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Miniaturisation of the Daphnia magna immobilisation assay for the reliable testing of low volume samples

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4

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11

12 **Abstract**

13 International standard test guidelines for the ecotoxicological characterisation of various substances  
14 use organisms like algae, daphnids and fish embryos. These guidelines recommend or use relatively  
15 high volumes of water for the process of testing e.g. 200 mL for a complete dose-response relationship  
16 in a daphnia assay. However, for various samples such as concentrated extracts from environmental  
17 monitoring or leachates from microplastic aging experiments, the amount of available sample volume  
18 is limited i.e. rather in the range of 10-50 mL/ biotest. Using the exposure volumes as recommended in  
19 test guidelines would not allow to test a range of different concentrations or to repeat tests or use  
20 multiple different organismic bioassays. Lower media volumes would allow the testing of more  
21 samples (more concentrations per sample, more test repetitions for statistical robustness etc.) but it  
22 may also decrease the possible number of organisms tested in the same volume. Here, we aimed at  
23 reducing the test volumes in the acute daphnia assay (using a maximum of 30 mL for a complete dose-  
24 response relationship) without impacting animals' sensitivity towards toxicants. A literature review on  
25 existing miniaturisation approaches was used as a starting point. Subsequently, assays employing  
26 conventional as well as reduced test volumes were compared for 16 selected test substances with a  
27 diverse spectrum of lipophilicity. Results showed that there are differences in EC<sub>50</sub> between the two  
28 approaches, but that these differences were overall only within a range of a factor of two to three.  
29 Further, by retrieving EC<sub>50</sub> values for the genus *Daphnia* and 16 test substances from the US EPA  
30 database, we demonstrated that our results are well inline with the general differences in sensitivities.

31

32 **Keywords:** miniaturisation, extract testing, leachate testing, microplastic, nano particle, environmental  
33 monitoring, groundwater, crustacea, pesticide, plankton testing

34 **Introduction**

1

2

35 Most guidelines for aquatic ecotoxicity testing were established for the testing of individual  
36 substances, usually not restricted regarding their availability. For example, the ISO (1) (6341:2012)  
37 and (2) OECD TG 202 (describing the acute daphnia test over a duration of 48h) recommend test  
38 volumes of 2 mL per daphnia neonate, adding up to a recommended 10 mL per test concentration  
39 when testing 5 neonates per technical replicate. This adds up to a total volume of 50 mL for the  
40 generation of a complete dose-response relationship with 5 test concentrations if only a single  
41 experiment is done and only one technical replicate is used (controls not included here). With usually  
42 using 4 technical replicates per test concentration or dilution, this further increases the needed volume  
43 to 200 mL in a single experiment. In consequence, volumes and replicates needed for the testing are  
44 normally quite large as restriction of volume or numbers of replicate tests is not an obstacle with  
45 single substance testing. These volumes as well as the number of neonates per replicate are seen as  
46 prerogative in terms of robustness of data sets and subsequent statistical reliability which is needed for  
47 the hazard evaluation of single substances. If one needs to do tests with other standard organisms such  
48 as the algae or fish embryo test, the required sample volumes would even increase further.

49 However, in several cases there is a restriction on sample volumes, for example in ecotoxicological  
50 monitoring of environmental water samples. Currently, European ground- and surface water  
51 monitoring focuses on chemical analyses (European water framework directory (WFD) and its  
52 daughter regulations). As usual not all substances in an environmental sample are analysed (which is  
53 obviously not possible). But in an environmental sample the mixture of all substances -and not only a  
54 few substances of interest- contributes to overall ecotoxicity. Thus, applying ecotoxicological tests is  
55 seen as a valuable complementary method to chemical analysis, allowing to include and evaluate the  
56 overall toxicity of all bioavailable substances in a mixture (3–5). At the same time, the sample  
57 volumes for the different tests are often restricted as obtaining and preparing water samples for  
58 monitoring is elaborate and costly. Chemical analysis usually requires sample volumes in the  $\mu\text{L}$  to  
59 mL range. In contrast to that, as demonstrated above, ecotoxicological tests with organisms often need  
60 sample volumes above 200 mL per sample. This may be one reason why ecotoxicological tests such as  
61 the acute *Daphnia* immobilisation assay is not as often used as it might be helpful. The same  
62 restrictions apply to other types of samples such as leachates prepared from microplastics (6,7),  
63 fractionated microplastics samples and other materials with limited sample availability (8, 56).

64 Standard tests with daphnids carried out in our laboratory so far used 15 mL of medium for 5 neonates  
65 (1 neonate per 3 mL) and four technical replicates adding up to 60 mL for a single concentration e.g.  
66 (9–11). This is in the following referred to as the “conventional approach”. A dose response curve  
67 with a 1:2 dilution thus needs 120 mL of sample volume for a single experimental run.

68 This motivated this study, which aimed at developing a robust but sensitive *D. magna* immobilisation  
69 test requiring less sample volume than the conventional assay.

70 We used the review by (12) as a starting point for our miniaturisation approach and complemented this  
71 database by additional approaches retrieved from the scientific literature (e.g. (13,14)). This first  
72 literature screening indicated three basic approaches to achieve a reduction in sample volume for the  
73 miniaturisation of the *Daphnia* assay: reduce the ratio volume-per-neonate (i.e., increase density),  
74 reduce the number of concentrations tested or reduce amount of neonates per replicate or  
75 concentration. In addition, the impact of miniaturisation on animal fitness and behaviour was studied.  
76 Based on this review a scheme for a miniaturised *Daphnia* assay in a 24 well format was developed. In  
77 the following, this approach is referred to as “miniaturised approach” (14). As a goal we wanted to  
78 demonstrate that under miniaturised test conditions no changes in the overall results in terms of the  
79 respective substances EC<sub>50</sub>s occurred, and that factors such as increased animal density would not  
80 impact the sensitivity of the test organisms. This was done by comparing the conventional approach to  
81 the miniaturised approach by testing 16 selected chemicals. Substance selection was based on  
82 lipophilicity as well as test data availability for the conventional approach. Finally, our results were  
83 put into the context of general sensitivity differences by comparing them to results obtained with the  
84 genus *Daphnia* and the 16 test substances. This was done by retrieving respective EC50 values from  
85 the US EPA ECOTOX database.

