



Article title: Miniaturisation of the

Daphnia magna immobilisation assay for the reliable testing of low volume samples

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1 UCL Open Environment

2 Covering letter

3

4 Dear Prof. Osborn,

5 on behalf of the co-authors I would like to submit the following manuscript
6 „Miniaturisation of the *Daphnia magna* immobilisation assay for the reliable
7 testing of low volume samples” for consideration for publication as a research
8 article in UCL Open: Environment.

9 We consider our manuscript as a valuable contribution to the journal and its
10 readers because we propose an adaptation of the standardised *D. magna* assay
11 by demonstrating its robustness for a broad range of anthropogenic pollutants.

12 In the context of hazard or risk assessment of anthropogenic substances
13 (pesticides, pharmaceuticals, nano particles or microplastic), the testing of these
14 substances and mixtures in a fast and efficient but still meaningful and robust
15 way is a matter of discussion within regulatory bodies, scientists and industry.
16 For several test items (e.g. nano particle samples, environmental river samples or
17 extracts thereof) with limited availability of sample volume in conventional high
18 volume formats the testing of a range of concentrations is not possible. To lessen
19 this limitation we analysed literature and tested different methods and propose
20 here a miniaturised format for the *Daphnia magna* acute immobilisation assay.
21 By comparing 15 substances in the conventional and the miniaturised format we
22 demonstrate that the sensitivity of the assay is not affected by the lower volume
23 (→ higher density of animals). Overall this would decrease the effort in testing of
24 precious samples without decreasing the significance of the results. Findings
25 have been presented in part at the 32nd SETAC EU conference in Copenhagen
26 2022 as a poster (1.07.P-We017 “Miniaturised Acute *Daphnia magna* Assay for
27 the Testing of Low-Volume Samples in the Scope of Monitoring Environmental
28 Contamination”). Beside that, we have not submitted our manuscript to any other
29 journal. All authors declare that they have no conflicts of interest to disclose, and
30 all authors have approved the final version of this manuscript for submission.

31

32 Thank you for your time,

33 Sincerely,

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35 Eberhard Küster

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37 **Miniaturisation of the *Daphnia magna* immobilisation assay for the reliable**
38 **testing of low volume samples**

39

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46

47 **Abstract**

48 International standard test guidelines for the ecotoxicological characterisation of various substances
49 use organisms like algae, daphnids and fish embryos. These guidelines use relatively high volumes of
50 water for the process of testing. However, for various samples such as extracts from environmental
51 monitoring or leachates from microplastic aging experiments, the amount of available sample volume
52 is limited. Using the exposure volumes as recommended in test guidelines would not allow to test a
53 range of different concentrations or to repeat tests. Lower media volumes would allow the testing of
54 more samples (more concentrations per sample, more test repetitions for statistical robustness) but it
55 may also decrease the possible number of organisms tested in the same volume. Here, we aimed at
56 reducing the test volumes in the acute daphnia assay without impacting animals' sensitivity towards
57 toxicants. A literature review on existing miniaturisation approaches was used as a starting point.
58 Subsequently, assays employing conventional as well as reduced test volumes were compared for 15
59 selected test substances with a diverse spectrum of lipophilicity. Results showed that there are
60 differences in EC₅₀ between the two approaches, but that these differences were overall only within a
61 range of a factor of two to three. Further, by retrieving EC₅₀ values for the genus *Daphnia* and 15 test
62 substances from the US EPA database, we demonstrated that our results are well inline with the
63 general differences in sensitivities.

64

65 **Keywords:** miniaturisation, extract testing, leachate testing, microplastic, nano particle, environmental
66 monitoring, groundwater, crustacea, pesticide, plankton testing

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67 Introduction

68 Most guidelines for aquatic ecotoxicity testing were established for the testing of individual
69 substances, usually not restricted regarding their availability. For example, the ISO (1) (6341:2012)
70 and (2) OECD TG 202 recommend test volumes of 2 mL per neonate, adding up to a recommended 10
71 mL per test concentration when testing 5 neonates per technical replicate. This adds up to a total
72 volume of 50 mL for the generation of a complete dose-response relationship with 5 test
73 concentrations if only a single experiment is done and only one technical replicate is used (controls not
74 included here). With usually using 4 technical replicates per test concentration or dilution, this further
75 increases the needed volume to 200 mL in a single experiment. In consequence, volumes and
76 replicates needed for the testing are normally quite large as restriction of volume or numbers of
77 replicate tests is not an obstacle with single substance testing. These volumes as well as the number of
78 neonates per replicate are seen as prerogative in terms of robustness of data sets and subsequent
79 statistical reliability which is needed for the hazard evaluation of single substances. If one needs to do
80 tests with other standard organisms such as the algae or fish embryo test, the required sample volumes
81 would even increase further.

82 However, in several cases there is a restriction on sample volumes, for example in ecotoxicological
83 monitoring of environmental water samples. Currently, European ground- and surface water
84 monitoring focuses on chemical analyses (European water framework directory (WFD) and its
85 daughter regulations). As usually not all substances in an environmental sample are analysed, but the
86 mixture of all substances contributes to overall ecotoxicity, applying ecotoxicological tests is seen as a
87 valuable supplemental method to chemical analysis, allowing to include the overall toxicity of all
88 bioavailable substances in a mixture (3–5). At the same time, the sample volumes for the different
89 tests are often restricted as obtaining and preparing water samples for monitoring is elaborate and
90 costly. Chemical analysis usually requires sample volumes in the mL to μ L range. In contrast to that,
91 as demonstrated above, ecotoxicological tests with organisms often need sample volumes above 200
92 mL per sample. This may be one reason why ecotoxicological tests such as the acute *Daphnia*
93 immobilisation assay is not as often used as it might be helpful. The same restrictions apply to other
94 types of samples such as leachates prepared from microplastics (6,7), fractionated microplastics
95 samples and other materials with limited sample availability (8), Kühnel et al. (under review).

96 Standard tests with daphnids carried out in our laboratory so far used 15 mL of medium for 5 neonates
97 (1 neonate per 3 mL) and four technical replicates adding up to 60 mL for a single concentration e.g.
98 (9–11). This is in the following referred to as the “conventional approach”. A dose response curve
99 with a 1:2 dilution thus needs 120 mL of sample volume for a single experimental run.

