Unadjusted models reported a significant association between sanitation facility and stunting
263 (unadjusted OR=0.51, 95% CI 0.32 to 0.82, $p=0.006$). This effect remained after adjusting for
264 age (adjusted OR=0.51, 95% CI 0.32-0.83, $p=0.007$), with a 41% decreased odds of stunting
265 for children with access to improved sanitation facilities in comparison to those without access
266 (Table 3).

267 **2.1.2. Cooking fuel source and stunting outcome**

268 There was a significant unadjusted association between cooking fuel and stunting outcome
269 (unadjusted OR=0.50, 95% CI 0.25 to 0.99, $p=0.49$). This association was not significant after
270 adjusting for age (adjusted OR=0.51, 95% CI 0.25 to 1.03, $p=0.061$; Table 3).

271 **2.1.3. *Agricultural land ownership and stunting outcome***

272 There was a significant association between agricultural land ownership and stunting
273 (unadjusted OR=0.69, 95% CI 0.52 to 0.93, $p=0.016$). This association remained significant
274 after adjusting for age (adjusted OR=0.70, 95% CI 0.51 to 0.94, $p=0.20$), with a 30% decreased
275 odds of stunting in children whose family owned agricultural land, compared with children
276 without agricultural land ownership (Table 3).

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295 **Table 3. Logistic regression models for household characteristics on stunting (HAZ <-2)**
 296 **standardized coefficients and confidence intervals.**

Household Characteristics (N=1194)	<i>n</i>	Crude OR (95% CI)	Adjusted OR (95% CI)
<i>Drinking Water Source</i>			
Unimproved	1105	1[Reference]	1[Reference]
Improved	89	0.78 (0.60-1.02)	0.77 (0.58-1.00)
<i>Sanitation Facility</i>			
Unimproved	302	1[Reference]	1[Reference]
Improved	892	0.51 (0.32-0.82)*	0.51 (0.32-0.83)*
<i>Cooking Fuel Source</i>			
Unimproved	1153	1[Reference]	1[Reference]
Improved	41	0.50 (0.25-0.99)*	0.51 (0.25-1.03)
<i>Agricultural Land Ownership</i>			
No	224	1[Reference]	1[Reference]
Yes	970	0.69 (0.52-0.93)*	0.70 (0.51-0.94)*

297 ^a**p* < 0.05, ***p* < 0.01. All adjusted models include child age in months.

298 ^b*Improved sources of sanitation facilities: to piped sewer system, flush to septic tank, flush to*

299 ^c*pit latrine, flush elsewhere, ventilated pit latrine and pit latrine with slab.*

300 ^d*Improved drinking water source: piped into dwelling, piped into yard, public tap, borehole,*
 301 *and protected well (WHO, 2018) versus unimproved.*

302 ^e*Improved cooking fuel: LPG/natural gas and kerosene.*

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304 **3. DISCUSSION**

305 In this study we found that reported household use of (1) improved drinking water source was
 306 associated with a 23% reduced odds, (2) improved sanitation facility was associated with 41%
 307 reduced odds, and (3) agricultural land ownership was associated with a 30% reduced odds of
 308 child stunted growth. Indoor cooking fuel source was not associated with risk of stunting
 309 although did approach trend level.

310 Overall, our results on the association between sanitation facilities and stunting support
 311 findings of other cross-sectional studies across rural India. These studies report that improved
 312 sanitation is associated with lower risk of stunting (Dearden *et al.* 2017; Smith *et al.*, 2015).

313 Studies have also shown that caregiver self-reported hand washing with soap either after open
314 defecation or before infant feeding offers protective effects for child malnutrition (Meshram *et al.*
315 *al.*, 2013; Mbuya *et al.*, 2016) and that personal hygiene offers stronger improvements on
316 stunting than improved household access to water and sanitation alone (Rah *et al.*, 2015).

317 Open defecation is widely considered a marker of sanitation. It increases risk of spreading
318 bacterial, viral, and parasitic infections including diarrhoea, polio, cholera and hookworm.
319 Frequent diarrhoeal episodes reduce resistance to infections (Chambers *et al.*, 2013), and
320 further affect stunting (Spears *et al.*, 2013) and infant mortality (Hathi *et al.* 2017). The DHS-
321 3 dataset highlights that 91% of households openly defecate. The Indian Census (2011) found
322 that 70% of rural households do not have access to a toilet or latrine. This differs from figures
323 published by the Government of India's Swachh Bharat Abhiyan mission where the state of
324 Rajasthan is listed as Open Defecation Free (Government of India, 2014).

