



## UCL Open Environment Preprints

### Article Title:

Using hyperspectral imaging and machine learning to identify food contaminated compostable and recyclable plastics

### Author(s):

Nutchana Taneepanichskul<sup>1</sup>, Helen C Hailes<sup>2</sup>, Mark Miodownik<sup>3</sup>

### Affiliations:

Mechanical Engineering Dept, University College London <sup>1</sup> ; Chemistry Department, University College London <sup>2</sup> ; Mechanical Engineering Dept, University College London <sup>3</sup>

### ORCID IDs:

0000-0001-5574-4742<sup>2</sup>,

### Corresponding Author:

Mark Miodownik (m.miodownik@ucl.ac.uk)

### DOI:

<https://doi.org/10.14324/ucloepreprints.282.v1>

### Licence information:

This is an open access preprint distributed under the terms of the Creative Commons Attribution License (CC BY) 4.0. <https://creativecommons.org/licenses/by/4.0/>.

### Preprint Statement:

This article is a preprint and has not been peer-reviewed. The article has been submitted to UCL Open Environment (ISSN: 2632-0886) and is under consideration for publication following peer review.

### Preprint Version:

This is version of this article.

### Data Availability Statement:

The datasets generated during and/or analysed during the current study are available from the corresponding author on reasonable request.

### Keywords:

food contamination, automatic sorting, machine learning, composting, recycling, hyperspectral imaging

1           **Using hyperspectral imaging and machine learning to identify food**  
2                           **contaminated compostable and recyclable plastics**

3                           Nutchana Taneepanichskul, Helen C. Hailes and Mark Miodownik

4   **Abstract**

5   With the increasing public legislation aimed at reducing plastic pollution, compostable plastics  
6   have emerged as an alternative to conventional plastics for some food packaging and food service  
7   items. However, the true value of compostable plastics can only be realized if they do not enter the  
8   environment as contaminants but instead are processed along with food and garden waste using  
9   industrial composting facilities. Distinguishing compostable plastics from conventional plastics in  
10  this waste stream is an outstanding problem. Currently, Near Infrared (NIR) technology is widely  
11  used to identify polymers, but it falls short in distinguishing plastics contaminated with food waste.  
12  This study investigates the application of hyperspectral imaging (HSI) to address this challenge,  
13  enhancing the detection and sorting of contaminated compostable plastics. By combining HSI with  
14  new machine learning algorithms we show it is possible to accurately identify and classify plastic  
15  packaging with food waste contamination, achieving up to 99% accuracy. The study also measures  
16  the impact of plastic features such as darkness, size, and level of contamination on model  
17  performance, with darkness having the most significant impact. Implementing HSI in waste  
18  management systems can significantly increase composting and recycling rates. This advanced  
19  deep learning approach supports the circular economy by ensuring that both compostable and  
20  recyclable plastics are effectively processed and recycled, minimizing environmental impact.

21  
22  Keywords: food contamination, hyperspectral imaging, recycling, composting, machine learning,  
23  automatic sorting

24

25

26

27

## 28 **1. Introduction**

29 The increasing popularity of compostable and biodegradable plastics underscores the need for  
30 efficient sorting technologies to separate and collect them for waste processing. In 2023 they  
31 represented 52.1% of the global bioplastic production (EuropeanBioplastic, 2023). However,  
32 current waste management systems often fail to detect and separate compostable plastics especially  
33 when contaminated with food waste, leading to their improper disposal in landfills or incinerators  
34 for the majority of compostable plastics (Allison et al., 2022).

35 Near-Infrared (NIR) optical sorting is a widely used technology in recycling facilities for  
36 separating different types of plastics. This technology relies on the distinct spectral signatures of  
37 various plastic polymers to achieve accurate sorting (Taneepanichskul et al., 2022). However, food  
38 waste contamination, poses significant challenges to the efficiency and effectiveness of NIR  
39 optical sorting due to issues with spectral absorption reflection of NIR frequencies by the food  
40 residues on the plastic surfaces (Masoumi et al., 2012). Additionally, the presence of food waste  
41 introduces extra spectral signals in the NIR range, creating noise that makes it harder for the system  
42 to accurately identify the polymer type.

43 Hyperspectral imaging (HSI) coupled with machine learning algorithms offer an advanced solution  
44 for sorting plastics, surpassing traditional NIR optical sorting methods. HSI generates a  
45 hyperspectral cube, where each pixel contains a continuous spectrum, enabling detailed spectral  
46 analysis at each pixel in the image. This capability helps overcome the challenges posed by food  
47 contaminated plastics because uncontaminated pixels can be correctly identified rather than relying  
48 on the average signal from the whole sample as with NIR methods.

49 While numerous studies have explored the application of HSI for identifying various types of  
50 plastics, there remains a notable gap in research that specifically addresses the challenge of  
51 detecting food contaminated compostable plastics, which represents a significant issue within the  
52 context of current plastic waste management systems.

53 In 2013 Ulrici et al. used HSI and partial least squares discriminant analysis (PLS-DA) to  
54 distinguish PET and PLA achieving over 98% accuracy with just six variables on the reduced  
55 matrix (Ulrici et al., 2013). Subsequently, Bonifazi used HSI with machine learning to sort paper,  
56 cardboard, plastics, and multilayer packaging. A PLS-DA-based model achieved a 0.933

57 recognition and reliability rate, making HSI a reliable, low-cost solution for identifying impurities  
58 and composite materials in plastic waste streams (Bonifazi et al., 2021). Taneepanichskul et al then  
59 applied HSI together with PLS-DA to identify and classify compostable plastics (PLA and PBAT),  
60 compostable materials (sugarcane and palm leaf derived packaging) and conventional plastics  
61 (LDPE, PET and PP). PLS-DA achieved a perfect classification (100%) for virgin materials larger  
62 than 10 mm x 10 mm (Taneepanichskul et al., 2023). Taneepanichskul et al. also recently studied  
63 the impact of packaging properties such as darkness, colour, size, and contamination, showing how  
64 they all impacted identification. The accuracy of the system decreased when detecting plastics that  
65 were dark, thin, small, or had high levels of contamination (Taneepanichskul et al., 2024).

66 In this paper we present work developing new??? chemometric and machine learning algorithms  
67 combined with HSI and show data on their performance identifying compostable and recyclable  
68 plastics with varying types and levels of food contamination. Additionally, the study explores the  
69 impact of real-world food plastic packaging properties such as size, colour and darkness, on the  
70 performance of the system.

71

## 72 **2. Materials and Methods**

73 To develop the model to identify and classify food-contaminated compostable and recyclable  
74 plastic packaging samples were required for the development of three datasets: a calibration  
75 dataset, a cross-validation dataset, and a testing dataset. The training dataset is the initial set of  
76 data used to train a model (Wolff, 2020). The cross-validation dataset evaluates the model's  
77 predictive performance on new, unseen data, helping to identify issues like overfitting or selection  
78 bias and providing insight into the model's ability to generalize to an independent dataset  
79 (ScikitLearn, 2024). The testing dataset offers a final, real-world validation of the model's  
80 effectiveness on completely unseen data (Barkved, 2022). The details of the food contaminants,  
81 the plastic samples, the HSI system and the deep learning algorithms are described in the following  
82 sections.

### 83 **2.1 Simulating Food Contamination**

84 The contamination levels in this experimental setup were categorized into three levels: low (25%),  
85 medium (50%), and high (75%). Figure 1 illustrates the contamination process, depicting the

86 simulation of 25%, 50%, and 75% contamination using tomato ketchup. Each sample was cut into  
87 50 mm x 50 mm pieces with a thickness of 0.4 mm and divided into four equal sections.

88 Two sauces were used to simulate food contamination: tomato ketchup and mayonnaise. These  
89 were chosen due to their ability to be applied repeatably and consistently to the samples. The  
90 different compositions helps to create training data and cross-validation data. The compositions of  
91 these two sauces are shown in Table 1. These condiments are suitable proxies for food  
92 contamination because they can represent high water activity foods such as dips and sauces,  
93 prepared salads, and dairy products; acidic foods such as pickled products, fermented foods, and  
94 fruit-based sauces; emulsified foods such as salad dressings, processed meats, and butter and  
95 margarine; and fat-containing foods. Their compositional similarities to a wide range of other food  
96 products make them ideal for studying contamination and spoilage patterns across different food  
97 categories.

98

99 Table 1: The ingredients and components of HEINZ Tomato Ketchup and HEINZ Mayonnaise

<b>HEINZ Tomato Ketchup Main Ingredient</b>	<b>Component</b>
Tomatoes	Water
	Carbohydrate: Including sugars (glucose and fructose) and dietary fiber
	Acid: Citric acid and malic acid, contributing to the tartness
	Vitamin: Vitamin C, Vitamin A (from beta-carotene), and Vitamin K.
	Minerals: Potassium, magnesium, and iron
	Antioxidants: Lycopene, which gives tomatoes their red colour
Vinegar	Acetic Acid
Sugar	Glucose, fructose, sucrose
Salt	Sodium Chloride
Olive oil	Monounsaturated Fats: Predominantly oleic acid
	Antioxidants: Polyphenols and Vitamin E

	Vitamin Fat-Soluble Vitamins: Vitamin K and E
<b>HEINZ Mayonnaise</b>	<b>Component</b>
Oil	Triglycerides Fatty Acid
Egg Yolk	Water Proteins Fats Cholesterol Vitamin A,D,E and K
Vinegar	Acetic Acid
Water	-
Sugar	Glucose, fructose, sucrose
Starch	-
Salt	Sodium Chloride
Mustard	Water, Acids, Vitamin A and Vitamin C

100

101 Additionally, their viscosity and texture allow them to adhere well to surfaces, effectively  
 102 simulating real-life conditions of food residue on plastics. This makes them ideal for testing  
 103 cleaning and contamination processes. In this study, HEINZ tomato ketchup was used for both the  
 104 training and cross-validation datasets, while HEINZ mayonnaise was used for the cross-validation  
 105 dataset.

106 To achieve 25% contamination, tomato ketchup or mayonnaise was applied to one section; for  
 107 50% contamination, it was applied to two sections; and for 75% contamination, it was applied to  
 108 three sections. The ketchup and mayonnaise were then spread to ensure they covered the entire  
 109 plastic surface.