100 This motivated this study, which aimed at developing a robust but sensitive *D. magna* immobilisation
101 test requiring less sample volume than the conventional assay.

102 We used the review by (12) as a starting point for our miniaturisation approach and complemented this
103 database by additional approaches retrieved from the scientific literature (e.g. (13,14). This first
104 literature screening indicated three basic approaches to achieve a reduction in sample volume for the
105 miniaturisation of the daphnia assay: reduce the ratio volume-per-neonate (i.e., increase density),
106 reduce the number of concentrations tested or reduce amount of neonates per replicate or
107 concentration. In addition, the impact of miniaturisation on animal fitness and behaviour was studied.
108 Based on this review a scheme for a miniaturised daphnia assay in a 24 well format was developed. In
109 the following, this approach is referred to as “miniaturised approach” (14). As a goal we wanted to
110 demonstrate that under miniaturised test conditions no changes in the overall results in terms of the
111 respective substances EC₅₀s occurred, and that factors such as increased animal density would not
112 impact the sensitivity of the test organisms. This was done by comparing the conventional approach to
113 the miniaturised approach by testing 15 selected chemicals. Finally, our results were put into the
114 context of general sensitivity differences by comparing them to results obtained with the genus
115 *Daphnia* and the 15 test substances. This was done by retrieving respective EC₅₀ values from the US
116 EPA ECOTOX database.

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118 **Material and Methods**

119 **Literature review**

120 A literature search for existing miniaturisation approaches for the daphnia immobilisation assay
121 (keywords: daphnia AND miniatur*) in the Web of ScienceTM database was done, based on the
122 PRISMA guidance paper (15) In addition, the so called Abstract Sifter (16) was used with the “query
123 run: daphnia magna” and the follow up sifter terms “miniaturi”, “volume” and “well” to scan the
124 PubMed database. Bibliometric software Zotero (www.zotero.org) was used to find and delete the
125 overlap of both databases. The different miniaturisation approaches were compared with the OECD or
126 ISO standard guidelines especially in relation to sensitivity to positive controls and assay parameters
127 such as used volume or density of neonates (summarised in Table 1). This guided in the development
128 of the miniaturisation approach regarding medium volume and animal density.

129 **Daphnia cultivation and biotesting**

130 Cultivation medium was as described in (17). Adult daphnids were cultured singly in 80 mL of ADaM
131 (Aachen Daphnia Medium, ADaM artificial freshwater) in 100 mL borosilicate Pyrex® glasses (Th.
132 Geyer, Germany). Medium was exchanged completely on Mondays and Fridays. Feeding with
133 microalgae (*S. vacuolatus*) (18) was adapted to the age of adults and done on Mondays, Wednesdays
134 and Fridays (19). Daphnids at age of 1, 2 and 3-5 weeks were fed 1×10^9 , 2.3×10^9 and 2.7×10^9 fL /
135 animal on Mondays and Wednesdays and 1.5 , 3.5 and 4.1×10^9 fL of algae volume on Fridays,
136 respectively. On Fridays, the daphnids were additionally fed with 250µL brewer yeast (SIGMA,

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137 Seelze, Germany) suspension in distilled water (1g/L). Details of the specific feeding regime are
138 published in the dissertation of Knops, M. (20). The animals were fed with the equivalent of 0.07 mg
139 carbon/ Daphnia/ day.

140 After the systematic assessment of existing approaches for miniaturisation (Table 1), we focused on
141 increase in animal density i.e. volume reduction to allow the testing of low volume samples. As well,
142 we adopted a multi-well-plate format for easier handling and microscopic observation of
143 immobilisation. Based on this previous considerations, an approach using one-tenth of the regular
144 standard volume of 60 mL was tested and compared to assays conducted with conventional volumes.

145 Accordingly, the testing was done in a) 15 mL pyrex borosilicate vials closed with a lid (i.e. the
146 “conventional” approach), b) 24- well borosilicate glass well plates (Irlbacher company, Schönsee,
147 Germany, 2 mL volume) (i.e. the “miniaturised” approach). The test substances were dissolved and
148 diluted in ADaM and 15 mL or 1.5 mL was added to each vial or well respectively before adding 5
149 neonates per vial or well (<24 h of age) with a pipette in a fixed volume of 50 μ L ADaM. The pyrex
150 vials were closed with a lid made of PBT (polybutylen terephthalat) screwcaps with inert PTFE-lined
151 rubber discs. The 24- well plate were covered with a self-made glass cover to decrease evaporation.
152 The exposure was done in the dark and at room temperature for 48 hours. After 24 and 48 h,
153 immobilized and dead neonates compared to controls served as effect parameter of toxicity.
154 Immobilisation and any other effects were checked using a stereo microscope (Leica Wild MZ-8,
155 Leica, Wetzlar, Germany) during the use of the well plates. Positive (potassiumdichromate, p.a., CAS
156 RN 7778-50-9, Fluka analytics, Seelze, Germany) and negative controls (ADaM medium) were tested
157 in parallel with each substance. For the positive control, usually two alternating concentrations (EC₂₀
158 and EC₅₀) of a concentration response curve, which was build up over the last years, were used.

159

160 **Substance selection and Data evaluation**

161 For the comparison of conventional and miniaturised approach, 15 substances were selected (aldicarb,
162 benzylcarbamate, chlorpyrifos, diazinon, dimethoate, erythromycin, methanol, metolcarb, N,N-
163 Dimethylphenylcarbamate, pirimicarb, potassiumdichromate, SDS, tebuconazol, terbutylazine and
164 tramadol; see Table 3). Selection criteria included lipohilicity as well availability of data for the
165 conventional approach. For each substance, dose-response curves were modelled using SigmaPlot
166 software (vers. 13) and EC₅₀ values calculated. The EC₅₀ values were compared and differences below
167 a factor of 3 were considered to reflect comparable sensitivities of neonates towards the respective
168 substance in both test approaches.