325 Nonetheless, India's widespread open defecation and high population density constitutes a
326 double threat. The economic impact of inadequate sanitation is estimated at 6.4% of gross
327 domestic product (Chambers *et al.*, 2013). Despite rapid economic growth, widespread access
328 to improved water sources and improving literacy rates, affordability coupled with lack of
329 access to water for maintenance of toilets is often seen as a barrier for latrine construction.

330 Additionally, there is need for further work on sanitation service use and personal hygiene
331 practices with local values and beliefs. Open defecation represents an interplay between
332 material or educational deprivation and beliefs, values, and norms about purity, pollution,
333 caste, and untouchability (Coffey *et al.*, 2015). Parental formal education is reported as being
334 associated with improved health outcomes in children under 5 years across Indonesia and
335 Bangladesh (Semba *et al.*, 2008). These outcomes include protective caregiving behaviours
336 (such as handwashing with soap), complete childhood immunisations, improved sanitation
337 (using lined pit latrines) and decreased odds of stunting. Although mothers are generally the

338 primary caregiver, paternal education is also associated with decreased stunting odds.
339 Education is promoted for both men and women in the MDGs which - through improved
340 caregiving practice, job security, and income - may shift risk of stunting for India's most rural
341 and vulnerable communities.

342 Currently, Indian sanitation policies construct pit latrines by focusing on the 'demand-side'
343 approach. In practice, government programmes have neglected to understand why rural Indian
344 communities openly defecate despite available lined pit latrines. Lined pit latrines require the
345 construction of a concrete lined septic tank for safe storage of faecal matter, which then has to
346 be safely disposed. This has led to construction of more affordable non-lined pit latrines, which
347 potentially contaminates ground water. Hence the costs of construction of safe latrines coupled
348 with requirements of safe disposal of faecal matter becomes a barrier for scale-up of sanitation
349 in rural communities where centralised drainage systems for collection of sewage do not exist.
350 Future rural sanitation programmes must ultimately address affordability and cultural beliefs,
351 values, and norms around sanitation and should do so in ways that accelerate progress towards
352 social equality for optimal child growth.

353 Household access to improved drinking water source was also associated with stunting, albeit
354 to a lesser extent than improved sanitation access. This corroborates early findings that suggest
355 the potential effects of improved water supply on child growth may be smaller than those of
356 improved sanitation (Esrey *et al.*, 1991). Overall, there is mixed evidence on the interaction
357 between drinking water source and sanitation on child growth. Longitudinal studies have found
358 positive associations between improved water sources and child linear growth existed only
359 when coupled with improved sanitation and water storage practices (Checkley *et al.*, 2004). In
360 addition, improved sanitation, rather than improved water source, have been associated with
361 lower risk of stunting in India (Dearden *et al.*, 2017) and Sudan (Merchant *et al.*, 2003). More
362 recently, randomised controlled trials in Bangladesh report no long-term benefits of integrated

363 water, sanitation and handwashing, compared with sanitation interventions alone (Luby *et al.*,
364 2018). Further research is required to determine if improved household water supply, its
365 treatment, handling and storage, combined with sanitation practices have synergistic or
366 additive effects on child growth. As noted above, the major pathways of faecal-oral
367 transmission of bacteria may be different for infants compared with adults. Infants who are
368 breastfed receive the majority of their nutrients from breast milk and consume little amounts
369 of drinking water. As children start to grow, crawl, walk, explore and put objects in their
370 mouths, the risk of ingesting bacteria from human and animal faeces increases. Thus, the
371 number of bacteria they ingest from contaminated water may be comparatively smaller.

372 Previous studies have reported associations between agricultural land ownership and
373 nutritional status in children and adolescents across rural India (Bentley *et al.*, 2015; Rao *et al.*,
374 2000). Land holding is central to income generation and the provision of affordable, diverse,
375 nutrient-rich foods for rural communities. Children of rural communities often live in close
376 proximity to livestock, directly influencing nutrient intake. Hence promoting agricultural and
377 livestock production is a common development strategy. However, few studies have examined
378 the direct effect on child nutrition (Jin & Iannotti, 2014). These studies suggest livestock may
379 serve as direct source of protein through meat, milk, and eggs or indirectly by increasing
380 household income for food expenditure. However, rural communities may be differentially
381 vulnerable to food insecurity due to seasonal isolation (i.e. lack of grazing land) and economic
382 deprivation (i.e. high treatment costs for diseased animals; Yadav *et al.*, 2016). Furthermore,
383 as abovementioned, livestock ownership may increase exposure to environmental faecal
384 material. Further research is necessary to understand the effect of agricultural land ownership
385 on stunting.