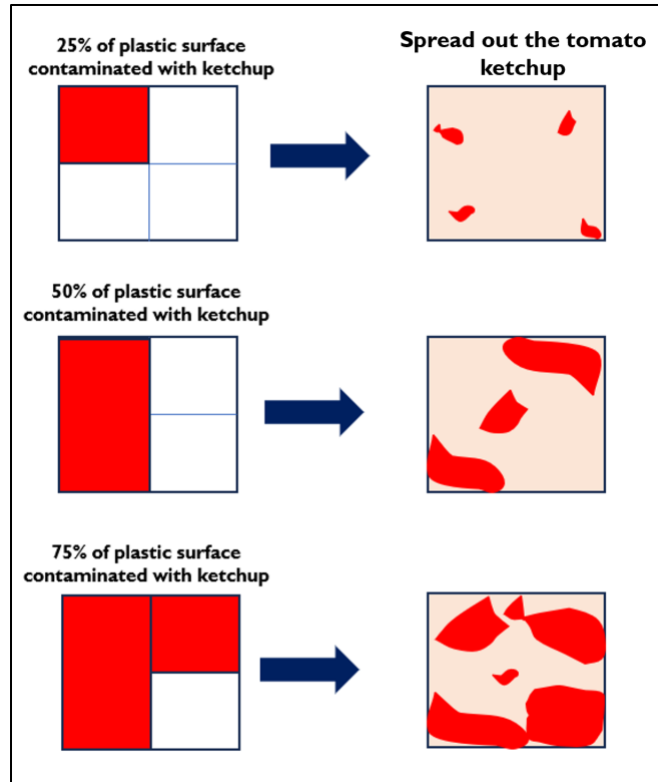


Figure 1: Simulated contamination levels of 25%, 50% and 75% sauces.

110  
 111  
 112  
 113  
 114  
 115  
 116  
 117  
 118  
 119  
 120  
 121  
 122  
 123  
 124  
 125  
 126  
 127

## 2.2 Sample Preparation

The experimental samples encompassed several size and contamination levels, with both conventional and compostable plastics. Within the category of conventional plastics, Low-Density Polyethylene (LDPE), High-Density Polyethylene (HDPE), Polyethylene Terephthalate (PET), and Polypropylene (PP) were represented. The compostable plastic category comprised Polylactic Acid (PLA), Polybutylene Adipate Terephthalate (PBAT), and Polyhydroxyalkanoate (PHA).

The materials were allocated into three datasets, namely calibration, cross-validation, and testing datasets as mentioned earlier. The training dataset encompassed both pristine plastics and plastics contaminated with low level of tomato ketchup (25%). The details of the materials within the training dataset are presented in Table 2.

Table 2: List of samples in training dataset

<b>Material</b>	<b>Material condition</b>	<b>Size</b>	<b>Number of replicates per plastic type</b>
LDPE, HDPE, PET, PP, PLA, PBAT and PHA	Pristine Plastic	50 mm x 50 mm	35
		40 mm x 40 mm	35
		30 mm x 30 mm	35
		20 mm x 20 mm	35
	Plastics with 25% level of tomato ketchup contamination	50 mm x 50 mm	21

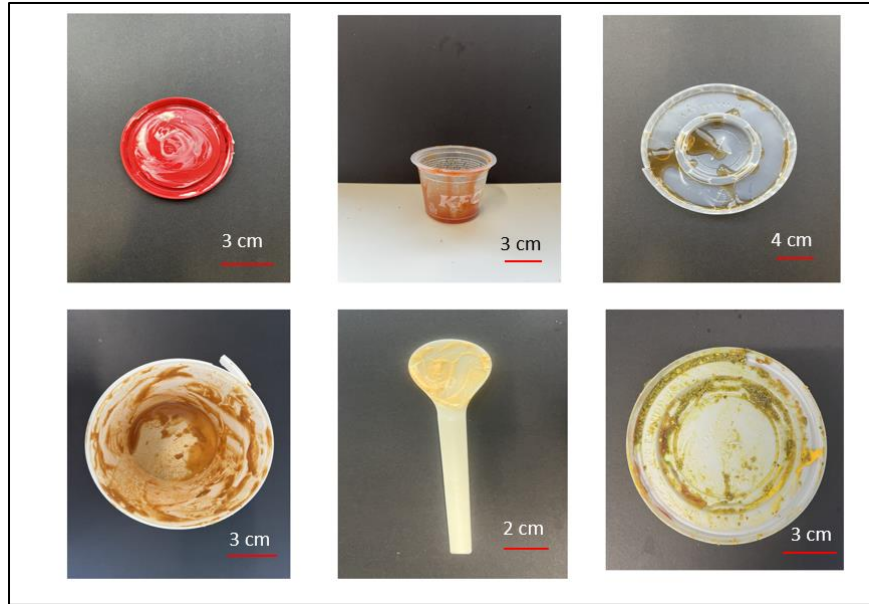
129

130 In the cross-validation set, there were three replicates each with 50% and 75% tomato ketchup  
 131 contamination of LDPE, HDPE, PET, PP, PLA, PBAT, and PHA. Additionally, for 25%, 50%, and  
 132 75% mayonnaise contamination, LDPE, HDPE, PET, PP, PLA, PBAT, and PHA, again each with  
 133 three replicates.

134 In the testing dataset, 30 food waste contaminated plastic packaging items were collected from a  
 135 various sources spanning across the city of London including tubs, trays, lids, plastic spoons. These  
 136 sources were inclusive of both supermarkets, cafe and restaurants, resulting variety of packaging  
 137 types, including take-away boxes, cutlery, lids, and more. We selected only the plastic packaging  
 138 that had a label to show type of packaging on them in order to verify the model. Figure 2 provides  
 139 examples of contaminated food packaging in testing dataset.

140





141

142 Figure 2: The example of real-world food contaminated plastic packaging used in the testing

143

dataset.

144

145

146

147

148

149

150

151

152

153

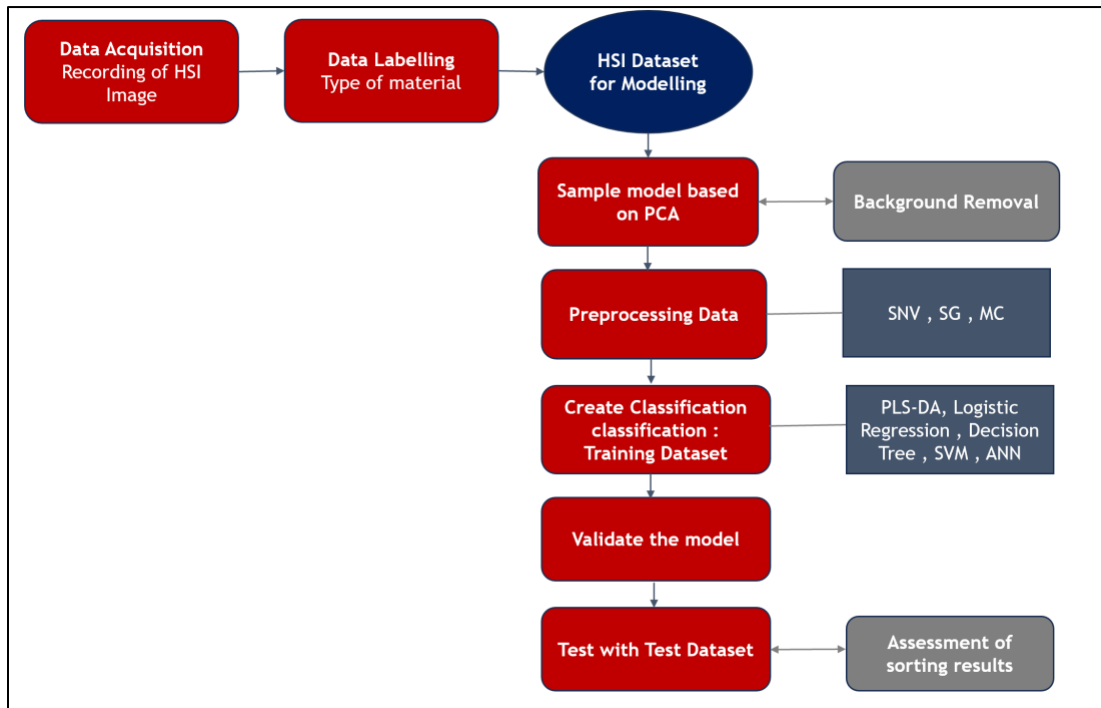
154

155

156

157 **2.3 Imaging methodology**

158 **2.3.1 Hyperspectral data acquisition and analysis schematic**



159

160 Figure 3: Schematic showing the hyperspectral data acquisition and analysis.

161 As shown in Figure 3 hyperspectral images were obtained from line scans of the samples on a  
162 conveyor belt passing under a HySpex Baldur S-640i N camera. The camera, positioned at a  
163 working distance of 1 metre with a 16° field of view, covered a spectral range of 950 to 1730 nm  
164 with a spectral resolution of 3.36 nm, resulting in a total of 232 spectral bands. The spatial pixel  
165 size of the images was 0.44 mm (Hypex, 2023). The system's conveyor belt measured 700 mm in  
166 length, 215 mm in width, and 60 mm in height, with a maximum speed of 120 mm/s. The image  
167 capture background was the black conveyor belt. A halogen lamp, emitting light across the  
168 spectrum from 400 nm to 2500 nm, was employed as the light source. This experimental setup has  
169 been described in more detail in our previous work. (Taneepanichskul et al., 2024, Taneepanichskul  
170 et al., 2023). HypexGround software facilitated the acquisition of the hyperspectral image.  
171 Subsequently, the Breeze software package was employed for PCA model development, spectrum  
172 preprocessing, application of diverse machine learning algorithms for classification, and  
173 production of classification results.

### 174 **2.3.2 Principal component analysis (PCA) and spectrum pre-processing**

175 PCA (Principal Component Analysis) was utilized to investigate the relationships between samples  
176 and measured variables, with the objective of unveiling patterns within the data. Its primary focus  
177 lies in identifying common features rather than distinguishing differences between classes (Castro-  
178 Díaz et al., 2023). PCA breaks down data into linear combinations of the original hyperspectral  
179 data, known as principal components (PCs). PC1 represents the greatest variability within the  
180 dataset, capturing the majority of the information. The subsequent principal components follow in  
181 descending order, representing the remaining variance. In our case, PCA was employed to  
182 eliminate background pixel and isolate objects (plastics) within the hyperspectral images.

183 Subsequently, spectral preprocessing was conducted using a combination of methods. This  
184 included applying a combination of Savitzky-Golay (SG) first derivative with a 2nd polynomial  
185 and a 15-point window, Standard Normal Variate (SNV) and mean centering. This technique was  
186 employed to eliminate insignificant baseline signals from the collected data and to rectify scatter  
187 data (Taneepanichskul et al., 2024).

### 188 **2.3.3 Machine learning classification model**

189 Various machine learning algorithms, including logistic regression, decision tree algorithms,  
190 support vector machines (SVM), artificial neural networks (ANN), and partial least squares  
191 discriminant analysis (PLS-DA), were applied to build classification models. The samples in the  
192 training dataset were used to develop these models.

#### 193 **2.3.3.1 Logistic regression**

194 Logistic regression is a fundamental supervised learning method widely utilized for classification  
195 tasks, particularly in scenarios involving binary outcomes. Through the sigmoid function, it  
196 transforms spectral band values to produce probabilities for binary predictions, with coefficients  
197 assigned to each band indicating their predictive influence (Qian et al., 2012, Kabir et al., 2021).