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171 **ECOTOX database data retrieval**

172 Beyond the comparison of neonate sensitivities in the conventional and the miniaturised approached,
173 also the general sensitivity of daphnids over various test formats, species as well as additional
174 variations in tests for the 15 substances was assessed. For the calculation of the geometric mean of test
175 results of daphnid exposed to the selected test substances, data from the US EPA Ecotox database
176 were used. Geometric mean is the metric used to compute species specific average sensitivity when
177 multiple data are available. Data retrieval from the Ecotox database was similar for all substances and
178 the following selection criteria were used: Habitat: Aquatic, Chemicals: CAS RN, Effect
179 measurements: Mortality groups → Mortality, Endpoints: Concentration based endpoints → LD₅₀,
180 LC₅₀, EC₅₀, ED₅₀, Species: daphni*, Test conditions: observation duration (Num days)_ 2, Exposure
181 media → water (salt & fresh), Exposure types → Only aquatic & static, Test location_ lab.

182

183 **Results**

184 Literature search

185 Eleven studies on miniaturization could be identified with the above-mentioned keywords in the
186 literature database(s) and using the Abstract Sifter (16) specifically using small volumes or microtiter-
187 or wellplates of different sizes. Results are summarized in Table 1. The original abstract sifter file
188 included 3801 publications dating back to the year 1926 (keyword query run “daphnia magna”). Usage
189 of three sifter terms gave ten publications with a frequency count similar and above 4. A screen shot of
190 the first 42 publications found with the abstract sifter can be seen in the appendix (Table_A2). Data
191 show that in comparison to the OECD and ISO guidelines (conventional approach) the range of the
192 different parameters sometimes cover three orders of magnitude i.e. the volume needed per replicate
193 ranges from 200 µL to 200 mL (mean of 9 mL). The animal density (neonates/ mL) covers a little
194 more than one order of magnitude (ranges from 1 neonate per 0.1 to 6 mL and a mean of 1.5), as does
195 the number of neonates needed per sample (ranging from 10 to 80 animals, mean 18). Regarding
196 daphnia sensitivity, no major differences were observed, and no minimal requirements regarding
197 volume or water column height were made. Accordingly, the approach for miniaturisation tested here
198 would be in the lower range of volume/ replicate, neonates and volume per sample needed but would
199 be in the upper range of the animal density (3.3 animals/ mL). From the density point of view it is
200 equal to a single neonate/ 0.3 mL as it was used by (12) in a 96-well plate. Irrespective of the different
201 volumes, neonate numbers and densities, the material of the testing containers was borosilicate glass
202 and polystyrene material.

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203 Table 1: Overview of miniaturisation approaches reported in the literature and the respective volumes and formats that were adopted or compared.

Volume in technical replicate (mL)	Neonates / technical replicate	Density (neonates/mL)	technical replicates/sample*	Neonates/sample* (or concentration replicate)	Total vol. needed/sample*	Format & material of test container	Reference
10	5	0.5	4	20	40	Chemically inert material (no specific format recommended)	(2) & (1)
15	5	0.33	4	20	60	15 mL pyrex glass vial	(9-11)
1.5	5	3.33	2-4	10-20	3-6	24 well (Glass)	This study
10	5	0.5	4	20	40	Glass beaker,	(14)
10	5	0.5	4	20	40	6 well (PS),	
2	1	0.5	10	10	20	24 well (PS)	
10	5	0.5	4	20	40	6 well (PS)	(21)
2	1	0.5	10	10	20	24 well (PS)	
200	20	0.1	4	80	800	Glass beaker	(12)
12	10	0.83	4	40	48	Glass beaker	
12	10	0.83	4	40	48	Petri dish	
8- 12	8-20	0.66-2.5	4	32-80	48	6 well (PS)	
6	10	1.66	4	40	24	12 well (PS)	
3	18	6	4	72	12	24 well (PS)	
1	3	3	4	12	4	48 well (PS)	
0.3	1	3.3	4		1.2	96 well (PS)	
1	1	1	20	20	20	48-well titer plates (PS)	(13)

10	5	0.5	2	10	>20	12 mL plastic (PS?) cell wells	(22)
1	5	5	4	20	4	24 well (PS) ⁽³⁾	(23)
10	5	0.5	>1 ⁽²⁾	20	40	24 well (PS)	DaphtoxKit F Benchprotocol (Microbiotests Inc.) (24)
0.2	1	5 ⁽³⁾	3-4			24 well ⁽¹⁾ (PS)	
10	5 [?]	0.5 ⁽³⁾	3-4	20	200	Glass tubes	
20	10 [?]	0.5 ⁽³⁾	3-4	40	80	Glass beakers	

204 all volumes: mL, PS: polystyrene, *sample = single concentration in a dose response relationship or replicate of env. sample, ⁽¹⁾ taken from SI Table 3 of Di Paolo et al. 2016, ⁽²⁾ as
205 deduced from the benchprotocol (downloaded at www.microbiotests.com, March 2022) by the company Microbiotests Inc. ⁽³⁾ deduced from the paper

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207 **Comparison of miniaturised acute daphnia bioassay with the standard bioassay based on literature**
 208 **data**

209 The studies listed in Table 1 used a variety of control substances to compare their datasets for large
 210 volume versus low volume daphnid tests. These assays were of course adapted for different reasons but
 211 will be used here as a standard of comparison for our own results. In Table 2, we hence summarized the
 212 respective EC₅₀ values and general conclusions that have been made by the authors on the miniaturisation
 213 approach. Overall, none of the EC₅₀ values differed more than a factor of two between the conventional
 214 and the miniaturised tests and all authors of the studies thus did assume a good comparability of the two
 215 methodological approaches.

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217 Table 2: Overview of reference chemicals that have been used to compare daphnia sensitivities between
 218 test set-ups of miniaturised daphnia assays and standard guideline volume test set-ups

Substance (CAS RN)	Miniaturised Test EC ₅₀ (mg/L) (<i>all concentrations nominal</i>)	Standard Test (mg/L) or <i>literature data</i>	Conclusions (copied from Reference references)	Reference
- Kepone (143-50-0)	1.6	1.6	“Toxicity values, as well as the variation among tests, using the miniaturised test system were very similar to those values using the standard U.S. EPA methods. Therefore, it appears that the miniaturised test system can be used to conduct toxicity tests and provide accurate results.”	(13)
-Linear alkyl benzene sulfonate (LAS), (-)	8.4	7.66		
- Pentachlorophenol (87-86-5)	2.23	2.73		
- Sodium lauryl sulfate, (151-21-3)	21.8	12.7		
- Synthetic effluent composed of 12 chemicals (each 1 mg/L)				
Triclosan (3380-34-5), (dosing via spiking of extracts of pristine creek water with triclosan)	Modelled EC ₅₀ range of three independent test labs: 0.351-0.516	<i>Geometric mean from reported literature in DiPaolo et al: 0.403</i>	“EC ₅₀ values obtained with the different test set-ups in different laboratories are in good accordance, tests show comparable sensitivity”	(24)
Acridine (260-94-6), (dosing via spiking of extracts of pristine creek water with triclosan)	Modelled EC ₅₀ range of four independent tests: 3-5.1	<i>Geometric mean from reported literature: 3.76</i>	See above	(24)
Cadmium chloride	0.98-1.4	1.4	“Although from our toxicity	(12)