86

## 87 **Material and Methods**

### 88 **Literature review**

89 A literature search for existing miniaturisation approaches for the *Daphnia* immobilisation assay  
90 (keywords: daphnia AND miniatur\*) in the Web of Science<sup>TM</sup> database was done, based on the  
91 PRISMA guidance paper (15) In addition, the so called Abstract Sifter (16) was used with the “query  
92 run: daphnia magna” and the follow up sifter terms “miniaturi”, “volume” and “well” to scan the  
93 PubMed database. Bibliometric software Zotero ([www.zotero.org](http://www.zotero.org)) was used to find and delete the  
94 overlap of both databases. The different miniaturisation approaches were compared with the OECD or  
95 ISO standard guidelines especially in relation to sensitivity to positive controls and assay parameters  
96 such as used volume or density of neonates (summarised in Table 1). This guided in the development  
97 of the miniaturisation approach regarding medium volume and animal density.

### 98 ***Daphnia* cultivation and biotesting**

99 Cultivation medium was as described in (17). Adult daphnids were cultured individually in 80 mL of  
100 ADaM (Aachen D*aphnia* Medium, ADaM artificial freshwater) in 100 mL borosilicate Pyrex®  
101 glasses (Th. Geyer, Germany). Medium was exchanged completely on Mondays and Fridays. Feeding  
102 with microalgae (*S. vacuolatus*) (18) was adapted to the age of adults and done on Mondays,  
103 Wednesdays and Fridays (19). Daphnids at age of 1, 2 and 3-5 weeks were fed  $1 \times 10^9$ ,  $2.3 \times 10^9$  and  $2.7$

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104  $\times 10^9$  fL / animal on Mondays and Wednesdays and 1.5, 3.5 and 4.1  $\times 10^9$  fL of algae volume on  
105 Fridays, respectively. On Fridays, the daphnids were additionally fed with 250 $\mu$ L brewer yeast  
106 (SIGMA, Seelze, Germany) suspension in distilled water (1g/L). Details of the specific feeding regime  
107 are published in the dissertation of Knops, M. (20). The animals were fed with the equivalent of 0.07  
108 mg carbon/ *Daphnia*/ day.

109 After the systematic assessment of existing approaches for miniaturisation (Table 1), we focused on  
110 increase in animal density i.e. volume reduction to allow the testing of low volume samples such that  
111 we adopted a multi-well-plate format for easier handling and microscopic observation of  
112 immobilisation and death of daphnids. Based on this previous considerations, an approach using one-  
113 tenth of the regular standard volume of 60 mL was tested and compared to assays conducted with  
114 conventional volumes.

115 Accordingly, the testing was done in a) 15 mL pyrex borosilicate vials closed with a lid (i.e. the  
116 “conventional” approach), b) 24- well borosilicate glass well plates (Irlbacher company, Schönsee,  
117 Germany, 2 mL volume) (i.e. the “miniaturised” approach). The test substances were dissolved and  
118 diluted in ADaM. Test substance solution (15 mL or 1.5 mL) was added to each vial or well. Finally 5  
119 neonates (<24 h of age) were pipetted using a fixed volume of 50  $\mu$ L per vial or well. The pyrex vials  
120 were closed with a lid made of PBT (polybutylen terephthalat) screwcaps with inert PTFE-lined  
121 rubber discs. The 24- well plate were covered with a self-made glass cover to decrease evaporation.  
122 The exposure was done in the dark and at room temperature for 48 hours and the daphnids were not  
123 fed during the exposure). After 24 and 48 h, immobilized and dead neonates compared to controls  
124 served as effect parameter of toxicity. Immobilisation and any other effects were checked using a  
125 stereo microscope (Leica Wild MZ-8, Leica, Wetzlar, Germany) during the use of the well plates.  
126 Positive (potassium dichromate, p.a., CAS RN 7778-50-9, Fluka analytics, Seelze, Germany) and  
127 negative controls (ADaM medium) were tested in parallel with each substance. For the positive  
128 control, usually two alternating concentrations (EC<sub>20</sub> and EC<sub>50</sub>) of a concentration response curve,  
129 which was built up over the last years, were used. Ph of the dilution with the highest test concentration  
130 was measured at the end of the tests. No deviations from the normal were observed. Only with tests of  
131 silver nitrate the test medium pH was stabilized to a pH of 7.4 using 30mM of 3-(N-  
132 Morpholino)propansulfonsäure (MOPS) buffer. Oxygen content was measured of the highest  
133 concentration at several time points. Preliminary tests and results published by [12] did not show any  
134 decrease of oxygen over the exposure time.

135

### 136 **Substance selection and Data evaluation**

137 For the comparison of conventional and miniaturised approach, 16 substances were selected (aldicarb,  
138 benzylcarbamate, chlorpyrifos, diazinon, dimethoate, erythromycin, methanol, metolcarb, N,N-  
139 Dimethylphenylcarbamate, pirimicarb, potassium dichromate, sodium dodecyl sulfate (SDS), silver

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140 nitrate, tebuconazol, terbutylazine and tramadol; see Table 3). Selection criteria included lipophilicity  
141 as well as availability of data for the conventional approach. As the proposed miniaturised system can  
142 be seen as an open test chamber the possibility of volatilisation exists. Thus, another criterium checked  
143 before testing was the volatility. A Henry`s law constant below 1 Pa m<sup>3</sup> mol<sup>-1</sup> is seen as a threshold in  
144 this miniaturised system (38). Only one substance, N,N-Dimethyl phenyl carbamat, had a Henry`s  
145 constant above 1 (i.e. 3.5). All other substances constants were in the range of 0.001 to 0.3. For each  
146 substance, dose-response curves were modelled using SigmaPlot™ software (vers. 14) and EC<sub>50</sub> values  
147 calculated. The EC<sub>50</sub> values were compared and differences below a factor of 3 were considered to  
148 reflect comparable sensitivities of neonates towards the respective substance in both test approaches.

149

## 150 **ECOTOX database data retrieval**

151 Beyond the comparison of neonate sensitivities in the conventional and the miniaturised approached,  
152 also the general sensitivity of daphnids over various test formats, species as well as additional  
153 variations in tests for the 16 substances was assessed. For the calculation of the geometric mean of test  
154 results of daphnid exposed to the selected test substances, data from the US EPA Ecotox database  
155 were used. Geometric mean is the metric used to compute species specific average sensitivity when  
156 multiple data are available. Data retrieval from the Ecotox database was similar for all substances and  
157 the following selection criteria were used: Habitat: Aquatic, Chemicals: CAS RN, Effect  
158 measurements: Mortality groups → Mortality, Endpoints: Concentration based endpoints → LD<sub>50</sub>,  
159 LC<sub>50</sub>, EC<sub>50</sub>, ED<sub>50</sub>, Species: daphni\*, Test conditions: observation duration (Num days)\_ 2, Exposure  
160 media → water (salt & fresh), Exposure types → Only aquatic & static, Test location\_ lab.