198 Logistic regression can be extended to address multiclass classification problems through softmax  
199 regression. The softmax function normalizes the output into a probability distribution across  
200 multiple classes, ensuring that the sum of the predicted probabilities for all classes equals unity.

201 This way, the model can provide predictions for each class, and the class with the highest  
202 probability is considered as the final prediction (Tranmer and Elliot, 2008).

### 203 **2.3.3.2 Decision tree (DT)**

204 A decision tree (DT) is a non-parametric model structured as a tree, where each node contains a  
205 decision rule based on input data. This rule directs whether to move to the left or right sub-nodes,  
206 while the leaf nodes provide the final output. DTs are applicable to both classification and  
207 regression tasks and are particularly valued for their interpretability. One common method for  
208 building nodes in a DT is information gain, which uses entropy or the Gini index to measure the  
209 amount of information retained by each feature in the input data before making predictions. (Zhang  
210 et al., 2022).

### 211 **2.3.3.3 Support vector machine (SVM)**

212 A Support Vector Machine (SVM) was used as a supervised machine learning algorithm for  
213 classification, regression, and outlier detection. It was used to identify hyperplanes in the feature  
214 space that separate data points belonging to different classes. The hyperplane was positioned to  
215 maximize the margin, which is the distance between the hyperplane and the nearest data points of  
216 each class. SVM operates in the original feature space, but kernelized SVMs were also used, these  
217 transform data into higher-dimensional spaces through kernel functions. The algorithm requires  
218 labelled training data to learn and relies on support vectors, which are crucial points closest to the  
219 hyperplane. In a One-versus-One (OvO) approach, binary classifiers are created for each pair of  
220 classes. For N classes, this results in  $C(N,2)$  binary classifiers. In our scenario, where we sought  
221 to classify 7 types of plastics, we used 21 binary classifiers.

### 222 **2.3.3.4 Artificial neural network (ANN)**

223 The architecture of an artificial neural network (ANN) typically comprises three layers: input,  
224 hidden, and output. The input layer captures spectral information from hyperspectral imaging,  
225 where each input to the ANN is a vector representing the spectral signature of each sample. The  
226 hidden layer, containing numerous neurons, performs computations on the input data. Hidden  
227 layers enable ANNs to learn complex problems and nonlinear relationships. Each neuron in a  
228 hidden layer calculates a weighted sum of its inputs, applies an activation function, and produces

229 an output that becomes the input for the next layer. Various activation functions, such as linear,  
230 sigmoid, tanh, and ReLU, can be employed based on the task.

231 The input to the ANN was represented by a vector that encapsulates the spectral information, with  
232 its length determined by the number of spectral bands or channels in the hyperspectral data. Each  
233 element of the vector corresponded to the intensity or reflectance value of the pixel in a specific  
234 spectral band. The hidden layer, with 100 neurons, utilized the ReLU activation function to process  
235 the hyperspectral data and extract relevant features for classifying the types of plastics  
236 (MicrosoftBuild, 2021). The output layer produced the final classification results, with each neuron  
237 corresponding to a different type of plastic, typically using a softmax activation function to provide  
238 probabilities for each class.

#### 239 **2.3.3.5 Partial least squares discriminant analysis (PLS-DA)**

240 PLS-DA, a blend of partial least squares regression (PLS-R) and discriminant analysis (DA), is a  
241 supervised ML method for dimensionality reduction and material class prediction. It necessitates  
242 an X matrix with calibration spectra and a corresponding Y matrix denoting class identity (types  
243 of plastic). In binary cases, Y is a single column; for multiclass scenarios, it's a dummy matrix with  
244 1's and 0's indicating class membership. The model's output isn't strictly binary, requiring a  
245 threshold establishment during prediction. Setting thresholds employs various methods, with  
246 Bayes' Theorem being a prevalent choice. Alternatively, a 0.5 cut-off point is often employed for  
247 binary classification tasks (Amigo et al., 2015). In our PLS-DA, the linear equation was modelled  
248 with around 5 latent variables, enabling graphical visualization and understanding through LV  
249 scores and loadings.

#### 250 **2.4 Classification model performance (model validation)**

251 Model validation is a crucial step in machine learning, particularly for assessing the performance  
252 of classification models. Various metrics are utilized for evaluation, including sensitivity (Equation  
253 1), specificity (Equation 2), F1 score (Equation 3), and accuracy (Equation 4). The formulas for  
254 these metrics are based on the following definitions: True Positive (TP) represents instances where  
255 the model correctly predicts the positive class, while True Negative (TN) indicates instances where  
256 the model correctly predicts the negative class. False Positive (FP) refers to instances where the

257 model incorrectly predicts the positive class, and False Negative (FN) denotes instances where the  
258 model incorrectly predicts the negative class.

$$259 \quad \text{Sensitivity} = \frac{\text{True Positive}}{\text{True Positive} + \text{False Negative}} \quad (\text{Equation 1})$$

260

$$261 \quad \text{Specificity} = \frac{\text{True Negative}}{\text{True Negative} + \text{False Positive}} \quad (\text{Equation 2})$$

262

$$263 \quad \text{F1 - Score} = \frac{\text{True positive}}{\text{True positive} + \frac{1}{2}(\text{False Positive} + \text{False Negative})} \quad (\text{Equation 3})$$

264

$$265 \quad \text{Accuracy} = \frac{\text{True Negative} + \text{True Positive}}{\text{True Negative} + \text{True Positive} + \text{False Negative} + \text{False Positive}} \quad (\text{Equation 4})$$

266

## 267 **2.5 The evaluation of plastic features in testing dataset**

268 To measure the impact of plastic features on the performance of classification models, the  
269 properties of plastics in the testing dataset, including darkness, level of contamination, and size,  
270 were evaluated using image processing algorithms to ensure precise evaluation (Taneepanichskul  
271 et al., 2024).

### 272 **2.5.1 Size**

273 The plastic packaging images in the testing dataset were resized to 10 cm x 15 cm and converted  
274 to greyscale. Otsu's thresholding method was then applied to remove the background and convert  
275 the greyscale images to binary format. In this process, pixels with values below the threshold were  
276 set to 0, while those above the threshold were set to 255. Following this, the percentages of  
277 foreground and background areas were calculated. These percentages were then multiplied by 150  
278 cm<sup>2</sup> (the total area of the frame) to determine the area occupied by the plastic packaging. The size  
279 was classified into 3 categories: small (< 20 cm<sup>2</sup>), medium (20 cm<sup>2</sup> ≤ area < 80 cm<sup>2</sup>) and large  
280 (≥80 cm<sup>2</sup>) (Taneepanichskul et al., 2024).

281

282

## 283 **2.5.2 Level of contamination**

284 K-means clustering was applied to assess the level of contamination in plastic packaging within  
285 the testing dataset. The images were loaded and converted to greyscale, with each pixel represented  
286 as a vector based on its greyscale intensity. We selected the number of clusters to be 3. The  
287 centroids of each cluster were initialized, and for each pixel in the image, a similarity measure was  
288 calculated to determine its proximity to each cluster centroid using a distance metric, such as  
289 Euclidean distance. Based on this calculation, the pixel was assigned to the cluster with the closest  
290 centroid, forming the initial clusters. Upon convergence, the algorithm produced the final  
291 clustering results. At this stage, each pixel was firmly assigned to a specific cluster, and the cluster  
292 centroids represented the average greyscale intensities of the pixels within their respective clusters.  
293 The number of pixels in each cluster was counted, and their ratios were calculated to determine  
294 the percentage of contamination. The level of contamination in the plastic packaging was classified  
295 into four categories: low contamination ( $< 25\%$ ), medium contamination ( $25\% \leq \text{contamination} <$   
296  $60\%$ ), high contamination ( $\geq 60\%$ ), and indeterminate due to multicoloured packaging or oily  
297 contamination (Taneepanichskul et al., 2024).

## 298 **2.5.3 Darkness**

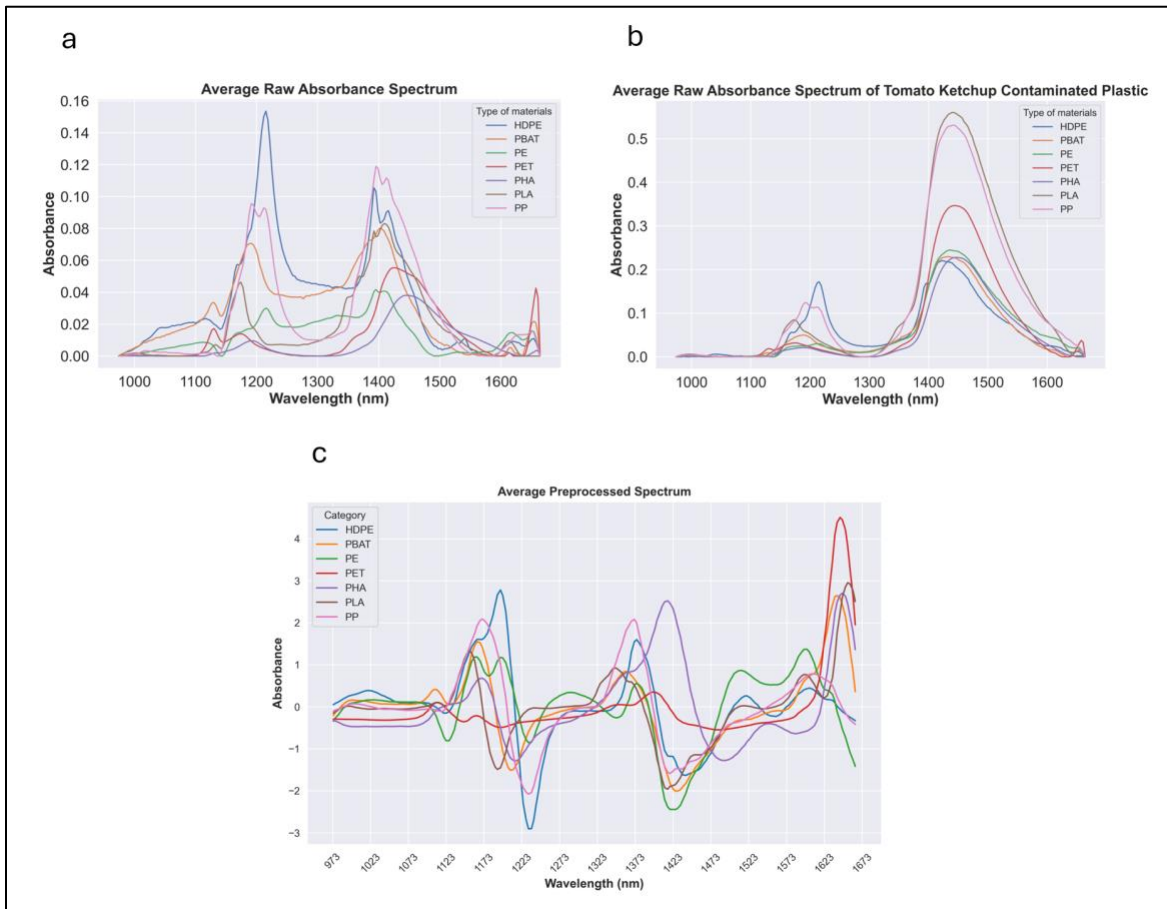
299 The images in the testing dataset were loaded and converted into greyscale. Otsu's threshold theory  
300 was applied to separate foreground and background. The average pixel of foreground was  
301 calculated to determine the darkness level. The darkness level was classified into three categories:  
302 bright ( $\geq 157$ ), dark ( $< 157$ ) and transparent (Taneepanichskul et al., 2024).