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(10108-64-2)		1.4-1.91	measurements for cadmium chloride ... we observe that the % mortality induced ... may vary slightly across different experiments, in all cases there were no significant differences between the different conditions tested.”
Nickel chloride	9.1-14.3		
Formamide	~0.8		The same observation was made for nickel chloride and formamide.
K ₂ Cr ₂ O ₇	0.518	0.557	“The sensitivity of daphnids (14) towards K ₂ Cr ₂ O ₇ was comparable (based on EC ₅₀ values) between test set ups”
AgNO ₃	0.0031	not analysed/ analysable	“Comparable AgNO ₃ toxicity was (14) also reported by others (Allen et al. 2010; Asghari et al. 2012; Karen et al. 1999)”

219

220 **Comparison of our miniaturised acute daphnia bioassay with the conventional bioassay by testing**
221 **15 selected substances**

222 Fifteen substances with existing data for the conventional approach from the UFZ laboratory, as well as
223 with increasing logKow were tested in the miniaturised assay to evaluate the possible differences in the
224 sensitivities due to laboratory-specific handling, cultivation etc.(Fig. 1 & Table 3). Problems with daphnia
225 swimming behaviour or deviation from normal behaviour due to reduction of height of the water columns
226 was not observed. The exact physico-chemical and other information about the substances are collected in
227 Table 3.

228 For the comparison of both test approaches, only EC₅₀ immobilisation (48h exposure) values were used.
229 Parameters of all concentration-effect curves are shown in Appendix (Table A_1).

230 Key results, as also presented in Fig. 1, show a toxicity range in terms of EC₅₀ for all test substances of
231 roughly between 1 and 100 µmol/L. Two substances – Chlorpyrifos and Dimethoate- were specifically
232 more toxic than the rest (data ranging from 0.0001 – 0.001 µmol/L). The EC₅₀ values of the miniaturised
233 toxicity tests, as performed in our lab, indicate a general trend of a slightly higher sensitivity, with two
234 deviations between conventional and miniaturised assay for erythromycin and potassiumdichromate. An
235 exception was SDS, which showed less toxicity in the miniaturised assay. The negative controls did not
236 show any difference in immobilisation between the two test approaches.

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240 **Ecotox database retrieval for comparing miniaturised with conventional daphnid tests**

241 Table 3 also shows the literature data retrieved from the US EPA ECOTOX database for the 15 selected
 242 test substances (<https://cfpub.epa.gov/ecotox/>) (see also: (25)). Data are depicted as the geometric mean
 243 of all retrieved data (see Material & Methods for exact search parameters). Figure 1 is the graphical
 244 presentation of Table 3. Key results show that lipophilicity (as logK_{OW}) ranged from -0.77 to 4.96
 245 (Methanol & Chlorpyrifos, respectively) with an equal number of substances from logK_{OW} <1-2 and >2.
 246 Baseline toxicity, -as calculated with the formula published in the ECOSAR software (Version 1.11),
 247 varied over 5 orders of magnitude with predominance of substances with a baseline toxicity of between
 248 0.1 and 2 mmol/L. Chlorpyrifos was the substance with highest baseline toxicity (0.0011 mmol/L) while
 249 MeOH had the lowest (588 mmol/L). Comparison of EC₅₀ values retrieved from the ECOTOX database,
 250 the conventional approach (analysed in glass) and the miniaturised test (also analysed in glass) actually
 251 did show differences between the EC₅₀. But these were not greater than a factor of 2-3.

252

253 **Table 3: Toxicity data of the 15 single substances tested in our lab under both the conventional and**
 254 **the miniaturised test protocol (all concentrations are nominal) with 48 hours exposure and**
 255 **immobilisation as endpoint**

Testsubstances (alphabetical order)	CAS RN (MW) <i>logKow</i>	Watersolu bility ⁽¹⁾ (chem- dashboard) <u>µmol/L</u> exp. or predicted median	Baseline tox _Daphnids _48h, (<u>µmol/L</u>) ⁽⁴⁾	Geometric mean of daphnid tests collected from the ECOTOX database ⁽³⁾ (<u>µmol/L</u>) n= number of found & used data	OECD202 standard (this study) EC ₅₀ mg/L ⁽²⁾ <u>µmol/L</u>	miniaturise d test (this study) EC ₅₀ mg/L ⁽²⁾ <u>µmol/L</u>
Aldicarb	116-06- 3 (190.26) <i>1.13</i>	31,600	1654	<u>1.341</u> n= 10	0.7 <u>3.679</u>	0.3546 <u>1.864</u>
Benzyl- carbamate	621-84- 1 (151.165) <i>1.20</i>	447,000	2276	- (no data in ECOTOX db) n=0	80-90 <u>562.3</u>	64.17 <u>424.5</u>
Chlorpyrifos	2921- 88-2 (350.58) <i>4.96</i>	3.19	1.004	<u>0.000697</u>	- (not tested)	0.0001301 <u>0.0003711</u>