161

## 162 **Results**

### 163 Literature search

164 Eleven studies on miniaturization could be identified with the above-mentioned keywords in the  
165 literature database(s) and using the Abstract Sifter (16) specifically using small volumes or microtiter-  
166 or wellplates of different sizes. Results are summarized in Table 1. The original abstract sifter file  
167 included 3801 publications dating back to the year 1926 (keyword query run “daphnia magna”). Usage  
168 of three sifter terms gave ten publications with a frequency count similar and above 4. A screen shot of  
169 the first 42 publications found with the abstract sifter can be seen in the appendix (Table\_A2). Data  
170 show that in comparison to the OECD and ISO guidelines (conventional approach) the range of the  
171 different parameters sometimes cover three orders of magnitude i.e. the volume needed per replicate  
172 ranges from 200 µL to 200 mL (mean of 9 mL). The animal density (neonates/ mL) covers a little  
173 more than one order of magnitude (ranges from 1 neonate per 0.1 to 6 mL and a mean of 1.5), as does

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174 the number of neonates needed per sample (ranging from 10 to 80 animals, mean 18). Regarding  
175 *Daphnia* sensitivity, no major differences were observed, and no minimal requirements regarding  
176 volume or water column height were made. Accordingly, the approach for miniaturisation tested here  
177 would be in the lower range of volume/ replicate, neonates and volume per sample needed but would  
178 be in the upper range of the animal density (3.3 animals/ mL). From the density point of view it is  
179 equal to a single neonate/ 0.3 mL as it was used by (12) in a 96-well plate. Irrespective of the different  
180 volumes, neonate numbers and densities, the material of the testing containers was borosilicate glass  
181 and polystyrene material (for silver nitrate).

182 Table 1: Overview of daphnia test miniaturisation approaches reported in the literature and the respective volumes and formats that were adopted or compared.

| Volume in technical replicate (mL) | Neonates / technical replicate | Density (neonates/mL) | technical replicates/sample* | Neonates/sample* (or concentration replicate) | Total vol. needed/sample* | Format & material of test container                 | Reference  |
|------------------------------------|--------------------------------|-----------------------|------------------------------|---|---------------------------|---|------------|
| 10                                 | 5                              | 0.5                   | 4                            | 20  | 40                        | Chemically inert material (no specific recommended) | (2) & (1)  |
| 15                                 | 5                              | 0.33                  | 4                            | 20  | 60                        | 15 mL pyrex glass vial                              | (9-11)     |
| 1.5                                | 5                              | 3.33                  | 2-4                          | 10-20   | 3-6                       | 24 well (Glass)                                     | This study |
| 10                                 | 5                              | 0.5                   | 4                            | 20  | 40                        | Glass beaker,                                       | (14)       |
| 10                                 | 5                              | 0.5                   | 4                            | 20  | 40                        | 6 well (PS),  |            |
| 2                                  | 1                              | 0.5                   | 10                           | 10  | 20                        | 24 well (PS)  |            |
| 10                                 | 5                              | 0.5                   | 4                            | 20  | 40                        | 6 well (PS)   | (21)       |
| 2                                  | 1                              | 0.5                   | 10                           | 10  | 20                        | 24 well (PS)  |            |
| 200                                | 20                             | 0.1                   | 4                            | 80  | 800                       | Glass beaker  | (12)       |
| 12                                 | 10                             | 0.83                  | 4                            | 40  | 48                        | Glass beaker  |            |
| 12                                 | 10                             | 0.83                  | 4                            | 40  | 48                        | Petri dish  |            |
| 8-12                               | 8-20                           | 0.66-2.5              | 4                            | 32-80   | 48                        | 6 well (PS)   |            |
| 6                                  | 10                             | 1.66                  | 4                            | 40  | 24                        | 12 well (PS)  |            |
| 3                                  | 18                             | 6                     | 4                            | 72  | 12                        | 24 well (PS)  |            |
| 1                                  | 3                              | 3                     | 4                            | 12  | 4                         | 48 well (PS)  |            |
| 0.3                                | 1                              | 3.3                   | 4                            |   | 1.2                       | 96 well (PS)  |            |
| 1                                  | 1                              | 1                     | 20                           | 20  | 20                        | 48-well titer plates (PS)                           | (13)       |
| 10                                 | 5                              | 0.5                   | 2                            | 10  | >20                       | 12 mL plastic (PS?) cell                            | (22)       |

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|     |                 |                    |                   |    |     |                                      |   |
|-----|-----------------|--------------------|-------------------|----|-----|--------------------------------------|---|
| 1   | 5               | 5                  | 4                 | 20 | 4   | wells<br>24 well (PS) <sup>(3)</sup> | (23)  |
| 10  | 5               | 0.5                | >1 <sup>(2)</sup> | 20 | 40  | 24 well (PS)                         | DaphtoxKit F<br>Benchprotocol<br>(Microbiotests Inc.) |
| 0.2 | 1               | 5 <sup>(3)</sup>   | 3-4               |    |     | 24 well <sup>(1)</sup> (PS)          | (24)  |
| 10  | 5 <sup>?</sup>  | 0.5 <sup>(3)</sup> | 3-4               | 20 | 200 | Glass tubes                          |   |
| 20  | 10 <sup>?</sup> | 0.5 <sup>(3)</sup> | 3-4               | 40 | 80  | Glass beakers                        |   |

183 all volumes: mL, PS: polystyrene, \*sample = single concentration in a dose response relationship or replicate of env. sample, <sup>(1)</sup> taken from SI Table 3 of Di Paolo et al. 2016, <sup>(2)</sup> as

184 deduced from the benchprotocol (downloaded at [www.microbiotests.com](http://www.microbiotests.com), March 2022) by the company Microbiotests Inc. <sup>(3)</sup> deduced from the paper

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186 **Comparison of miniaturised acute *Daphnia* bioassay with the standard bioassay based on literature**  
 187 **data**

188 The studies listed in Table 1 used a variety of control substances to compare their datasets for large  
 189 volume versus low volume daphnid tests. These assays were of course adapted for different reasons but  
 190 will be used here as a standard of comparison for our own results. In Table 2, we hence summarized the  
 191 respective EC<sub>50</sub> values and general conclusions that have been made by the authors on the miniaturisation  
 192 approach. Overall, none of the EC<sub>50</sub> values differed more than a factor of two between the conventional  
 193 and the miniaturised tests and all authors of the studies thus did assume a good comparability of the two  
 194 methodological approaches.