## 303 **3. Results**

### 304 **3.1 Average raw absorbance spectrum and pre-processed spectrum**

305 Samples of seven types of plastics including conventional plastic (PP, LDPE, HDPE and PET) and  
306 compostable plastics (PLA, PBAT and PHA) were passed underneath the HSI camera by a  
307 conveyor belt. The data obtained was used to develop an identification and classification model  
308 of plastics with tomato ketchup contamination using machine learning algorithms. Raw  
309 absorbance spectrum of pristine plastic samples and plastic samples with 25% of surface covered  
310 with tomato ketchup were shown in Figure 4(a) and 4(b) respectively. Raw absorbance of these  
311 materials in training dataset was pre-processed using Savitzky-Golay (1st derivative, 2nd

312 polynomial and 15 points window) method to identify spectral signatures. The pre-processed  
313 absorbance spectra are shown in Figure 4(c).



314  
315 Figure 4: Raw absorbance spectrum of (a) pristine plastics PP, PET, LDPE, HDPE, PLA, PBAT  
316 and PHA; (b) the same plastics with 25% of plastic surface contaminated with tomato ketchup;  
317 (c) pre-processed absorbance spectrum of plastics in training dataset (pristine and contaminated  
318 with tomato ketchup)

### 319 3.2 Principal Component Analysis (PCA)

320 Following the preprocessing of the absorbance spectrum, a principal component analysis (PCA)  
321 was carried out to achieve dimensional reduction. The spectra of pristine and tomato ketchup  
322 contaminated plastics from the training dataset were then utilized to generate a PCA score plot, as  
323 depicted in Figure 5(a). The results indicate that a substantial portion of the variance is effectively  
324 captured by the first principal component (PC1), which accounts for 46%, and the second principal  
325 component (PC2), which contributes 20%. Pristine plastics showed a high level of separability.

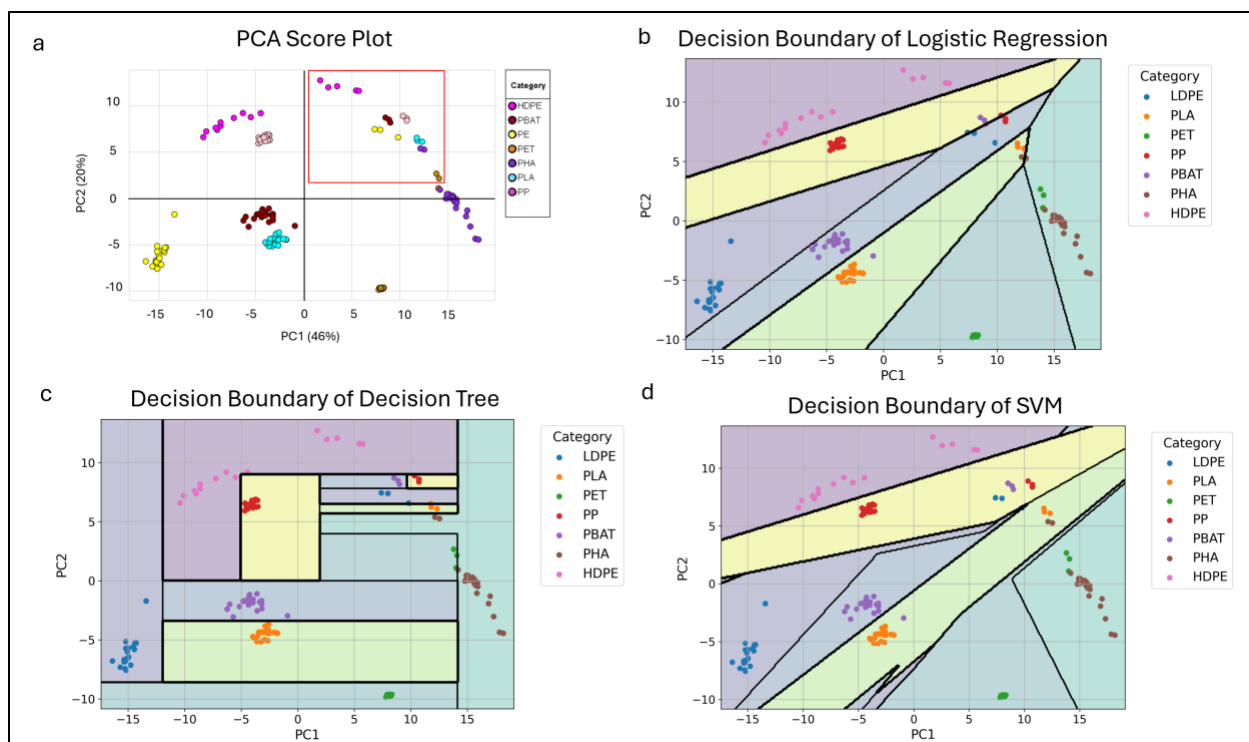


326 Specifically, pristine HDPE and PP are situated in the second quadrant, while LDPE, PBAT, and  
327 PLA are in the third quadrant, and PET and PHA are in the fourth quadrant. Plastics contaminated  
328 with tomato ketchup, which are indicated by the red box, are all located in the first quadrant but  
329 show some overlap with each other.

### 330 **3.3 Performance of classification models**

#### 331 **3.3.1 Performance of classification models on calibration dataset**

332 The calibration dataset consists of pristine plastics and plastics with the low level of tomato  
333 ketchup (25%). We have applied five machine learning techniques to build classification model on  
334 training dataset. Figure 5(b), (c) and (d) illustrates the decision boundary of logistic regression,  
335 decision trees, and SVM classification respectively, providing a visual representation of how these  
336 algorithms partition the feature space to classify different plastic samples in training dataset. The  
337 decision boundary delineates the regions where each class is predicted, offering insights into the  
338 complexity and separability of the dataset. This visualization aids understanding of the underlying  
339 behaviour of the models and their ability to discriminate between different classes of plastics based  
340 on the provided features. However, ANN and PLS-DA do not have a straightforward decision  
341 boundary. ANN operates through complex transformations of the input data. The decision-making  
342 process in an ANN involves a series of interconnected neurons with weighted connections. PLS-  
343 DA works by finding linear combinations of features that best separate the classes in the data.  
344 Unlike traditional classifiers, PLS-DA does not directly define a decision boundary. Instead, it  
345 projects the data into a new space where the classes are maximally separated along latent variables.  
346 Consequently, it is not as intuitive to visualize the decision boundary in the original feature space.



347

348 Figure 5: (a) PCA score plot of training dataset, contaminated plastics identified within red box;  
 349 (b) Logistic regression; (c) Decision tree; (d) SVM for the training dataset.

350 Table 3 shows the performance of each classification model. For logistic regression, SVM,  
 351 decision trees, and ANN, sensitivity, specificity, and F1 score all reached 1, resulting in an overall  
 352 accuracy of 100%. Conversely, other models achieved 100% accuracy. However, PLS-DA  
 353 exhibited slightly lower accuracy (90.6%) due to its increased sensitivity to outliers, particularly  
 354 noticeable when identifying plastics contaminated with tomato ketchup.

355

356

357

358

359

360

361 Table 3: the performance of various machine learning algorithms in identifying plastics within  
 362 the training dataset.

Machine Learning Methods	Polymer	Sensitivity	Specificity	F1 Score	Overall Accuracy
Logistic Regression, SVM, Decision Tree, ANN	PLA	1	1	1	100%
	PBAT	1	1	1	
	PHA	1	1	1	
	PET	1	1	1	
	PP	1	1	1	
	HDPE	1	1	1	
	LDPE	1	1	1	
PLS-DA	PLA	0.91	1	0.95	90.6%
	PBAT	1	1	1	
	PHA	0.87	1	0.93	
	PET	0.87	1	0.93	
	PP	0.87	1	0.93	
	HDPE	1	1	1	
	LDPE	0.91	1	0.95	

363

364 **3.3.2 Performance of classification models on cross-validation dataset**

365 Before testing the model with real world contaminated food packaging, we applied these models  
 366 to classify types of materials in cross validation dataset to assess generalization of data which  
 367 included a new type of contamination (mayonnaise). The results are summarised in Table 4.

368

369 The logistic regression model performed well on datasets 95% accuracy. For PLA, PBAT, PET,  
 370 HDPE, and LDPE it achieved perfect scores of 1 for sensitivity, specificity, and F1 score. However,  
 371 it encountered challenges in accurately detecting PHA due to a new type of contamination and the  
 372 presence of thin film. Consequently, instances of PHA were misclassified as PP, resulting in a  
 373 decrease in sensitivity for PHA to 0.67 and a decrease in specificity for PP to 0.94.

374 The SVM model achieved 94% accuracy. For PLA, HDPE, and LDPE it achieved perfect scores  
375 of 1 for sensitivity and specificity. However, like logistic regression, its performance declined  
376 when classifying PHA and PBAT. The sensitivity of PHA and PBAT was 0.67 and 0.93  
377 respectively. Misclassifications of PHA (66.7%) and PBAT (6.7%) as PET led to decreases in  
378 specificity for PP and PET, resulting in values of 0.94 and 0.99 respectively. Consequently, the F1  
379 scores for PBAT, PHA, PP, and PET decreased to 0.96, 0.8, 0.85, and 0.97 respectively.

380  
381 The decision tree model achieved 88% accuracy, encountering difficulties in accurately identifying  
382 PBAT, PET, and PHA. Specifically, the sensitivity for PBAT, PHA, and PET was 0.8, 0.6, and 0.7  
383 respectively, while other types of plastics achieved a sensitivity of 1. PBAT was often misclassified  
384 as PP (13.3%) and LDPE (6.7%), while PET was misclassified as PP (26.7%). PHA suffered  
385 misclassifications as LDPE (6.7%) and PP (33.3%). Regarding specificity, PP and LDPE exhibited  
386 lower values compared to other plastic types, with scores of 0.88 and 0.98 respectively.  
387 Consequently, the F1 scores for PBAT, PHA, PP, and PET were 0.89, 0.75, 0.97, and 0.85  
388 respectively.