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				n= 28		
Diazinon	333-41-5 (304.35) 3.81	153	11.7	<u>0.0023</u> n=37	0.0003 – 0.0008 <u>0.0051</u>	0.0003645 <u>0.001198</u>
Dimethoate	60-51-5 (229.2) 0.78	142,000	5871.7	<u>7.7353</u> n= 12	1.5941 <u>6.955</u>	0.2107 <u>0.9193</u>
Erythromycin	114-07-8 (733.93) 2.83	355	181.3	<u>32.700</u> n=1	240 <u>327</u>	29.05 <u>39.58</u>
Methanol	67-56-1 (32.04) -0.77	31,200,000	84596	- (no data in ECOTOX db) - n=0	18.26/ 3.29 <u>569.9/</u> <u>102.7</u>	13.96 <u>435.7</u>
Metolcarb	1129-41-5 (165.079) 1.70	158,000	812.1	- (no data in ECOTOX db) - n=0	0.06 <u>0.363</u>	0.0343 <u>0.208</u>
N,N-Dimethyl phenyl carbamate	6969-90-0 (165.079) 1.56	27,300 (predicted median)	1065.9	- (no data in ECOTOX db) - n=0	4 <u>24.23</u>	1.464 <u>8.868</u>
Pirimicarb	23103-98-2 (238.29) 1.70	11,300	1528	<u>0.080</u> n=1	0.01013 <u>0.0425</u>	0.005736 <u>0.02407</u>
Potassiumdichromate	7778-50-9 (294.19)	390,910,000	-	<u>1.019</u>	1.36 <u>4.623</u>	1.32 <u>4.487</u>

				n= 27		
Sodium dodecyl sulfate (SDS)	151-21-3 (288.4)	4,610	-, calculated as surfactant: 187.2	<u>33.588</u> n=82	5.55 <u>19.244</u>	9.64 <u>33.425</u>
Tebuconazole	107534-96-3 (307.82) 3.70	117	11.1	<u>20.52</u> n=4 (data from enantiomers included)	7.2798 <u>23.65</u>	13.92 <u>45.22</u>
Terbutylazine	5915-41-3 (229.710) 3.21	37.2	37.8	- (no data in ECOTOX db) - n=0	3.9365 <u>17.137</u>	11.08 <u>48.24</u>
Tramadol	27203-92-5 (263.381) 2.63	1,260	63.2	- (no data in ECOTOX db) n=0	97.8675 <u>371.58</u>	46.99 <u>178.40</u>

256 (1) data from Chemistry dashboard (<https://comptox.epa.gov/dashboard>), experimental data or predicted median

257 (2) complete concentration-effect relationship parameters in the Appendix Table_A1

258 (3) method of data retrieval: see methods section

259 (4) ECOSAR tool (Version 1.11) used within the Epi Suite software (neutral organic SAR)

260 logKow: from Epi Suite (experimental data used, if existing) via www.chemspider.com homepage

261

262 Data from the ECOTOX database usually covered a range of at least 3 orders of magnitude. The EC₅₀ of
263 the miniaturised toxicity tests usually were within a factor of 2-3 to the geomean of the literature data
264 with the three exceptions dimethoate, pirimicarb and potassiumdichromate. Dimethoate and pirimicarb
265 data from the miniaturised assay did show lower EC₅₀ than the published ECOTOX database data
266 (roughly a factor of 8 and 4 with dimethoate and pirimicarb, respectively - pointing to a slightly higher
267 sensitivity. In contrast, the sensitivity of the miniaturised but also from the conventional assay to
268 potassium dichromate was about 4 times less sensitive than the geomean of published data. For a few
269 substances, only a very low number of data/ or no data at all could be retrieved from the ECOTOX
270 database. Here, no comparison with our data was possible.

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305 tests with different cell lines (28) and organismic biotests such as microalgae, daphnia and zebrafish
306 embryos. In addition to monitoring of pesticide contamination, the miniaturised Daphnia assay might be
307 also used for other purposes. Small volume testing could be used for leachate analysis (6), the testing of
308 nanomaterials (14) or the analysis of microplastic effects. For all these above mentioned purposes, only
309 small amounts of test volume can be produced and thus used in the bioassays. The main goal of this work
310 was to evaluate existing research data and verify a reliable *D. magna* immobilisation assay in a
311 miniaturised format specifically for the testing of samples with limited volume. The hypothesis we
312 followed was based on the theory that a decrease in the test volume i.e. increase of density of the acute
313 daphnia test would not have negative effects on sensitivity. Thus, for verification, data obtained in the
314 conventional acute daphnia test as described in the (OECD202) (2) as well as the miniaturised format
315 were systematically compared for 15 selected substances.

316 With the literature search, a rather smaller number of 7 publications was found which directly had the
317 purpose of also using a miniaturised assay in one way or another. All publications showed more or less
318 that a miniaturisation with a decrease in volume and increase in density of daphnids did not change the
319 single substance EC₅₀ results by usually more than a factor of 2-3. (12) compared a variety of different
320 parameters for the testing and observed no significant difference in sensitivity to cadmium- & nickel
321 chloride and formamide. Our adapted daphnia test in 24-well glass titer plates was very much comparable
322 to the identified publications with the one exception that most of the studies used plastic material (i.e.
323 polystyrene) micro titer plates. In conclusion, the differences seen with the selected substances were too
324 small to infer that the miniaturisation would completely misguide an assessment under the test conditions
325 used. Further, no obstacles regarding animal behaviour were reported. Our comparison of conventional
326 and miniaturised toxicity values for 15 selected substances was well in line with these observations. As
327 well, data for both approaches fit into the dataset retrieved from the US EPA ECOTOX database, with
328 EC₅₀ values clearly being within the range of observed toxicity values. Overall, the highest variation of
329 published toxicity data was observed for aldicarb (5 orders of magnitude). Here it needs to be pointed out
330 that no information on the test format was retrieved and we assume a variation of approaches was used.
331 Beside that high range of EC₅₀ only data from two different daphna species were used (*D. magna* and *D.*
332 *laevis*). Still, out of necessity, the hypothesis was that a) usage of different clones of the same species and
333 b) the sensitivity of the different daphnid species would be comparable (at least for the first hypothesis
334 (29) showed that this might not be the case). This comparability was not checked for all 15 substances
335 though and thus it can not be excluded, that some of the variances of the EC₅₀ data observed are due to a
336 possibly higher or lower sensitivity of the different daphnid species compared to *D. magna*. Data of the
337 other substances (beside aldicarb) came from tests with 13 other species (*D. carinata*, *D. laevis*, *D.*
338 *longispina*, *D. ambigua*, *D. pulex*, *D. similis*, *D. obtusa*, *Ceriodaphnia dubia*, *Ceriodaphnia reticulata*,
339 *Ceriodaphnia rigaudi*, *Ceriodaphnia cornuta*, *Moinodaphnia macleayi*, *Moina macrocopa*). But even
340 with this comparable high number of daphnid species, the majority of published daphnid tox data were
341 generated with only four species (*D. magna*, *D. laevis*, *D. pulex* and *C. dubia*). Such a high variability in
342 toxicity data might also be due to the different sensitivities of the daphnid species. In contradiction to
343 that, a review by (30) showed that *Daphnia magna* is among the most sensitive species (referring to
344 organic substances) and that more sensitive species do not differ from *D. magna* by more than a factor of
345 10. In addition, a recent literature study (by the Procter and Gamble Company together with the US EPA)
346 did not find any differences between the species sensitivity of *D. magna* and *D.pulex* in acute and chronic
347 tests (31). Nevertheless, some substances did show differences of one to two orders of magnitude
348 between the two species. Here, also a possible effect of nutrition of adult daphnids on sensitivity of the