195

196 Table 2: Overview of reference chemicals that have been used to compare *Daphnia* sensitivities between  
 197 test set-ups of miniaturised *Daphnia* assays and standard guideline volume test set-ups

| Substance<br>(CAS RN)  | Miniaturised<br>Test EC <sub>50</sub> (mg/L)<br>( <i>all<br/>concentrations<br/>nominal</i> ) | Standard<br>Test (mg/L)<br>or<br><i>literature<br/>data</i>            | Conclusions (copied<br>from<br>Reference<br>references)  |      |
|--|---|--|--|------|
| - Kepone (143-50-0)  | 1.6   | 1.6  | “Toxicity values, as well as the variation among tests, using the miniaturised test system were very similar to those values using the standard U.S. EPA methods. Therefore, it appears that the miniaturised test system can be used to conduct toxicity tests and provide accurate results.” | (13) |
| -Linear alkyl benzene sulfonate (LAS), (-)   | 8.4   | 7.66   |  |      |
| - Pentachlorophenol (87-86-5)  | 2.23  | 2.73   |  |      |
| - Sodium lauryl sulfate, (151-21-3)  | 21.8  | 12.7   |  |      |
| - Synthetic effluent composed of 12 chemicals (each 1 mg/L)                                    |   |  |  |      |
| Triclosan (3380-34-5), (dosing via spiking of extracts of pristine creek water with triclosan) | Modelled EC <sub>50</sub> range of three independent test labs: 0.351-0.516                   | <i>Geometric mean from reported literature in DiPaolo et al: 0.403</i> | “EC <sub>50</sub> values obtained with the different test set-ups in different laboratories are in good accordance, tests show comparable sensitivity”   | (24) |
| Acridine (260-94-6), (dosing via spiking of extracts of pristine creek water with triclosan)   | Modelled EC <sub>50</sub> range of four independent tests: 3-5.1                              | <i>Geometric mean from reported literature: 3.76</i>                   | See above  | (24) |
| Cadmium chloride   | 0.98-1.4  | 1.4  | “Although from our toxicity  | (12) |

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|   |          |                             |  |
|---|----------|-----------------------------|--|
| (10108-64-2 )                                 |          | 1.4-1.91                    | measurements for cadmium chloride ... we observe that the % mortality induced ... may vary slightly across different experiments, in all cases there were no significant differences between the different conditions tested.” |
| Nickel chloride                               | 9.1-14.3 |                             |  |
| Formamide                                     | ~0.8     |                             | The same observation was made for nickel chloride and formamide.   |
| K <sub>2</sub> Cr <sub>2</sub> O <sub>7</sub> | 0.518    | 0.557                       | “The sensitivity of daphnids (14) towards K <sub>2</sub> Cr <sub>2</sub> O <sub>7</sub> was comparable (based on EC <sub>50</sub> values) between test set ups”  |
| AgNO <sub>3</sub>                             | 0.0031   | not analysed/<br>analysable | “Comparable AgNO <sub>3</sub> toxicity was (14) also reported by others (Allen et al. 2010; Asghari et al. 2012; Karen et al. 1999)”   |

198

199 **Comparison of our miniaturised acute *Daphnia* bioassay with the conventional bioassay by testing**  
200 **16 selected substances**

201 Sixteen substances with existing data for the conventional approach from the UFZ laboratory, as well as  
202 with increasing logKow were tested in the miniaturised assay to evaluate the possible differences in the  
203 sensitivities due to laboratory-specific handling, cultivation etc.(Fig. 1 & Table 3). Problems with  
204 *Daphnia* swimming behaviour or deviation from normal behaviour due to reduction of height of the water  
205 columns was not observed. The exact physico-chemical and other information about the substances are  
206 collected in Table 3.

207 For the comparison of both test approaches, only EC<sub>50</sub> immobilisation (48h exposure) values were used.  
208 Parameters of all concentration-effect curves are shown in Appendix (Table A\_1).

209 Key results, as also presented in Fig. 1, show a toxicity range in terms of EC<sub>50</sub> for all test substances of  
210 roughly between 1 and 100 µmol/L. Three substances – Chlorpyrifos, Dimethoate and silver nitrate- were  
211 specifically more toxic than the rest (EC<sub>50</sub> data ranging from 0.0001 – 0.001 µmol/L). The EC<sub>50</sub> values of  
212 the miniaturised toxicity tests, as performed in here, indicate a general trend of a slightly higher  
213 sensitivity compared to the geomean of the published EC50s from the US EPA ECOTOX database (see  
214 Table 3). The negative controls did not show any difference in immobilisation between the two test  
215 approaches.

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219 **Ecotox database retrieval for comparing miniaturised with conventional daphnid tests**

220 Table 3 also shows the literature data retrieved from the US EPA ECOTOX database for the 16 selected  
 221 test substances (<https://cfpub.epa.gov/ecotox/>) (see also: (25)). Data are depicted as the geometric mean  
 222 of all retrieved data (see Material & Methods for exact search parameters). Figure 1 is the graphical  
 223 presentation of Table 3. Key results show that lipophilicity (as logK<sub>ow</sub>) ranged from -0.77 to 4.96  
 224 (Methanol & Chlorpyrifos, respectively) with an equal number of substances from logK<sub>ow</sub> <1-2 and >2.  
 225 Baseline toxicity, -as calculated with the formula published in the ECOSAR software (Version 1.11),  
 226 varied over 5 orders of magnitude with predominance of substances with a baseline toxicity of between  
 227 0.1 and 2 mmol/L. Chlorpyrifos was the substance with highest baseline toxicity (0.0011 mmol/L) while  
 228 MeOH had the lowest (588 mmol/L). Comparison of EC<sub>50</sub> values retrieved from the ECOTOX database,  
 229 the conventional approach (analysed in glass) and the miniaturised test (also analysed in glass) actually  
 230 did show differences between the EC<sub>50</sub>. But these were not greater than a factor of 2-3.