389 The ANN model demonstrated strong overall performance with an accuracy of 90%. In the cross-  
390 validation dataset, it achieved excellent sensitivity, specificity, and F1 scores for all types of plastic  
391 except for PHA and LDPE, where sensitivity dropped to 0.73 and 0.6 respectively. Furthermore,  
392 the model exhibited misclassifications, 40% of LDPE being incorrectly labelled as PP, while  
393 26.7% of PHA samples were misclassified as PP. Additionally, the specificity of PP was low at  
394 0.89. Consequently, the F1 scores for PHA, PP, and LDPE were computed as 0.84, 0.75, and 0.75  
395 respectively. Overall, while the model achieved impressive accuracy and performance for most  
396 plastic types, there are evidently areas for improvement, particularly in accurately distinguishing  
397 PHA and LDPE, as well as reducing misclassifications, especially between LDPE and PP.

398 The performance of PLS-DA fell short compared to other machine learning algorithms, achieving  
399 an overall accuracy of only 75%. Due to the introduction of a new type of contamination  
400 (mayonnaise), misclassifications occurred across various plastic types: 6.7% of PBAT, 20% of  
401 PET, 13.3% of PLA, 20% of PP, and 46.7% of LDPE could not be identified. Additionally, 13.3%  
402 of PBAT samples were misclassified as LDPE. Misclassifications were observed between various  
403 plastic types as well, with 20.3% of LDPE and 26.7% of PLA incorrectly labelled as PBAT, while

404 6.7% of PHA samples were misclassified as PLA. Consequently, the sensitivity of PHA was the  
 405 lowest at 0.47, followed by PBAT, LDPE, PET, and PP at 0.8, while PLA had a sensitivity of 0.6.  
 406 For specificity, all polymers in the cross-validation dataset achieved values greater than 0.9,  
 407 indicating strong performance in correctly identifying true negatives. However, PLA, PBAT, and  
 408 PP exhibited slightly lower specificity compared to others, with values of 0.99, 0.92, and 0.98  
 409 respectively. Additionally, the F1 score for PHA was the lowest at 0.63, followed by PBAT, PLA,  
 410 LDPE, PET, and PP, which achieved scores of 0.7, 0.72, 0.8, and 0.89 respectively.

411 Table 4: The performance of classification models on cross validation dataset

Machine Learning Methods	Polymer	Sensitivity	Specificity	F1 Score	Overall Accuracy
Logistic regression	PLA	1	1	1	95%
	PBAT	1	1	1	
	PHA	0.67	1	0.8	
	PET	1	1	1	
	PP	1	0.94	0.85	
	HDPE	1	1	1	
	LDPE	1	1	1	
SVM	PLA	1	1	1	94%
	PBAT	0.93	1	0.96	
	PHA	0.67	1	0.8	
	PET	1	0.99	0.97	
	PP	1	0.94	0.85	
	HDPE	1	1	1	
	LDPE	1	1	1	
Decision tree	PLA	1	1	1	88%
	PBAT	0.8	1	0.89	
	PHA	0.6	1	0.75	
	PET	0.7	1	0.85	

	PP	1	0.88	0.73	
	HDPE	1	1	1	
	LDPE	1	0.98	0.93	
ANN	PLA	1	1	1	90%
	PBAT	1	1	1	
	PHA	0.73	1	0.84	
	PET	1	1	1	
	PP	1	0.89	0.75	
	HDPE	1	1	1	
	LDPE	0.6	1	0.75	
PLS-DA	PLA	0.6	0.99	0.72	75%
	PBAT	0.8	0.92	0.7	
	PHA	0.47	1	0.63	
	PET	0.8	1	0.89	
	PP	0.8	1	0.8	
	HDPE	1	1	1	
	LDPE	0.8	0.98	0.82	

412

413 Figure 6 demonstrates the impact of contamination levels on the accuracy of various classification  
414 models. For plastic with a low level of contamination, logistic regression, SVM, ANN, and PLS-  
415 DA achieved 100% accuracy, while the decision tree model reached 95% accuracy. As  
416 contamination levels increased to a medium level (50%), the accuracy of all models decreased:  
417 logistic regression and SVM dropped to 95%, while ANN and PLS-DA fell to 88%. At high  
418 contamination levels (75%), the accuracy further declined to 93% for logistic regression, 90% for  
419 SVM, 76% for the decision tree, 86% for ANN, and 50% for PLS-DA.

420

421

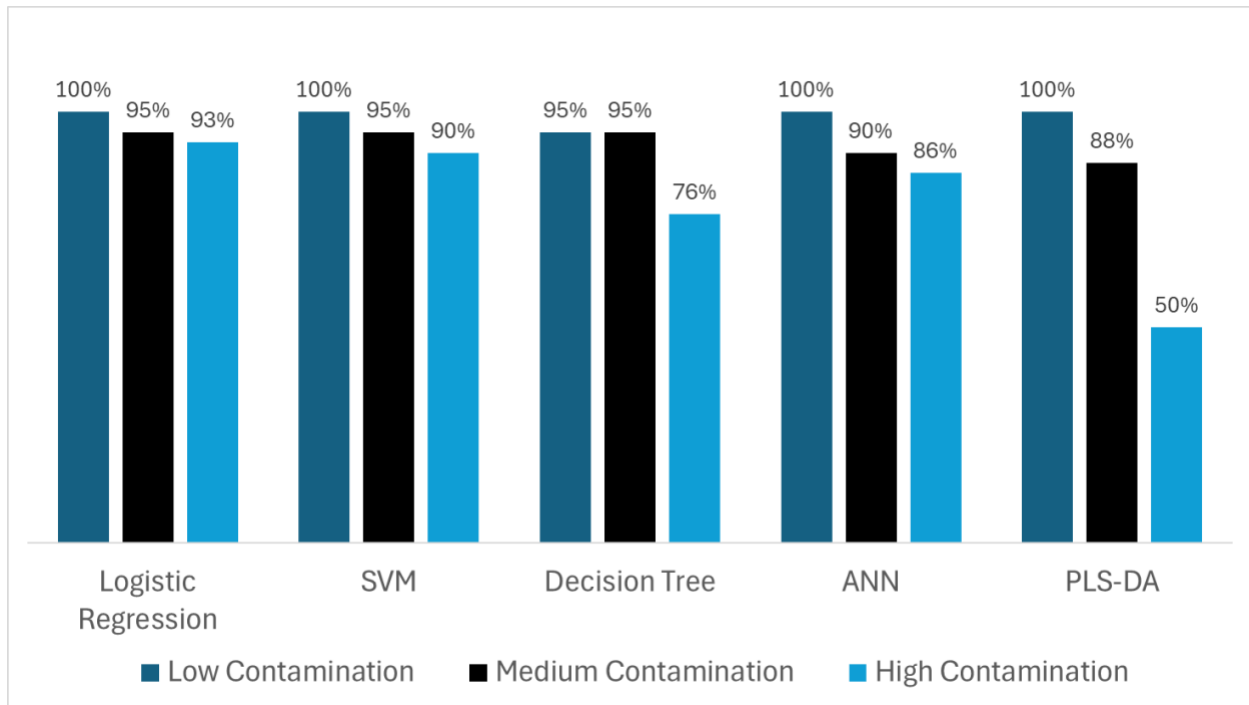


Figure 6: The impact of contamination level on the accuracy of the model

### 3.3.2 Performance of classification models on testing dataset

The classification models were employed to categorize 30 real-world packaging samples with various types and level of contamination. The performance of each classification model showed in table 5.

Table 5: The prediction accuracy of SVM, logistic regression, decision tree, ANN and PLSDA on testing dataset

Machine Learning Methods	Polymer	Sensitivity	Specificity	F1 Score	Overall Accuracy
SVM	HDPE	1	1	1	99%
	PET	0.86	1	0.92	
	PHA	1	1	1	
	PLA	1	1	1	
	PP	1	0.94	0.97	

	<b>Overall</b>	0.97	0.99	0.98	
Logistic regression, decision tree, ANN	HDPE	1	1	1	98%
	PET	1	1	1	
	PHA	1	1	1	
	PLA	1	0.96	0.8	
	PP	0.86	1	0.92	
	<b>Overall</b>	0.81	0.99	0.94	
PLS-DA	HDPE	1	1	1	96%
	PET	1	1	1	
	PHA	1	1	1	
	PLA	1	0.89	0.57	
	PP	0.79	1	0.88	
	<b>Overall</b>	0.96	0.98	0.89	

431

432 From Table 5, it is evident that the overall accuracy of SVM surpasses that of other machine

433 learning algorithms. However, SVM exhibits lower sensitivity in detecting PET packaging

434 compared to other types of plastic. This is mainly due to the limited reflectance demonstrated by

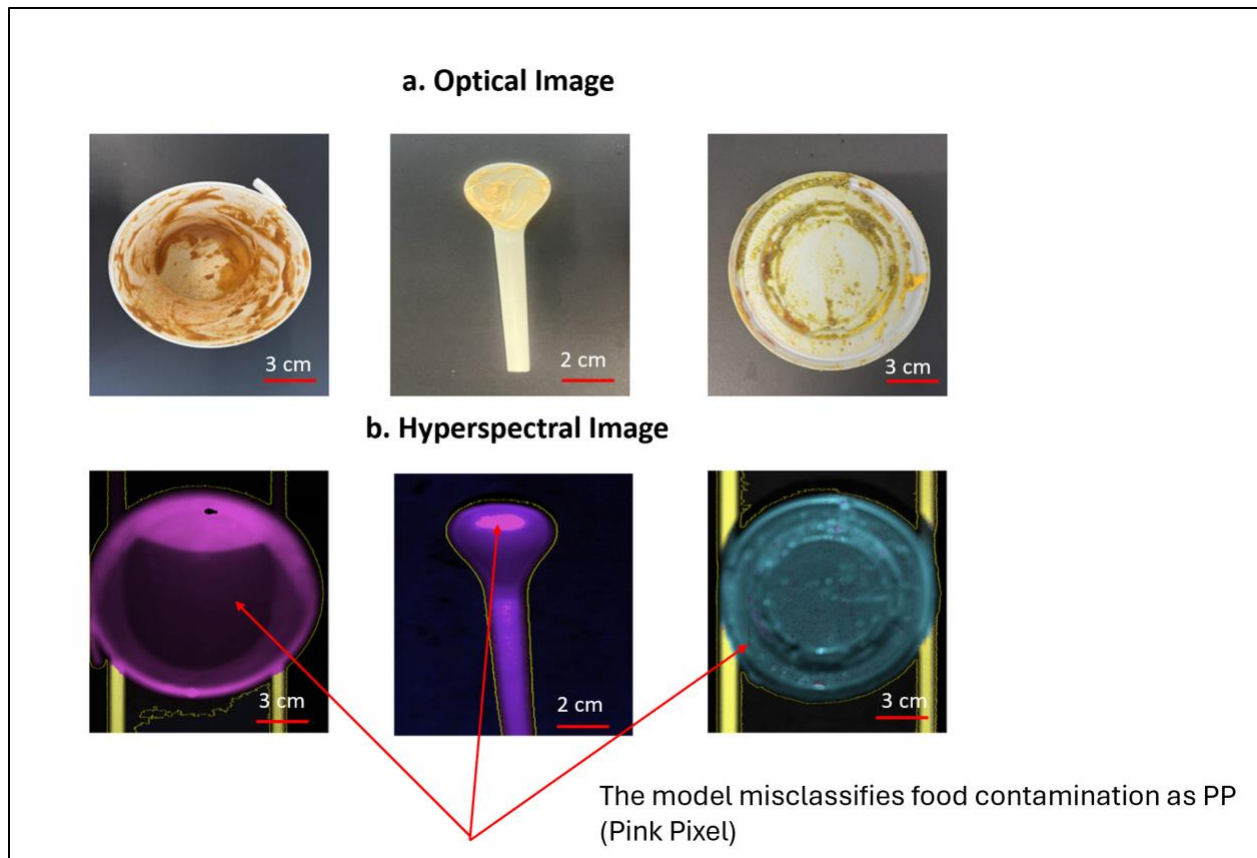
435 PET, resulting in a weak Short-Wave Infrared (SWIR) signal. Consequently, identifying thin or

436 transparent materials like PET becomes inherently challenging. Additionally, the model tends to

437 classify contamination as PP, leading to a lower specificity for PP compared to other material

438 types see Figure 7.