349 offspring might also explain some of the differences as was shown by (32) for cadmium. Neonates of
350 well-fed adults were 2-3 times less sensitive than the less-fed adult offspring. This difference was
351 explained by possible energy limitation for detoxification of cadmium. Barata et al. (33) found that
352 differences in tolerance to certain metals were influenced by water hardness among *D. magna* clones, that
353 genetic variations influence sensitivity to toxins ((34) and that phenotypic plasticity ((35) and citations
354 therein) further increases the complexity to control sensitivity in toxicity tests, as a whole suite of
355 parameters may “disturb” a controlled experimental setup. Although the above observations by (32) may
356 only be transferred to other metals, it seems plausible that energy limitation due to detoxification could
357 also be a factor in other toxicity tests. In addition, (36), (37) showed that the test water composition may
358 also influence sensitivity of neonates.

359 15 test substances were selected, covering a wide range of lipophilicity, to also analyse potential
360 substance loss due to sorption processes to the walls of the glass well plate. This possible loss is also
361 predominantly covered in the OECD standard test guidance #23 (38) “Testing of difficult substances”
362 remarking that an estimated loss of more than 20 % of the starting concentration over time of testing
363 should be paralleled by chemical quantification. As this is an even bigger challenge with test vial material
364 made from plastic (the most often used test vessel material), quite a few papers covered different test
365 systems, organisms, cell lines and tried to pin down the various parameters which might disturb a more
366 realistic toxicity assessment of tests done in small volumes especially in polystyrene titer plates. The
367 parameters reviewed included the definition of thresholds for physico-chemical parameters such as
368 lipophilicity and resulting sorption to test well material, sorption to test medium and else (39–46). Others
369 (47) introduced passive dosing for testing hydrophobic organic substances. e.g. PDMS for testing the
370 effects of PAH with a logK_{ow} of above 3.5 and could show the better reproducibility of tests done with
371 these silicone-based material.

372 The results cited above were published with the assumption that sorption of lipophilic substances to
373 plastic-based well plate material might be substantial and may also disturb testing even in glass material if
374 surface to volume ratio is high. The loss of bioavailable test substances would increase the risk of
375 underestimation of toxicity and thus misguide hazard/ risk assessors. All the papers cited above gave
376 limits, thresholds or work-arounds to deal with a possible loss of the bioavailable fraction. These included
377 logK_{ow} limits in microalgae and fish embryo testing (43,44), but also solutions for calculation or
378 minimisation of possible loss (46) (39). To sum up, a logK_{ow} of around or above 3 may pose a risk of loss
379 larger than 20 %. So, a loss of substances due to sorption or volatilization can be expected in the
380 miniaturised test (44) & (43). Goal of this work however, was not to show the differences between titer
381 plate material (glass versus plastic or open versus closed exposure systems) but to see whether the volume
382 decrease (i.e. density increase) might pose a risk for underestimation of toxicity. Still, in other
383 publications, a miniaturised assay was used for risk assessment of water extracts (8,23,48,49) without any
384 obvious problems in terms of higher effects in the negative controls. As a quantification of substance
385 concentration was not done by us, data were compared to literature data, in which mostly no
386 quantification was done either (50). Comparison was on the level of EC or LC₅₀ results. Data of the
387 miniaturised daphnia assay most often were close to the mean or geometric mean of the literature data and
388 thus seemed to be of similar quality. This is in concordance with other publications and meets our
389 expectations of a similar sensitivity. With the 15 substances tested and the 13 which could be directly
390 compared, no effects could be seen which might be explained by the higher density of daphnids per
391 volume of test well. Density and also intraspecific competition is seen critical in sub-chronic and chronic
392 daphnia tests ((51) and may have significant effects on sensitivity to toxic substances as was shown in

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393 studies by (52,53). But here with the acute tests, density did not seem to be a problem for sensitivity at
394 least to the chemicals tested.

395

396 **Conclusion and outlook**

397 For *D. magna* immobilisation assays for test materials with limited sample availability, e.g. water
398 extracts, leachates and nano materials, there was a need to strongly reduce the volume of the medium (as
399 in (13)). Hence, the volume of medium used per animal was reduced. The volume to neonate-ratio
400 reported in (12) to the 24-well format by using 5 neonates in a volume of 1.5 ml medium was adopted.
401 Advantage is that mobility and mortality are quick and easily assessible using a microscope, because one
402 well with 5 neonates can be observed at once. Further, the test is more economical in terms of time for
403 preparation, substances required and amount of toxic waste that is generated. It is anticipated to further
404 develop this set-up for a behavioural assay involving live-tracking of animals with a camera where using
405 multi well plates is a favourable approach (12,54). This requires the use of one neonate per well and
406 hence, a further reduction of volume may be anticipated.

407 As the testing in 24-well glass microtiter plates did not show great differences in terms of sensitivity to
408 the substances tested in this study might also be useful for the analysis of nano particles or microplastic or
409 the ecotoxicological monitoring of environmental samples. The approach established here is transferable
410 to many other types of samples with limited availability.

411

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422

423 **Authorship Contribution**

424 Küster, E.: conception and design of the work, idea, organization of laboratory work, first versions of the
425 manuscript, Addo G.G.: Acquisition and testing and analysis of samples, discussion of manuscript,
426 Aulhorn S.: data acquisition & analysis, Kühnel D.: revision and draft of nano particle data, analysis and
427 final approval discussion, correction nano particle work. All co- authors gave final approval of the
428 manuscript and ensure the accuracy and integrity of their part of work.

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429 **Data availability statement**

430 (see below)

431

432 **Competing and Conflicts of Interest**

433 The authors declare that they have no known competing or other conflicts of interest in conjunction with
434 this manuscript and any parts of it which could have influenced the work reported.