231

232 **Table 3: Toxicity data of the 16 single substances tested in our lab under both the conventional and**  
 233 **the miniaturised test protocol (all concentrations are nominal) with 48 hours exposure and**  
 234 **immobilisation as endpoint**

| Testsubstances<br>(alphabetical<br>order) & <i>main<br/>usage</i>  | CAS<br>RN<br>(MW)<br><br><i>logKow</i> | Watersolu<br>bility <sup>(1)</sup><br>(chem-<br>dashboard<br>)<br><br><u>µmol/L</u><br><br>exp. or<br>predicted<br>median | Baseline tox<br>_Daphnids<br>_48h,<br><br><u>(µmol/L)</u> <sup>(4)</sup> | Geometric mean of<br>daphnid tests collected<br>from the ECOTOX<br>database <sup>(3)</sup> ( <u>µmol/L</u> )<br><br>n= number of found &<br>used data | OECD202<br>standard<br>(this study)<br><br>EC <sub>50</sub> mg/L <sup>(2)</sup><br><br><u>µmol/L</u> | miniaturised<br>test (this<br>study)<br><br>EC <sub>50</sub> mg/L <sup>(2)</sup><br><br><u>µmol/L</u> |
|--|--|---|--|---|--|---|
| Aldicarb<br><br><i>Insecticide,<br/>Nematicide,<br/>Acaricide</i>  | 116-06-<br>3<br>(190.26)<br><br>1.13   | 31,600  | 1654   | <u>1.341</u><br><br>n= 10   | 0.7<br><br><u>3.679</u>  | 0.3546<br><br><u>1.864</u>  |
| Benzyl-<br>carbamate<br><br><i>Insecticidal &amp;<br/>other uses<br/>(industrial<br/>intermediate<br/>product)</i> | 621-84-<br>1<br>(151.16<br>5) 1.20     | 447,000   | 2276   | - (no data in ECOTOX db)<br><br>n=0   | 80-90<br><br><u>562.3</u>  | 64.17<br><br><u>424.5</u>   |

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|   |                                |                                 |        |                                      |                                     |                               |
|---|--------------------------------|---------------------------------|--------|--------------------------------------|-------------------------------------|-------------------------------|
| Chlorpyrifos<br><i>Insecticide,<br/>Acaricide</i>   | 2921-88-2<br>(350.58)<br>4.96  | 3.19                            | 1.004  | <u>0.000697</u><br>n= 28             | - (not tested)                      | 0.0001301<br><u>0.0003711</u> |
| Diazinon<br><i>Insecticide,<br/>Acaricide</i>   | 333-41-5<br>(304.35)<br>3.81   | 153                             | 11.7   | <u>0.0023</u><br>n=37                | 0.0003 –<br>0.0008<br><u>0.0051</u> | 0.0003645<br><u>0.001198</u>  |
| Dimethoate<br><i>Insecticide,<br/>Acaricide</i>   | 60-51-5<br>(229.2)<br>0.78     | 142,000                         | 5871.7 | <u>7.7353</u><br>n= 12               | 1.5941<br><u>6.955</u>              | 0.2107<br><u>0.9193</u>       |
| Erythromycin<br><i>Pharmaceutical<br/>/ Antibiotic</i>  | 114-07-8<br>(733.93)<br>2.83   | 355                             | 181.3  | <u>32.700</u><br>n=1                 | 240<br><u>327</u>                   | 29.05<br><u>39.58</u>         |
| Methanol<br><i>Solvent</i>  | 67-56-1<br>(32.04)<br>-0.77    | 31,200,000                      | 84596  | - (no data in ECOTOX db)<br>-<br>n=0 | 18.26/ 3.29<br><u>569.9/ 102.7</u>  | 13.96<br><u>435.7</u>         |
| Metolcarb<br><i>Insecticide,<br/>Acaricide</i>  | 1129-41-5<br>(165.079)<br>1.70 | 158,000                         | 812.1  | - (no data in ECOTOX db)<br>-<br>n=0 | 0.06<br><u>0.363</u>                | 0.0343<br><u>0.208</u>        |
| N,N-Dimethyl<br>phenyl<br>carbamate<br><i>Insecticide,<br/>Herbicide,<br/>industrial<br/>intermediate<br/>product</i> | 6969-90-0<br>(165.079)<br>1.56 | 27,300<br>(predicted<br>median) | 1065.9 | - (no data in ECOTOX db)<br>n=0      | 4<br><u>24.23</u>                   | 1.464<br><u>8.868</u>         |

|   |                                 |             |                                       |  |                          |                            |
|---|---------------------------------|-------------|---------------------------------------|--|--------------------------|----------------------------|
| Pirimicarb<br><i>Insecticide</i>  | 23103-98-2<br>(238.29)<br>1.70  | 11,300      | 1528                                  | <u>0.080</u><br>n=1                                  | 0.01013<br><u>0.0425</u> | 0.005736<br><u>0.02407</u> |
| Potassium dichromate<br><i>Oxidizing agent, colouring agent and other uses</i>      | 7778-50-9<br>(294.19)           | 390,910,000 | -                                     | <u>1.019</u><br>n= 27                                | 1.36<br><u>4.623</u>     | 1.32<br><u>4.487</u>       |
| Silver nitrate  | 7761-88-8<br>(169.87)           | 5,860,000   | -                                     | <u>0,129µmol/L</u><br>n=25                           | - (not tested)           | 0.01174<br><u>0.069</u>    |
| Sodium dodecyl sulfate (SDS)<br><i>Indurstiral chemical, surfactant/ dispersant</i> | 151-21-3<br>(288.4)             | 4,610       | -, calculated as surfactant:<br>187.2 | <u>33.588</u><br>n=82                                | 5.55<br><u>19.244</u>    | 9.64<br><u>33.425</u>      |
| Tebuconazole<br><i>Fungicide</i>  | 107534-96-3<br>(307.82)<br>3.70 | 117         | 11.1                                  | <u>20.52</u><br>n=4 (data from enantiomers included) | 7.2798<br><u>23.65</u>   | 13.92<br><u>45.22</u>      |
| Terbutylazine<br><i>Herbicide</i>   | 5915-41-3<br>(229.710) 3.21     | 37.2        | 37.8                                  | - (no data in ECOTOX db)<br>-<br>n=0                 | 3.9365<br><u>17.137</u>  | 11.08<br><u>48.24</u>      |
| Tramadol<br><i>Pharmaceutical, Analgetic</i>  | 27203-92-5                      | 1,260       | 63.2                                  | - (no data in ECOTOX db)                             | 97.8675<br><u>371.58</u> | 46.99<br><u>178.40</u>     |