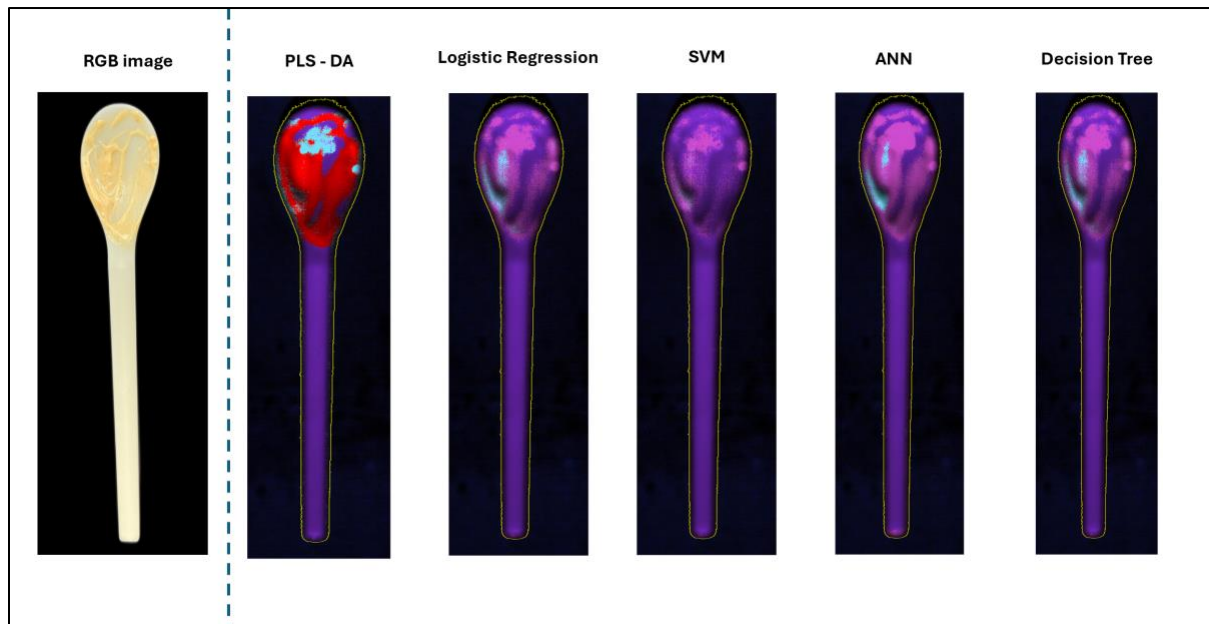
439

440 Figure 7: The example of (a) optical Images and (b) hyperspectral images of testing dataset (PP:  
 441 pink, PHA, purple, PLA: blue)

442 For logistic regression, decision tree and ANN, the sensitivity in detecting PP is the lowest at  
 443 0.86. PP was misclassified as PLA and LDPE. Thus, the specificity and F1 score of PLA is 0.96  
 444 and 0.8 respectively while other types of plastics are 1. The overall accuracy of these models is  
 445 98%. The misclassified samples have translucent colour and dark colour. Logistic regression,  
 446 decision tree, ANN classified translucent PP lid and red dark colour Japanese rice bowl was  
 447 misclassified as PLA and LDPE respectively. Some pixels were misclassified by each model,  
 448 leading to the same final classification outcome. For example, in Figure 8, we used various  
 449 classification models to identify the type of food-contaminated spoon. The majority of pixels  
 450 were classified as PHA. However, for spicy mayo contamination, SVM classified it as PP, while  
 451 Decision Tree, Logistic Regression, and ANN each classified spicy mayo as a combination of PP  
 452 (pink) and PLA (blue) but in different positions. PLS-DA classified it as a mix of unidentified  
 453 pixels (Red) and PP (pink).

454 For PLS-DA, the overall accuracy is the lowest at 96%. 21.4% of PP were classified incorrectly  
455 as PLA. Therefore, the sensitivity of PP drops to 0.79 and the specificity of PLA decreases to  
456 0.89. For F1-score, PLA achieves only 0.57 and PP achieves 0.88 while others are 1.

457 Figure 8 shows a sample (spoon) made from PHA (purple) with some contaminated areas (spicy  
458 mayo) incorrectly classified as PLA (blue) or PP (pink). This highlights a limitation of PLS-DA  
459 in accurately identifying specific materials in contaminated regions compared to other algorithms  
460 used in the study.

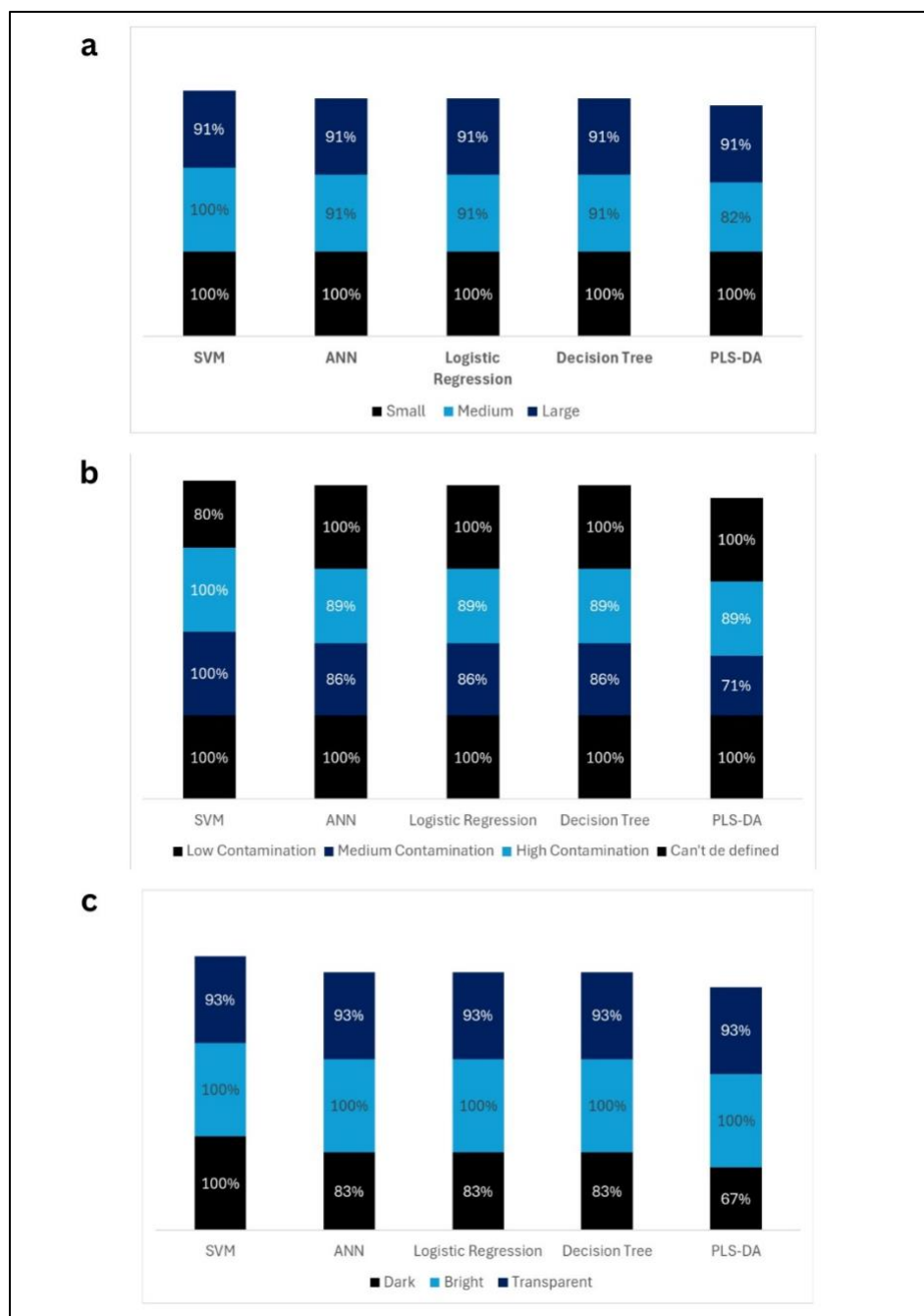


461

462 Figure 8: Material Classification of PHA Spoon with Spicy Mayo Using Various Machine  
463 Learning Models (Purple Pixel: PHA, Blue Pixel: PLA, Pink Pixel: PP and Red Pixel :  
464 Unidentified pixel)

### 465 3.4 Material Properties of Contaminated Plastic Packaging in Testing Dataset

466 In this set of experiments, we investigated which properties of contaminated plastic have impact  
467 on the accuracy of selected classification models. Specifically, we measured the size of packaging,  
468 the level of contamination, and darkness of the packaging.



469

470 Figure 9: Accuracy of models for identifying plastic samples from the testing data set; (a) small,

471 medium, and large plastics; (b) low, medium and high level of contaminated plastic packaging;

472

(c) transparent, bright and dark plastic.

473

474

### 475 **3.4.1 Size**

476 The size of packaging was determined through surface area estimation algorithm. The average size  
477 of plastic packaging in the testing dataset (30 real world plastic packaging) was 63.94 cm<sup>2</sup>. The  
478 number of small, medium and large packaging was 8,11 and 11 respectively.

479 The results are shown in Figure 9 (a). For SVM, the system achieved 100% accuracy for small and  
480 medium plastic packaging but experienced a drop to 91% accuracy for large plastic packaging .  
481 Similarly, for ANN, logistic regression, and Decision Tree models, the accuracy in detecting small  
482 plastic packaging was 100%. For PLS-DA, the accuracy in identifying small plastic packaging was  
483 100%, but it decreased to 82% and 91% when detecting medium and large sizes, respectively. The  
484 accuracy of the models dropped when detecting large and medium plastics, as some samples in  
485 these categories have opaque colours. However, the accuracy for detecting brightly coloured  
486 plastics, regardless of size, is 100%. Thus, sizes larger than 8 cm<sup>2</sup>, which is the size of the smallest  
487 plastic packaging, have no impact on the model's accuracy.