435

436 **Ethics Approval**

437 Ethical approval for the above research was not needed as tests with invertebrates e.g. daphnids do not
438 fall under the EU regulation Directive 2010/63/EU for the protection of animals for scientific purposes.

439

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619 Appendix

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621 **Table A_1. Conc. -effect relationships curve parameters, sigmoidal hill model, 4 parameters, $f =$**
622 **$y_0 + a * x^b / (c^b + x^b)$ with $y_0 = \text{min}$, $a = \text{max}$, $b = \text{slope}$, $c = EC_{50}$ of all 15 test substances**

623

Testsubstances (alphabetical order)	CAS RN	Conc. Effect Parameters, (24h exposure), (min=0, max=100)	Conc. Effect Parameters, (48h exposure), (min=0, max=100)	(μg or mg/L)
Aldicarb	116-06-3	$EC_{50} = 1.28$ $p = 4.50$	$EC_{50} = 0.36$ $p = 2.62$	mg
Benzyl-carbamate	621-84-1	$EC_{50} = 95.31$ $p = 5.23$	$EC_{50} = 64.17$ $p = 2.66$	mg
Chlorpyrifos	2921-88-2	$EC_{50} = 1.29$ $p = 0.79$	$EC_{50} = 0.13$ $p = 2.02$	μg
Diazinon	333-41-5	$EC_{50} = 0.91$ $p = 1.78$	$EC_{50} = 0.37$ $p = 2.23$	μg
Dimethoate	60-51-5	$EC_{50} = 1.60$ $p = 1.09$	$EC_{50} = 0.21$ $p = 1.92$	mg
Erythromycin	114-07-8	$EC_{50} = 184.09$ $p = 17.86$	$EC_{50} = 29.05$ $p = 2.70$	mg
Methanol	67-56-1	$EC_{50} = 3.69$ $p = 3.81$	$EC_{50} = 1.76$ $p = 2.97$	%
Metolcarb	1129-41-5	$EC_{50} = 0.12$ $p = 2.75$	$EC_{50} = 0.034$ $p = 1.89$	mg
N,N-Dimethylphenylcarbamate	6969-90-0	$EC_{50} = 6.86$ $p = 2.65$	$EC_{50} = 1.46$ $p = 1.68$	mg
Pirimicarb	23103-98-2	$EC_{50} = 18.70$ $p = 3.62$	$EC_{50} = 5.74$ $p = 1.30$	μg
Potassiumdichromate	7778-50-9	$EC_{50} = 1.72$ $p = 4.55$	$EC_{50} = 1.32$ $p = 8.61$	mg
Sodium dodecyl sulfate (SDS)	151-21-3	$EC_{50} = 41.76$ $p = 19.62$	$EC_{50} = 9.64$ $p = 1.79$	mg
Tebuconazole	107534-96-3	$EC_{50} = 17.81$ $p = 153.15$	$EC_{50} = 13.19$ $p = 4.32$	mg
Terbutylazine	5915-41-3	$EC_{50} = 18.70$ $p = 1.63$	$EC_{50} = 11.08$ $p = 1.79$	mg
Tramadol	27203-92-5	$EC_{50} = 219.99$ $p = 3.36$	$EC_{50} = 46.98$ $p = 1.77$	mg

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Table A2_Abstract Sifter Results table (screenshot of query results of first 42 selected publications)