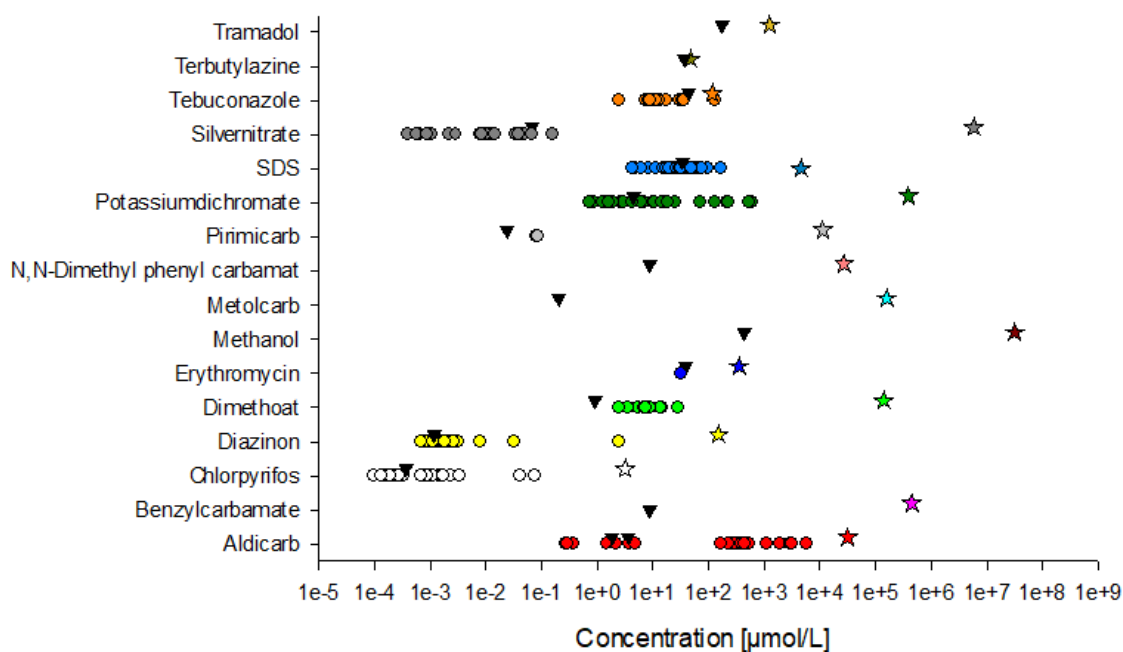
25

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|           |                    |  |  |     |  |  |
|-----------|--------------------|--|--|-----|--|--|
| inhibitor | (263.38<br>1) 2.63 |  |  | n=0 |  |  |
|-----------|--------------------|--|--|-----|--|--|

- 235 (1) data from Chemistry dashboard (<https://comptox.epa.gov/dashboard>), experimental data or predicted median  
 236 (2) complete concentration-effect relationship parameters in the Appendix Table\_A1  
 237 (3) method of data retrieval: see methods section  
 238 (4) ECOSAR tool (Version 1.11) used within the Epi Suite™ software (neutral organic SAR)  
 239 (5) usage definitions taken from: Table C of Zenodo data publication by [55] Kramer et al. 2023 (retrieved: July 18<sup>th</sup>, 2024)  
 240 logKow: from Epi Suite™ (experimental data used, if existing) via [www.chemspider.com](http://www.chemspider.com) homepage  
 241

242 Data from the ECOTOX database usually covered a range of at least 3 orders of magnitude. The EC<sub>50</sub> of  
 243 the miniaturised toxicity tests usually were within a factor of 2-3 to the geometric mean of the literature data  
 244 with the two exceptions dimethoate and pirimicarb. Dimethoate and pirimicarb data from the miniaturised  
 245 assay did show lower EC<sub>50</sub> than the published ECOTOX database data (roughly a factor of 8 and 4 with  
 246 dimethoate and pirimicarb, respectively - pointing to a somehow higher sensitivity). For six substances  
 247 no data at all could be retrieved from the ECOTOX database. Here, no comparison with our data was  
 248 possible.  
 249



250 Fig. 1: retrieved US EPA ECOTOX EC<sub>50</sub> data (acute *Daphnia* immobilisation after 48h) of the 16 test substances in  
 251 comparison to UFZ Biotox data (miniaturised approach\_black triangles). In addition, the water solubility limits are  
 252 shown (star symbols). Two independent miniaturised tests were done with Aldicarb (thus two triangles are depicted  
 253 in the fig.).

254  
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## 255 Discussion

256 In recent aquatic monitoring and assessments, the sample volume sizes decreased steadily. For example,  
257 in the frame of the German national action plan (NAP) to evaluate the pesticide contamination of creeks  
258 close to agricultural used land (“Small Stream Monitoring Project” financed by the German Federal  
259 Ministry for the Environment, Nature Conservation and Nuclear Safety (BMU), research code  
260 3717634030), <https://www.ufz.de/kgm/index.php?en=44480>. Within this project, the pesticide  
261 contamination of small streams in Germany was assessed with diverse methods. One assessment  
262 approach included the rain event sampling (“agricultural run-off”) of water and the concentration,  
263 extraction and finally, quantification of the water contaminants such as pesticides. These extracts were  
264 then supposed to be analysed via different bioassays to evaluate their ecotoxicological activity in parallel  
265 to the known pesticide contamination. As many bioassays needed to be tested, the sample volume for  
266 each bioassay was restricted. One main motivation in this special monitoring project was hence the need  
267 to deal with low sample volumes (e.g. water extracts as are used in surface water monitoring, (26,27) and  
268 still enable a reliable biological effect analysis with standard biotests. These biotests did include *in vitro*  
269 tests with different cell lines (28) and organismic biotests such as microalgae, *Daphnia* and zebrafish  
270 embryos. In addition to monitoring of pesticide contamination, the miniaturised *Daphnia* assay might be  
271 also used for other purposes. Small volume testing could be used for leachate analysis (6), the testing of  
272 nanomaterials (14) or the analysis of microplastic effects. For all these above mentioned purposes, only  
273 small amounts of test volume can be produced and thus used in the bioassays. The main goal of this work  
274 was to evaluate existing research data and verify a reliable *D. magna* immobilisation assay in a  
275 miniaturised format specifically for the testing of samples with limited volume. The hypothesis we  
276 followed was based on the theory that a decrease in the test volume i.e. increase of density of the acute  
277 *Daphnia* test would not have negative effects on sensitivity. Thus, for verification, data obtained in the  
278 conventional acute *Daphnia* test with a duration of 48h as described in the (OECD202) (2) as well as the  
279 miniaturised format were systematically compared for 16 selected substances (see Fig. 1). As a  
280 consequence the data and results did not include shorter or longer exposure times than the 48 hours  
281 although in nature a pulse of toxin exposure might of course be different from the standardised 48h  
282 exposure.