### 488 **3.4.2 Level of contamination**

489 The average level of contamination of real-world plastic packaging was measured at 37%. Figure  
490 9(b) illustrates the accuracy of the system in identifying types of polymers with several degrees of  
491 contamination. In the testing dataset, there were 9 plastic packaging samples with a low level of  
492 contamination, 10 with medium contamination, and 6 with a high contamination level. 5 pieces of  
493 the plastic packaging could not have their contamination level measured due to the presence of  
494 labels and transparent oily contamination.

495 The SVM models performed best, the level of contamination had a low impact on the accuracy of  
496 the system. Even with the highest level of contamination reaching 83%, the model still correctly  
497 identified the plastic. For ANN, logistic regression, and decision tree models, the accuracy of the  
498 model in identifying low-level contaminated plastic was 100%, but it decreased to 86% and 89%  
499 when identifying medium and highly contaminated packaging, respectively. Similarly, for PLS-  
500 DA, the accuracy of the model in identifying plastic with a low level of contamination was 100%,  
501 but it dropped to 71% and 89% when identifying medium and high levels of contamination in  
502 plastic packaging, respectively.

503

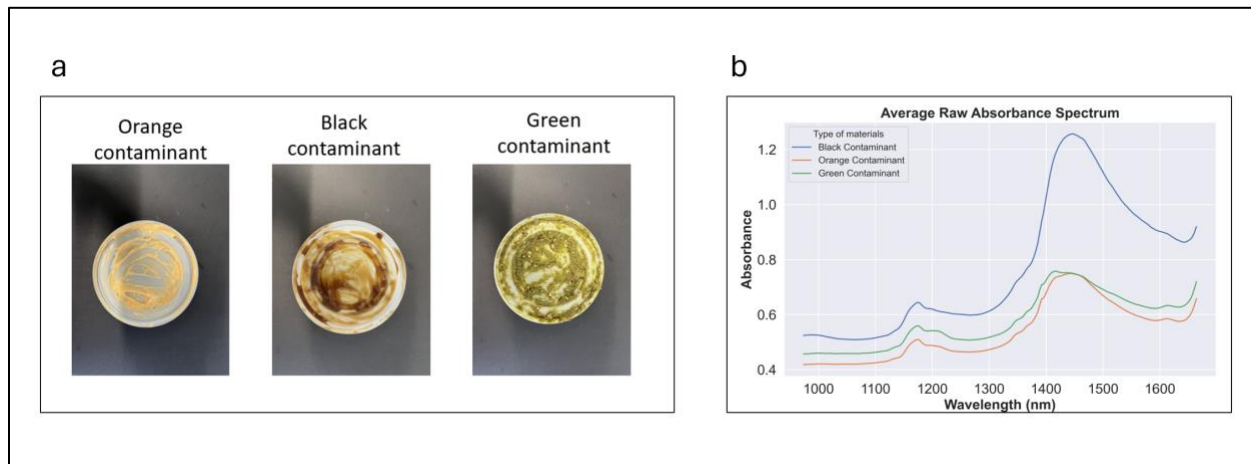
504 **3.4.3 Darkness Level**

505 The darkness level was identified using average pixel value of greyscale image of samples in  
506 testing dataset. The testing dataset consisted of 15 transparent plastic, 6 dark coloured plastic and  
507 9 brightly coloured plastics. The average darkness level was found to be a greyscale value of 157.  
508 The impact of darkness on successful identification is shown in Figure 9(c).

509 The accuracy of SVM to detect dark coloured and bright coloured plastic was 100% but it dropped  
510 to 93% when identifying transparent plastic. For ANN, Logistic Regression and Decision Tree, the  
511 accuracy of models in identifying dark plastic and transparent was 83% and 93% respectively, the  
512 accuracy increased to 100% when identifying brightly coloured plastics. For PLS-DA, the  
513 accuracy to identify dark plastic (67%) was much lower than identifying brightly coloured plastic  
514 (100%). However, the accuracy in identifying transparent plastic was 93%.

515 **3.4.4 Food Contaminant Colour**

516 The colour of the contaminant exerted a significant impact on the accuracy of the system. This  
517 effect is attributed to its influence on the darkness of the material. The interplay between colour  
518 and darkness proved to be a crucial factor affecting the model's performance. Figure 10(a) displays  
519 a PLA lid surface with applied food contamination indicators in black, yellow, and green colours.



520

521 Figure 10: Effect of colour of contamination. (a). PLA lid with orange, black and green  
522 contaminant; (b) the raw absorbance spectrum of PLA packaging with various colours of  
523 contaminant (black, orange and green).

524 Black contaminants absorb lighter than contaminants of other colours, see figure 10(b). However,  
525 our classification models are robust due the use of HSI; it can correctly identify the type of plastic  
526 even when the contaminant is black. This result aligns with our previous research  
527 (Taneepanichskul et al., 2024).

528

## 529 **4 Discussion and Conclusions**

### 530 **4.1 Classification model performance comparison**

531 The combination of HSI and machine learning has been applied to identify and classify types of  
532 plastics with various types of contamination and contamination level. The samples in this  
533 experiment included conventional plastics (PP, PET, LDPE and HDPE) and compostable plastics  
534 (PLA, PBAT and PHA). In the training dataset, machine learning including SVM, decision tree,  
535 logistic regression, ANN achieved 100% accuracy even when classifying the plastic with low level  
536 of tomato ketchup, the models still have impressive performance. On the other hand, PLS-DA  
537 demonstrated the lowest accuracy among the models, registering a rate of 90.6% in identifying  
538 plastic samples within the training dataset.

539 To enhance robustness of model and mitigate overfitting, the utilization of cross validation dataset  
540 is crucial. Table 4 explains accuracy of algorithms on the cross-validation dataset, revealing that  
541 Logistic regression, SVM exhibit superior performance. These models perform well on the cross-  
542 validation dataset, indicating that they can handle new, unseen data effectively including various  
543 levels mayonnaise contamination on sample surface.

544 ANN and Decision Tree models exhibited accuracy rates 90% and 88% respectively in identifying  
545 contaminated plastic samples within the cross-validation dataset. These models encountered  
546 challenges, particularly in misclassifying PHA samples with high mayonnaise contamination.

547 The obstacles faced by models in detecting contaminated PHA samples are multi-faceted. Firstly,  
548 the inherent characteristics of thin and transparent films pose difficulties, given their low  
549 absorption in the Short-Wave Infrared (SWIR) range. HSI relies on the absorption of light by  
550 molecular vibrations, and when dealing with thin and transparent films, the limited absorption  
551 features become a hurdle for the sensor to effectively detect and differentiate materials. Secondly,

552 the presence of thin films introduces scattering effects, causing alterations in the direction of  
553 incident light. This scattering effect can introduce variability in the measured spectra, creating  
554 challenges in maintaining the consistency required for reliable classification. Thirdly,  
555 contamination on the surface of PHA induces shifts in the absorbance spectrum, further  
556 complicating the classification process. The introduction of contaminants alters the characteristic  
557 molecular vibrations, making it challenging for the models to accurately identify and categorize  
558 the material. PLS-DA may face challenges when dealing with intricate relationships within the  
559 data, especially in scenarios where the underlying patterns are highly complex. Moreover, the  
560 dataset is small so other machine learnings performed better than PLS-DA.

#### 561 **4.2 Influence of material properties on the performance of the models**

562 Our focus extended to three material properties: size, level of contamination, and darkness. An  
563 analysis reveals no discernible correlation between the size of the material (particularly when  
564 exceeding 8 cm<sup>2</sup>) and the accuracy of the model. Surprisingly, the level of contamination  
565 demonstrated minimal influence on the system's accuracy. Darkness showed significant impact on  
566 the accuracy of the system. Opaque plastic is more difficult to be classified due to high light  
567 absorbance of SWIR region. Transparent plastic is also difficult to be identified due to the  
568 scattering of light.

569 Black contaminants absorb lighter than contaminants of other colours, see figure 10(b). However,  
570 our classification models are robust; it can correctly identify the type of plastic even when the  
571 contaminant is black. This result aligns with our previous research (Taneepanichskul et al., 2024).

572 Our SVM model for identifying polymer contamination performed comparably to the PLS-DA  
573 model developed by Bonifazi, both achieving a sensitivity of 0.99. However, our model was  
574 applied to packaging with a higher level of contamination (Bonifazi et al., 2021). Additionally,  
575 Cucuzza's Hierarchical PLS-DA model demonstrated impressive accuracy, reaching up to 1.0.  
576 These findings highlight that the integration of hyperspectral imaging with machine learning  
577 significantly enhances the recycling rate by accurately identifying polymer contamination  
578 (Cucuzza et al., 2021). Krasniewski applied various machine learning techniques to identify 11  
579 types of polymers, finding that PET had the lowest accuracy due to its transparency, which aligns  
580 with our results (Kraśniewski et al., 2021). Importantly, our SVM model developed here enhances  
581 the performance of our previous PLS-DA model (Taneepanichskul et al., 2023). Even with highly

582 contaminated packaging, the SVM model can identify polymers with very high accuracy, whereas  
583 our previous PLS-DA model could only accurately identify pristine plastics with accuracy  
584 dropping to 75% for highly contaminated plastics.

585

### 586 **4.3 Application of HSI in anaerobic digestion, in-vessel composting and recycling plant for** 587 **detecting food contaminated compostable plastics.**

588 In anaerobic digestion (AD) and in-vessel composting (IVC), the first step involves sorting the  
589 waste. Pre-consumer waste, often referred to as source-separated, includes a wide range of organic  
590 materials and other contaminants. The primary task is to remove all packaging and separate organic  
591 matter from non-organic materials such as metals, minerals, dirt, and various unexpected objects.  
592 This ensures that only appropriate organic materials are processed further, improving efficiency  
593 and output quality (AnaerobicDigestion, 2023).

594 Depackaging and separation are carried out using machines called depackagers. The reject stream  
595 from these machines consists of packaging materials, including contaminated plastics, cardboard,  
596 glass, and metal. After the separation process, IVC primarily relies on manual sorting combined  
597 with visual inspection to identify compostable plastics, which is labor-intensive and costly  
598 (WRAP, 2009). Contaminated plastics that cannot be composted are sent to landfill or incineration.  
599 Similarly, in AD, all contaminated plastics are directed to landfill or incineration (Taneepanichskul  
600 et al., 2022).

601 The integration of hyperspectral imaging (HSI) with machine learning methods can enhance the  
602 system by reducing the landfill and incineration of plastics and increasing recycling and  
603 composting rates. With a detection system in place, compostable plastics can be reintroduced into  
604 the system, and recyclable plastics can be detected and sent to recycling plants.