386 We found no association between cooking fuel and stunting. Biomass fuels release particulate
387 matter, carbon monoxide, and other toxins at a much higher rate than kerosene and LPG. Rural

388 households often rely on traditional biomass fuels for their household cooking and heating;
389 burned in simple, inefficient, and mostly unvented cook stoves that generate large volumes of
390 indoor smoke. Biomass fuel exposure is usually much greater among women, who tend to do
391 most of the cooking (Behera *et al.*, 1988), and among young children who often stay indoors
392 and are carried on their mother's back or lap while she cooks (Albalak *et al.*, 1999). A child's
393 developing lungs are susceptible to irritation and contamination when exposed to biomass fuels
394 and hence may experience excessive respiratory infections (Tielsch *et al.*, 2009). However, the
395 possible systemic effects on child growth have yet to be explored (Fullerton *et al.*, 2008; Mishra
396 *et al.*, 2017). It is also possible that households in our DHS-3 sample used a combination of
397 both biomass and improved fuels. Nonetheless, in May 2016 the Indian government began
398 providing below-poverty-line households with LPG connections through the Government of
399 India Ujjawala Scheme and NGOs are currently working to replace traditional cooking stoves
400 with more efficient ones. A permanent transition to clean fuels is perhaps needed and low-cost
401 ventilation solutions offer potential to impact on adverse child health outcomes. It is also worth
402 noting that barriers to uptake of clean energy range from affordability to perception of food
403 tasting different if a different fuel source is used.

404 Our study has limitations. First, our study is correlational and we cannot infer causation. Also,
405 the survey did not assess baseline anthropometry in the mother or child e.g. birth weight and
406 height. Longitudinal data will help avoid confounding due unobserved child- or time-varying
407 contextual factors. Second, the effects of household characteristics are likely to be
408 underestimated such that measurement constraints did not permit acknowledgment of any
409 previous or ongoing interventions designed to improve child malnutrition. Third, the DHS-3
410 allows one selected answer in each category. Yet, households often have multiple sources of
411 drinking water, sanitation and cooking fuels and the DHS-3 did not collect information related
412 to consumption frequency and quality of drinking water. Additionally, children who are

413 schooled, work and/or use public toilets may be exposed to other environmental pathogenic
414 risks of stunting outside of the home. If so, there is greater cause for concern since our results
415 may underestimate the true associations of environmental determinants and anthropometry. Of
416 knowledge, the DHS-4 will include more open-ended questions (e.g. '*how do you clean*
417 *water*?'), which will allow for a comprehensive analyses of household environmental practices
418 on childhood stunting. Fourth, although improved water source is used an indicator of higher
419 probability of safe water the DHS-3 data did not include biological indicators of pathogenic
420 contamination that might influence infection risk.

421

422 **4. CONCLUSIONS**

423 Understanding the environmental determinants of stunting is a critical step in strengthening the
424 relevant evidence base towards developing multi-sectoral interventions for optimal child
425 growth. Our results lend support to the MDG, SDG, 2016-30 Global Health Strategy, and
426 Nutrition Mission, which all emphasise the provision of multisector enablers for optimal
427 nutrition. The onus now is to optimise nutrition-related outcomes for young children using a
428 framework that is broader than nutrition-specific interventions alone. India's most vulnerable
429 children need to benefit from interdisciplinary research and integrated, cross-sector
430 interventions that can support environmental improvements in tandem with nutrition-sensitive
431 programmes and awareness campaigns. Stunting and child health is dependent on a multitude
432 of factors at household and community level, which requires concerted efforts by policy
433 makers, researchers, and private sector partners.

434

435 **LIST OF ABBREVIATIONS**

436 DHS: Demographic Health Survey; HAZ: height-for-age; IIPS: International Institute for
437 Population Services; LPG: liquefied petroleum gas; MDG: Millennium Development Goals;

438 NGO: Non Government Organisation; SDG: Sustainable Development Goals; WASH: water
439 and sanitation for health; WAZ: weight-for-age; WHO: World Health Organisation; WHZ:
440 weight-for-height; U5: under 5 years.

441

442 **DECLARATIONS**

443 **Ethics approval and consent to participate:** Not applicable.

444 **Consent for Publication:** Not applicable.

445 **Availability of data and materials:** All data generated and analysed as part of this study are
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447 **Conflict of Interest:** All authors declare that they have no actual or potential conflicts of
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460

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