283 With the literature search, a rather smaller number of 7 publications was found which directly had the  
284 purpose of also using a miniaturised assay in one way or another. All publications showed more or less  
285 that a miniaturisation with a decrease in volume and increase in density of daphnids did not change the  
286 single substance EC<sub>50</sub> results by usually more than a factor of 2-3. (12) compared a variety of different  
287 parameters for the testing and observed no significant difference in sensitivity to cadmium- & nickel  
288 chloride and formamide e.g. Our adapted *Daphnia* test in 24-well glass titer plates was very much  
289 comparable to the identified publications with the one exception that most of the studies used plastic  
290 material (i.e. polystyrene) micro titer plates. In conclusion, the differences seen with the selected  
291 substances were too small to infer that the miniaturisation would completely misguide an assessment  
292 under the test conditions used. Further, no obstacles regarding animal behaviour were reported. Our  
293 comparison of conventional and miniaturised toxicity values for 16 selected substances was well in line  
294 with these observations. As well, data for both approaches fit into the dataset retrieved from the US EPA  
295 ECOTOX database, with EC<sub>50</sub> values clearly being within the range of observed toxicity values (as shown  
296 in fig. 1). Overall, the highest variation of published toxicity data was observed for aldicarb (5 orders of  
297 magnitude). Here it needs to be pointed out that no information on the test format was retrieved and we  
298 assume a variation of approaches was used. Beside that high range of EC<sub>50</sub> only data from two different

29

30



299 *Daphnia* species were used (*D. magna* and *D. laevis*). Still, out of necessity, the hypothesis was that a)  
300 usage of different clones of the same species and b) the sensitivity of the different daphnid species would  
301 be comparable (at least for the first hypothesis (29) showed that this might not be the case). This  
302 comparability was not checked for all 16 substances though and thus it can not be excluded, that some of  
303 the variances of the EC<sub>50</sub> data observed are due to a possibly higher or lower sensitivity of the different  
304 daphnid species compared to *D. magna*. Data of the other substances (beside aldicarb) came from tests  
305 with 13 other species (*D. carinata*, *D. laevis*, *D. longispina*, *D. ambigua*, *D. pulex*, *D. similis*, *D. obtusa*,  
306 *Ceriodaphnia dubia*, *Ceriodaphnia reticulata*, *Ceriodaphnia rigaudi*, *Ceriodaphnia cornuta*,  
307 *Moinodaphnia macleayi*, *Moina macrocopa*). But even with this comparable high number of daphnid  
308 species, the majority of published daphnid tox data were generated with only four species (*D. magna*, *D.*  
309 *laevis*, *D. pulex* and *C. dubia*). Such a high variability in toxicity data might also be due to the different  
310 sensitivities of the daphnid species. In contradiction to that, a review by (30) showed that *Daphnia magna*  
311 is among the most sensitive species (referring to organic substances) and that more sensitive species do  
312 not differ from *D. magna* by more than a factor of 10. In addition, a recent literature study (by the Procter  
313 and Gamble Company together with the US EPA) did not find any differences between the species  
314 sensitivity of *D. magna* and *D.pulex* in acute and chronic tests (31). Nevertheless, some substances did  
315 show differences of one to two orders of magnitude between the two species. Here, also a possible effect  
316 of nutrition of adult daphnids on sensitivity of the offspring might also explain some of the differences as  
317 was shown by (32) for cadmium. Neonates of well-fed adults were 2-3 times less sensitive than the less-  
318 fed adult offspring. This difference was explained by possible energy limitation for detoxification of  
319 cadmium which could also be a reason of sensitivity difference in other tests substances. Barata et al. (33)  
320 found that differences in tolerance to certain metals were influenced by water hardness among *D. magna*  
321 clones, that genetic variations influence sensitivity to toxins ((34) and that phenotypic plasticity ((35) and  
322 citations therein) further increases the complexity to control sensitivity in toxicity tests, as a whole suite  
323 of parameters may “disturb” a controlled experimental setup. Although the above observations by (32)  
324 may only be transferred to other metals, it seems plausible that energy limitation due to detoxification  
325 could also be a factor in other toxicity tests. In addition, (36), (37) showed that the test water composition  
326 may also influence sensitivity of neonates.

327 16 test substances were selected, covering a wide range of lipophilicity, to also analyse potential  
328 substance loss due to sorption processes to the walls of the glass well plate. This possible loss is also  
329 predominantly covered in the OECD standard test guidance #23 (38) “Testing of difficult substances”  
330 remarking that an estimated loss of more than 20 % of the starting concentration over time of testing  
331 should be paralleled by chemical quantification. As this is an even bigger challenge with test vial material  
332 made from plastic (the most often used test vessel material), quite a few papers covered different test  
333 systems, organisms, cell lines and tried to pin down the various parameters which might interfere with a  
334 more realistic toxicity assessment of tests done in small volumes especially in polystyrene titer plates.  
335 The parameters reviewed included the definition of thresholds for physico-chemical parameters such as  
336 lipophilicity and resulting sorption to test well material, sorption to test medium and else (39–46). Others  
337 (47) introduced passive dosing for testing hydrophobic organic substances. e.g. PDMS  
338 (Polydimethylsiloxane) for testing the effects of PAH (polycyclic aromatic hydrocarbons) with a logKow  
339 of above 3.5 and could show the better reproducibility of tests done with these silicone-based material.

340 The results cited above were published with the assumption that sorption of lipophilic substances to  
341 plastic-based well plate material might be substantial and may also interfere with testing even in glass

31

32

342 material if surface to volume ratio is high. The loss of bioavailable test substances would increase the risk  
343 of underestimation of toxicity and thus misguide hazard/ risk assessors. All the papers cited above gave  
344 limits, thresholds or work-arounds to deal with a possible loss of the bioavailable fraction. These included  
345 logK<sub>OW</sub> limits in microalgae and fish embryo testing (43,44), but also solutions for calculation or  
346 minimisation of possible loss (46) (39). To sum up, a logK<sub>OW</sub> of around or above 3 may pose a risk of loss  
347 larger than 20 %. So, a loss of substances due to sorption or volatilization can be expected in the  
348 miniaturised test (44) & (43). Goal of this work however, was not to show the differences between titer  
349 plate material (glass versus plastic or open versus closed exposure systems) but to see whether the volume  
350 decrease (i.e. density increase) might pose a risk for underestimation of toxicity. Still, in other  
351 publications, a miniaturised assay was used for risk assessment of water extracts (8,23,48,49) without any  
352 obvious problems in terms of higher effects in the negative controls. As a quantification of substance  
353 concentration was not done by us, data were compared to literature data, in which mostly no  
354 quantification was done either (50). Comparison was on the level of EC or LC<sub>50</sub> results. Data of the  
355 miniaturised *Daphnia* assay most often were close to the mean or geometric mean of the literature data  
356 and thus seemed to be of similar quality. This is in concordance with other publications and meets our  
357 expectations of a similar sensitivity. With the 16 substances tested and the 13 which could be directly  
358 compared, no effects could be seen which might be explained by the higher density of daphnids per  
359 volume of test well. Density and also intraspecific competition is seen critical in sub-chronic and chronic  
360 *Daphnia* tests ((51) and may have significant effects on sensitivity to toxic substances as was shown in  
361 studies by (52,53). But here with the acute tests, density did not seem to be a problem for sensitivity at  
362 least to the chemicals tested.