Kopie von AbstractSifter_daphnia miniaturization(2).xlsx - LibreOffice Calc

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	A	B	C	D	E	F	G	H	I	L	M	N	O
1	Abstract Sifter	Query PubMed					Query run: daphnia magna			Provided by the USEPA's National Center for Computational Toxicology			
2	v1.0	four sifter terms and frequency count											
3		miniaturi	volume	well	Total	Pub Yr	Title	Take Group Notes	Highlight Noted	Review	Authors	Journal	
4	28189099	5	3	5	13	2017	Miniaturising acute toxicity and feeding rate measurements in Daphnia magna.				Grintzalis, Dal, Panagiotidis, Belavgeni, Viant	Ecotoxicology and environmental safety	
5	20127188	0	0	9	9	2010	Whole effluent assessment of industrial wastewater for determination of BAT compliance. Part 2: metal surface b				Gartiser, Hafner, Hercher, Kronenberger-Schä	Environmental science and pollution research international	
6	8930499	6	1	1	8	1996	Use of a miniaturized test system for determining acute toxicity of toxicity identification evaluation fractions.				Powell, Moser, Kimerle, McKenzie, McKee	Ecotoxicology and environmental safety	
7	24043504	5	2	1	8	2014	Adaptation of the Daphnia sp. acute toxicity test: miniaturization and prolongation for the testing of nanomaterials				Baumann, Sakka, Bertrand, Köser, Filser	Environmental science and pollution research international	
8	27822687	3	4	0	7	2017	How test vessel properties affect the fate of silver nitrate and sterically stabilized silver nanoparticles in two dif				Sakka, Koester, Filser	Environmental science and pollution research international	
9	29607022	0	6	0	6	2018	Habitat orientation alters the outcome of interspecific competition: A microcosm study with zooplankton grazers.				Pan, Zhang, Sun	Ecology and evolution	
10	15266385	0	5	0	5	2004	Testing small clutch size models with Daphnia.				Guinnee, West, Little	The American naturalist	
11	29863330	0	3	1	4	2018	Development and Application of a Low-Volume Flow System for Solution-State in Vivo NMR.				Tabatabaei Anaraki, Dutta Majumdar, Wagner	Analytical chemistry	
12	28851143	0	0	4	4	2018	Exposure and effects of sediment-spiked fludioxonil on macroinvertebrates and zooplankton in outdoor aquatic r				Yin, Brock, Barone, Belgers, Boerwinkel, Buijs	The Science of the total environment	
13	28473696	0	3	1	4	2017	Microcosm experimental evidence that habitat orientation affects phytoplankton-zooplankton dynamics.				Zhang, Pan, Chen, Hu, Sun	Scientific reports	
14	32239577	0	3	0	3	2020	Flow-based in vivo NMR spectroscopy of small aquatic organisms.				Soong, Liaghati Mobarhan, Tabatabaei, Bastar	Magnetic resonance in chemistry : MRCREFERENCES	
15	31132555	0	0	3	3	2019	Toxicity in aquatic model species exposed to a temporal series of three different flowback and produced water sp				Folkerts, Blewett, Delompré, Mehler, Flynn, Su	Ecotoxicology and environmental safety	
16	30831498	0	0	3	3	2019	Chemometric modeling of Daphnia magna toxicity of agrochemicals.				Khan, Roy, Benfenati	Chemosphere	
17	30772780	0	3	0	3	2019	The analysis of the possibility of using biological tests for assessment of toxicity of leachate from an active munic				Przydatek	Environmental toxicology and pharmacology	
18	30600417	0	0	3	3	2019	Assessing the potential of quantitative 2D HSQC NMR in ¹³C enriched living organisms.				Lane, Skinner, Gershenson, Bermel, Soong, D	Journal of biomolecular NMR	
19	29623456	0	0	3	3	2018	Use of 5-azacytidine in a proof-of-concept study to evaluate the impact of pre-natal and post-natal exposures, as				Athanasio, Sommer, Viant, Chipman, Mirbahal	Ecotoxicology (London, England)	
20	28424526	0	0	3	3	2017	The impact of host sex on the outcome of co-infection.				Thompson, Gipson, Hall	Scientific reports	
21	27771567	0	0	3	3	2017	Chronic toxicity effects of ZnSO₄ and ZnO nanoparticles in Daphnia magna.				Bacchetta, Santo, Marelli, Nosengo, Tremolad	Environmental research	
22	27037768	0	0	3	3	2016	Evaluation of the detoxication efficiencies for acrylonitrile wastewater treated by a combined anaerobic oxic-aer				Na, Zhang, Deng, Quan, Chen, Zhang	Chemosphere	
23	26376098	0	1	2	3	2016	Secreted protein eco-corona mediates uptake and impacts of polystyrene nanoparticles on Daphnia magna.				Nasser, Lynch	Journal of proteomics	
24	25855616	0	0	3	3	2015	Phosphogypsum as a soil fertilizer: Ecotoxicity of amended soil and elutriates to bacteria, invertebrates, algae an				Hentati, Abrantes, Caetano, Bouguerra, Gonç	Journal of hazardous materials	
25	25826796	0	0	3	3	2015	Assessing toxicity of copper nanoparticles across five cladoceran species.				Song, Vijver, de Snoo, Peijnenburg	Environmental toxicology and chemistry	
26	25450943	0	0	3	3	2015	Effects of silver nanoparticle properties, media pH and dissolved organic matter on toxicity to Daphnia magna.				Seitz, Rosenfeldt, Storm, Metreveli, Schauma	Ecotoxicology and environmental safety	
27	25240117	0	0	3	3	2014	Beating the blues: is there any music in fighting cyanobacteria with ultrasound?				Lürling, Tolman	Water research	
28	24736707	0	0	3	3	2014	Effects of juvenile host density and food availability on adult immune response, parasite resistance and virulence				Schoebel, Auld, Spaak, Little	PloS one	
29	24495458	0	0	3	3	2014	Impact of agglomeration on the bioaccumulation of sub-100 nm sized TiO₂.				Kwon, Jeon, Yoon	Colloids and surfaces. B, Biointerfaces	
30	24028854	0	0	3	3	2013	The effect of dissolved organic matter (DOM) on sodium transport and nitrogenous waste excretion of the fresh				Al-Reasi, Yusuf, Smith, Wood	Comparative biochemistry and physiology. Toxicology & pharmacol	
31	23095291	0	0	3	3	2013	Filtering capacity of Daphnia magna on sludge particles in treated wastewater.				Pau, Serra, Colomer, Casamitjana, Sala, Kamp	Water research	
32	19759452	0	0	3	3	2009	Effectiveness of ozonation and chlorination on municipal wastewater treatment evaluated by a battery of bioasse				Kontana, Papadimitriou, Samaras, Zdragas, Yf	Water science and technology : a journal of the International Associa	
33	18504841	0	0	3	3	2008	Selected endocrine disrupting compounds (vinclozolin, flutamide, ketoconazole and dicofol): effects on survival, r				Haeba, Hilscherová, Mazurová, Bláha	Environmental science and pollution research international	
34	12112633	0	0	3	3	2002	Quality of water types in Ukraine evaluated by WaterTox bioassays.				Arkipchuk, Malinovskaya	Environmental toxicology	
35	9504971	0	0	3	3	1998	Quantitative structure-activity relationships and volume fraction analysis for nonpolar narcotic chemicals to the				Rose, St J Warne M, Lim	Archives of environmental contamination and toxicology	
36	32744726	2	0	0	2	2020	Application of Dispersive Liquid-Liquid Microextraction Followed by HPLC/MS-MS Analysis to Determine Tetrabrom				Macédo, Bernegossi, Sabatini, Corbi, Zalati	Environmental toxicology and chemistry	
37	32763603	0	0	2	2	2020	Ecotoxicological effects of micropollutant-loaded powdered activated carbon emitted from wastewater treatment				Woermann, Sures	The Science of the total environment	
38	32521362	0	0	2	2	2020	Perfluorinated alkyl substances impede growth, reproduction, lipid metabolism and lifespan in Daphnia magna.				Seoyoum, Pradhan, Jass, Olsson	The Science of the total environment	
39	3247803	0	0	2	2	2020	The volatile oils from the oleo-gum-resins of Ferula aassa-foetida and Ferula gummosa: A comprehensive investig				Pavela, Morshedloo, Lupidi, Carolla, Barboni,	Food and chemical toxicology : an international journal published for	
40	31959775	0	0	2	2	2020	Characterization of key bacterial species in the Daphnia magna microbiota using shotgun metagenomics.				Cooper, Cressler	Scientific reports	
41	31952101	0	0	2	2	2020	Translocation, trophic transfer, accumulation and depuration of polystyrene microplastics in Daphnia magna and				Elizalde-Velázquez, Carcano, Crago, Green, Sh	Environmental pollution (Barking, Essex : 1987)	
42	31428869	0	0	2	2	2019	Does differential iron supply to algae affect Daphnia life history? An ionome-wide study.				Ievasinah, Pulkkinen	Oecologia	

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Tabelle 2 von 7 Ausgewählt: 1 Zeile, 1.024 Spalten PageStyle_Main Englisch (Großbritannien) Mittelwert: 4027306; Summe: 28191142 110%