605 If recycling plants were employing this detection system, a high percentage of food contaminated  
606 compostable plastics can be identified and redirected to composting facilities for proper  
607 processing. Additionally, the system can help identify the 17% of recyclable plastics are rendered  
608 non-recyclable due to food contamination (Biffa, 2022). Implementing this system would require  
609 an automatic separation system to act on identification and characterisation provided by the HSI  
610 system. These already exist in modern waste recycling facilities. They are less common in AD and



611 IVCs. Investment in these facilities would have to be driven by a return on investment which is  
612 incentivised by lower number of plastics being sent to landfill and incineration.

613

614

## 615 5. References

- 616 ALLISON, A. L., PURKISS, D., LORENCATTO, F., MIODOWNIK, M. & MICHIE, S. 2022.  
617 Improving compostable plastic disposal: An application of the Behaviour Change Wheel  
618 intervention development method. *Frontiers in Sustainability*, 3, 92.
- 619 AMIGO, J. M., BABAMORADI, H. & ELCOROARISTIZABAL, S. 2015. Hyperspectral image  
620 analysis. A tutorial. *Analytica chimica acta*, 896, 34-51.
- 621 ANAEROBICDIGESTION. 2023. *Anaerobic Digestion of Pre-Consumer Food Waste & 3*  
622 *Generations of Depackaging Machines* [Online]. Available: [https://blog.anaerobic-](https://blog.anaerobic-digestion.com/anaerobic-digestion-of-pre-consumer-food-waste/)  
623 [digestion.com/anaerobic-digestion-of-pre-consumer-food-waste/](https://blog.anaerobic-digestion.com/anaerobic-digestion-of-pre-consumer-food-waste/) [Accessed 11 July  
624 2024].
- 625 BARKVED, K. 2022. *The Difference Between Training Data vs. Test Data in Machine Learning*  
626 [Online]. Available: [https://www.obviously.ai/post/the-difference-between-training-data-](https://www.obviously.ai/post/the-difference-between-training-data-vs-test-data-in-machine-learning)  
627 [vs-test-data-in-machine-learning](https://www.obviously.ai/post/the-difference-between-training-data-vs-test-data-in-machine-learning) [Accessed 6 Jan 2024].
- 628 BIFFA. 2022. *Nearly 17% of recycling is wasted due to contamination* [Online]. Available:  
629 [https://www.recycling-magazine.com/2022/10/26/nearly-17-of-recycling-is-wasted-due-](https://www.recycling-magazine.com/2022/10/26/nearly-17-of-recycling-is-wasted-due-to-contamination/)  
630 [to-contamination/](https://www.recycling-magazine.com/2022/10/26/nearly-17-of-recycling-is-wasted-due-to-contamination/) [Accessed 3 July 2024].
- 631 BIOFERTILISER. 2022. *Annual Report 2022 Compost / Biofertiliser Certification Schemes*  
632 [Online]. Available: [https://www.biofertiliser.org.uk/images/upload/news\\_1183\\_BCS-](https://www.biofertiliser.org.uk/images/upload/news_1183_BCS-Annual-Report-2022Digitalv2-single-pages.pdf)  
633 [Annual-Report-2022Digitalv2-single-pages.pdf](https://www.biofertiliser.org.uk/images/upload/news_1183_BCS-Annual-Report-2022Digitalv2-single-pages.pdf) [Accessed 5 June 2024].
- 634 BONIFAZI, G., GASBARRONE, R. & SERRANTI, S. 2021. Detecting contaminants in post-  
635 consumer plastic packaging waste by a NIR hyperspectral imaging-based cascade  
636 detection approach. *Detritus*, 15, 94-106.
- 637 BRAUN, S. 2023. *Why most plastic can't be recycled* [Online]. Available:  
638 <https://www.dw.com/en/why-most-plastic-cant-be-recycled/a-64978847> [Accessed 31  
639 May 2024].
- 640 CASTRO-DÍAZ, M., OSMANI, M., CAVALARO, S., CACHO, Í., URÍA, I., NEEDHAM, P.,  
641 THOMPSON, J., PARKER, B. & LOVATO, T. 2023. Hyperspectral Imaging Sorting of  
642 Refurbishment Plasterboard Waste. *Applied Sciences*, 13, 2413.
- 643 CUCUZZA, P., SERRANTI, S., BONIFAZI, G. & CAPOBIANCO, G. 2021. Effective recycling  
644 solutions for the production of high-quality PET flakes based on hyperspectral imaging  
645 and variable selection. *Journal of imaging*, 7, 181.
- 646 DABO, M. 2023. *Compostable plastic: a growing trend with limited impact* [Online]. Available:  
647 [https://www.packaging-gateway.com/comment/compostable-plastic-a-growing-trend-](https://www.packaging-gateway.com/comment/compostable-plastic-a-growing-trend-with-limited-impact/)  
648 [with-limited-impact/](https://www.packaging-gateway.com/comment/compostable-plastic-a-growing-trend-with-limited-impact/) [Accessed 31 May 2024].
- 649 DESAI, S. 2023. *Compostable Plastic Is Garbage* [Online]. Available:  
650 <https://www.beyondplastics.org/news-stories/compostable-plastic-trash> [Accessed 3 July  
651 2024].

652 EUROPEANBIOPLASTIC. 2019. *PLA in the waste stream* [Online]. Available:  
653 <https://www.european-bioplastics.org/pla-in-the-waste-stream/> [Accessed 13 May 2024].  
654 EUROPEANBIOPLASTIC. 2023. *BIOPLASTICS MARKET DEVELOPMENT UPDATE 2023*  
655 [Online]. Available: [https://www.european-bioplastics.org/bioplastics-market-](https://www.european-bioplastics.org/bioplastics-market-development-update-2023-2/)  
656 [development-update-2023-2/](https://www.european-bioplastics.org/bioplastics-market-development-update-2023-2/) [Accessed 1 April 2024].  
657 FRANKLIN-WALLIS, O. 2019. 'Plastic recycling is a myth': what really happens to your  
658 rubbish? [Online]. Available:  
659 [https://www.theguardian.com/environment/2019/aug/17/plastic-recycling-myth-what-](https://www.theguardian.com/environment/2019/aug/17/plastic-recycling-myth-what-really-happens-your-rubbish)  
660 [really-happens-your-rubbish](https://www.theguardian.com/environment/2019/aug/17/plastic-recycling-myth-what-really-happens-your-rubbish) [Accessed 31 May 2024].  
661 HYSPEX. 2023. *Hyspex Baldur S-640iN* [Online]. Available: [https://www.hyspex.com/hyspex-](https://www.hyspex.com/hyspex-products/hyspex-baldur/hyspex-baldur-s-640i-n/)  
662 [products/hyspex-baldur/hyspex-baldur-s-640i-n/](https://www.hyspex.com/hyspex-products/hyspex-baldur/hyspex-baldur-s-640i-n/) [Accessed].  
663 KABIR, M. H., GUINDO, M. L., CHEN, R. & LIU, F. 2021. Geographic origin discrimination  
664 of millet using Vis-NIR spectroscopy combined with machine learning techniques.  
665 *Foods*, 10, 2767.  
666 KRAŚNIEWSKI, J., DAŁAŁA, Ł. & LEWANDOWSKI, M. Hyperspectral imaging for analysis  
667 and classification of plastic waste. 2020 25th International Conference on Pattern  
668 Recognition (ICPR), 2021. IEEE, 4805-4812.  
669 MASOUMI, H., SAFAVI, S. M. & KHANI, Z. 2012. Identification and classification of plastic  
670 resins using near infrared reflectance. *International Journal of Mechanical and Industrial*  
671 *Engineering*, 6, 213-20.  
672 MICROSOFTBUILD. 2021. *Multiclass Neural Network component* [Online]. Available:  
673 [https://learn.microsoft.com/en-us/azure/machine-learning/component-](https://learn.microsoft.com/en-us/azure/machine-learning/component-reference/multiclass-neural-network?view=azureml-api-2)  
674 [reference/multiclass-neural-network?view=azureml-api-2](https://learn.microsoft.com/en-us/azure/machine-learning/component-reference/multiclass-neural-network?view=azureml-api-2) [Accessed 13 May 2024].  
675 QIAN, Y., YE, M. & ZHOU, J. 2012. Hyperspectral image classification based on structured  
676 sparse logistic regression and three-dimensional wavelet texture features. *IEEE*  
677 *Transactions on Geoscience and Remote Sensing*, 51, 2276-2291.  
678 SCIKITLEARN. 2024. *Cross-validation: evaluating estimator performance* [Online]. Available:  
679 [https://scikit-learn.org/stable/modules/cross\\_validation.html](https://scikit-learn.org/stable/modules/cross_validation.html) [Accessed 5 Jan 2024].  
680 TANEEPANICHSKUL, N., HAILES, H. & MIODOWNIK, M. 2024. Using Hyperspectral  
681 Imaging to Identify and Classify Large Microplastic Contamination in Industrial  
682 Composting Processes. *Frontiers in Sustainability*, 5, 1332163.  
683 TANEEPANICHSKUL, N., HAILES, H. C. & MIODOWNIK, M. 2023. Automatic  
684 identification and classification of compostable and biodegradable plastics using  
685 hyperspectral imaging. *Frontiers in Sustainability*, 4, 1125954.  
686 TANEEPANICHSKUL, N., PURKISS, D. & MIODOWNIK, M. 2022. A review of sorting and  
687 separating technologies suitable for compostable and biodegradable plastic packaging.  
688 *Frontiers in Sustainability*, 3, 901885.  
689 TRANMER, M. & ELLIOT, M. 2008. Multiple linear regression. *The Cathie Marsh Centre for*  
690 *Census and Survey Research (CCSR)*, 5, 1-5.  
691 ULRICI, A., SERRANTI, S., FERRARI, C., CESARE, D., FOCA, G. & BONIFAZI, G. 2013.  
692 Efficient chemometric strategies for PET-PLA discrimination in recycling plants using  
693 hyperspectral imaging. *Chemometrics and Intelligent Laboratory Systems*, 122, 31-39.  
694 WOLFF, R. 2020. *What Is Training Data in Machine Learning?* [Online]. Available:  
695 <https://monkeylearn.com/blog/training-data/> [Accessed 5 Jan 2024].  
696 WRAP. 2009. *Review of Food Waste Depackaging Equipment* [Online]. Available:  
697 <http://www.organics->

698 [recycling.org.uk/uploads/article1762/Wrap%20Report%20on%20Food%20Waste%20De](https://www.recycling.org.uk/uploads/article1762/Wrap%20Report%20on%20Food%20Waste%20De)  
699 [packaging.pdf](https://www.recycling.org.uk/uploads/article1762/Wrap%20Report%20on%20Food%20Waste%20De) [Accessed 11 July].

700 ZHANG, W., KASUN, L. C., WANG, Q. J., ZHENG, Y. & LIN, Z. 2022. A review of machine  
701 learning for near-infrared spectroscopy. *Sensors*, 22, 9764.

702