363

## 364 **Conclusion and outlook**

365 For *D. magna* immobilisation assays for test materials with limited sample availability, e.g. water  
366 extracts, leachates and nano materials, there was a need to strongly reduce the volume of the medium (as  
367 in (13)). Hence, the volume of medium used per animal was reduced. The volume to neonate-ratio  
368 reported in (12) to the 24-well format by using 5 neonates in a volume of 1.5 ml medium was adopted.  
369 Advantage is that *Daphnia* mobility and mortality are quick and easily accessible using a microscope,  
370 because one well with 5 neonates can be observed at once. Further, the test is more economical in terms  
371 of time for preparation, substances required and amount of toxic waste that is generated. It is anticipated  
372 to further develop this set-up for a behavioural assay involving live-tracking of animals with a camera  
373 where using multi well plates is a favourable approach (12,54). This requires the use of one neonate per  
374 well and hence, a further reduction of volume may be anticipated.

375 As the testing in 24-well glass microtiter plates did not show great differences in terms of sensitivity to  
376 the substances tested in this study might also be useful for the analysis of nano particles or microplastic or  
377 the ecotoxicological monitoring of environmental samples. The approach established here is transferable  
378 to many other types of samples with limited sample volume availability. Still, adjustments to other tests  
379 using small volumes -such as the fish embryo assay with *D. rerio* embryos- might be needed as oxygen  
380 consumption or pH changes due to higher density of organisms per volume could add stress and thus  
381 distort results.

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### 395 **Authorship Contribution**

396 Küster, E.: conception and design of the work, idea, organization of laboratory work, first versions of the  
397 manuscript, Addo G.G.: Acquisition and testing and analysis of samples, discussion of manuscript,  
398 Aulhorn S.: data acquisition & analysis, Kühnel D.: revision and draft of nano particle data, analysis and  
399 final approval discussion, correction nano particle work. All co- authors gave final approval of the  
400 manuscript and ensure the accuracy and integrity of their part of work.

### 401 **Data availability statement**

402 (see below)

403

### 404 **Competing and Conflicts of Interest**

405 The authors declare that they have no known competing or other conflicts of interest in conjunction with  
406 this manuscript and any parts of it which could have influenced the work reported.

407

### 408 **Ethics Approval**

409 Ethical approval for the above research was not needed as tests with invertebrates e.g. daphnids do not  
410 fall under the EU regulation Directive 2010/63/EU for the protection of animals for scientific purposes.

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572 **Appendix**

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574 **Table A\_1. Conc. -effect relationships curve parameters, sigmoidal hill model, 4 parameters,  $f =$**   
575  **$y_0 + a * x^b / (c^b + x^b)$  with  $y_0 = \text{min}$ ,  $a = \text{max}$ ,  $b = \text{slope}$ ,  $c = EC_{50}$  of all 16 test substances**

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| Testsubstances (alphabetical order) | CAS RN      | Conc. Effect Parameters, (24h exposure), (min=0, max=100) | Conc. Effect Parameters, (48h exposure), (min=0, max=100) | ( $\mu\text{g}$ or $\text{mg/L}$ ) |
|-------------------------------------|-------------|---|---|------------------------------------|
| Aldicarb                            | 116-06-3    | $EC_{50} = 1.28$<br>$p = 4.50$                            | $EC_{50} = 0.36$<br>$p = 2.62$                            | mg                                 |
| Benzyl-carbamate                    | 621-84-1    | $EC_{50} = 95.31$<br>$p = 5.23$                           | $EC_{50} = 64.17$<br>$p = 2.66$                           | mg                                 |
| Chlorpyrifos                        | 2921-88-2   | $EC_{50} = 1.29$<br>$p = 0.79$                            | $EC_{50} = 0.13$<br>$p = 2.02$                            | $\mu\text{g}$                      |
| Diazinon                            | 333-41-5    | $EC_{50} = 0.91$<br>$p = 1.78$                            | $EC_{50} = 0.37$<br>$p = 2.23$                            | $\mu\text{g}$                      |
| Dimethoate                          | 60-51-5     | $EC_{50} = 1.60$<br>$p = 1.09$                            | $EC_{50} = 0.21$<br>$p = 1.92$                            | mg                                 |
| Erythromycin                        | 114-07-8    | $EC_{50} = 184.09$<br>$p = 17.86$                         | $EC_{50} = 29.05$<br>$p = 2.70$                           | mg                                 |
| Methanol                            | 67-56-1     | $EC_{50} = 3.69$<br>$p = 3.81$                            | $EC_{50} = 1.76$<br>$p = 2.97$                            | %                                  |
| Metolcarb                           | 1129-41-5   | $EC_{50} = 0.12$<br>$p = 2.75$                            | $EC_{50} = 0.034$<br>$p = 1.89$                           | mg                                 |
| N,N-Dimethylphenylcarbamate         | 6969-90-0   | $EC_{50} = 6.86$<br>$p = 2.65$                            | $EC_{50} = 1.46$<br>$p = 1.68$                            | mg                                 |
| Pirimicarb                          | 23103-98-2  | $EC_{50} = 18.70$<br>$p = 3.62$                           | $EC_{50} = 5.74$<br>$p = 1.30$                            | $\mu\text{g}$                      |
| Potassium dichromate                | 7778-50-9   | $EC_{50} = 1.72$<br>$p = 4.55$                            | $EC_{50} = 1.32$<br>$p = 8.61$                            | mg                                 |
| Silver nitrate                      | 7761-88-8   | $EC_{50} = 17.24$<br>$p = 2.66$                           | $EC_{50} = 11.74$<br>$p = 3.56$                           | $\mu\text{g}$                      |
| Sodium dodecyl sulfate (SDS)        | 151-21-3    | $EC_{50} = 41.76$<br>$p = 19.62$                          | $EC_{50} = 9.64$<br>$p = 1.79$                            | mg                                 |
| Tebuconazole                        | 107534-96-3 | $EC_{50} = 17.81$<br>$p = 153.15$                         | $EC_{50} = 13.19$<br>$p = 4.32$                           | mg                                 |
| Terbutylazine                       | 5915-41-3   | $EC_{50} = 18.70$<br>$p = 1.63$                           | $EC_{50} = 11.08$<br>$p = 1.79$                           | mg                                 |
| Tramadol                            | 27203-92-5  | $EC_{50} = 219.99$<br>$p = 3.36$                          | $EC_{50} = 46.98$<br>$p = 1.77$                           | mg                                 |

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